

AGENDA
COLORADO LIMITED GAMING CONTROL COMMISSION

Meeting of November 20, 2025
Beginning at 9:15 a.m.
In-person & virtually via Zoom

Division of Gaming
1707 Cole Blvd., Ste. 300
Lakewood, CO 80401

In addition to other matters that may properly be considered by the Colorado Limited Gaming Control Commission, the following items are scheduled for consideration and adoption on October 16, 2025. Times contained in the agenda are approximate.

PUBLIC SESSION

I. Call to Order

EXECUTIVE SESSION

Review of confidential background licensing reports, as well as confidential materials for the consideration of International Game Technologies Inc. Multi-Jurisdictional Interstate WAP link Ultra Link scheduled for consideration during the public session. §§ 24-6-402(3)(a)(III), 44-30-521(1)(a), 44-30-526(1) & 44-30-1507(1), C.R.S.

PUBLIC SESSION (reconvened)

II. Consideration of Licensing Actions

Limited Gaming Licenses

Renewal of the Retail and Master Licenses for

Grand Z Casino Operator LLC d/b/a Grand Z Casino
Johnny Z Casino Operator LLC d/b/a Dragon Tiger Casino
Z Casino Black Hawk Operator LLC d/b/a Z Casino Black Hawk
Ameristar Casino Black Hawk, LLC d/b/a Ameristar Casino Black Hawk

Renewal of the Manufacturer/Distributor Licenses for

Colorado Resorts Operator LLC
Ameristar Casino Black Hawk, LLC d/b/a Ameristar Casino Black Hawk

Renewal of the Operator License for

Ameristar Casino Black Hawk, LLC d/b/a Ameristar Casino Black Hawk

Sports Betting Licenses

Renewal of the Internet Sports Betting Operator License for

Penn Sports Interactive d/b/a Penn Sports Interactive - ESPN Bet

Reissuance of the Temporary Internet Sports Betting Operator License for

Hillside (Colorado) LLC d/b/a bet365

Renewal of the Vendor Major License for

Penn Sports Interactive d/b/a Penn Sports Interactive - ESPN Bet
Dime Line Trading (Colorado) LLC – f/k/a Delavan Lake Trading (Colorado) LLC

First Vendor Minor Licenses for
Anomaly Partners LLC
Evive, Inc.
Mkodo Limited
Netflix Ads, LLC

Renewal of the Vendor Minor Licenses for
Don Best Sports Corporation
Friday Beers Inc.
JNBN Investments Inc d/b/a JNBN Investments
MetaReviews Inc. d/b/a RG.ORG
OddsJam, Inc.
STEADYPICKS GAMING LLC

- III. Opportunity for Public to Address the Commission
- IV. Consideration of Division Limited Gaming Financial Statements for September 2025
- V. Consideration of Division Sports Betting Financial Statements for September 2025
- VI. Consideration of the Stipulation & Agreement Regarding BetMGM, LLC d/b/a Roar Digital, Temporary Internet Sports Betting Operator License No. 46474397,
- VII. Consideration of Limited Gaming Rule 12
- VIII. Consideration of Limited Gaming Rules 2 & 29
- IX. Consideration of Sports Betting Rules 1, 6 & 9
- X. Consideration of Organizational Matters
- XI. Opportunity for Industry Members to Address the Commission on Current Issues and Events
- XII. Annual Commissioner Training
- XIII. Adjournment

At the discretion of the Commission, any or all of the above matters may be continued for consideration or adoption at a different time, may be considered out of order, or may be considered at the next meeting of the Commission.

Item II

Approval of Licenses

November 12, 2025

CONSENT AGENDA

For the November 20, 2025, Colorado Limited Gaming Control Commission meeting

Dear Members of the Colorado Limited Gaming Control Commission,

The Division of Gaming submits this Consent Agenda for review and approval by the Colorado Limited Gaming Control Commission at its November 20, 2025, meeting in accordance with Commission Policy 24-01 (approved March 29, 2024).

Under Commission Policy 24-01, the Commission may consider the listed matters as a group to be voted on and approved in mass upon a single motion. Upon a timely request, a Commissioner or the Director may remove any matter from the consent agenda for any reason. A request is timely if made prior to the vote on the consent agenda. If a matter is removed from this proposed consent agenda, then the Commission will consider and vote on the amended consent agenda before considering and voting on any removed matter(s) separately.

The Division proposes that the Commission approve the following new and renewal license applications for vendor minor sports betting licenses:

First Vendor Minor Licenses for:

Anomaly Partners LLC
Evive, Inc.
Mkodo Limited
Netflix Ads, LLC

Vendor Minor Renewal Licenses for:

Don Best Sports Corporation
Friday Beers Inc.
JNBN Investments Inc d/b/a JNBN Investments
MetaReviews Inc. d/b/a RG.ORG
OddsJam, Inc.
STEADYPICKS GAMING LLC



COLORADO

Department of Revenue

Specialized Business Group - Division of Gaming

1707 Cole Blvd Suite 300
Lakewood CO 80401

142 Lawrence Street
Central City CO 80427

330 W Carr Avenue
Cripple Creek CO 80813

Respectfully,

Andrew Fulton,
Agent in Charge Sports Betting and Fantasy Sports,
Colorado Division of Gaming

Item IV

Limited Gaming Financial Statements for September 2025



**STATEMENT OF GAMING REVENUES,
GAMING TAXES, AND EXPENDITURES
(UNAUDITED)
FOR THE THREE (3) MONTHS ENDED
SEPTEMBER 30, 2025**



COLORADO

Department of Revenue

Specialized Business Group—Gaming

1707 Cole Blvd., Suite 300
Lakewood, CO 80401

November 20, 2025

State Treasurer and Members of the Colorado Limited Gaming Control Commission:

Pursuant to Section 44-30-203 (i), C.R.S., the Colorado Division of Gaming is required to furnish monthly a, "report which contains a full and complete statement of the division's revenues and expenses."

The attached combined financial statements for September 30, 2025 have not been audited. They contain the most current data available. This information has been collected and recorded in accordance with generally accepted accounting principles.

Respectfully submitted,

Tseko Ivanov

Tseko Ivanov
Division Controller

**COLORADO DIVISION OF GAMING
FINANCIAL STATEMENTS
(UNAUDITED)**

DISTRIBUTION

Honorable Jared Polis	Governor
Representative Julie McCluskie	Speaker of the House of Representatives
Senator James Coleman	President of the Senate
Senator Cleave Simpson	Senate Minority Leader
Representative Jarvis Caldwell	House Minority Leader
Senator Jeff Bridges	Chair, Joint Budget Committee
Mr. John Tipton	Chair, Limited Gaming Control Commission
Mr. Kevin Hyland	Vice Chair, Limited Gaming Control Commission
Ms. Alice Cary	Limited Gaming Control Commission
Mr. Michael Faber	Limited Gaming Control Commission
Mr. Phil Workman	Limited Gaming Control Commission
Ms. Heidi Humphreys	Executive Director, Department of Revenue
Mr. Michael Phibbs	Senior Director, Specialized Business Group, Department of Revenue
Mr. Christopher Schroder	Director, Division of Gaming
Mr. Scott Koehler	Accounting Director, Department of Revenue
Mr. Dustin Hoover	Deputy Budget Director, Department of Revenue
Mr. Bob Jaros	State Controller
Mr. Charles Scheibe	Chief Operating Officer, Department of the Treasury
Ms. Aly Jabrocki	State Archivist
Ms. Kerri Hunter	State Auditor
Ms. Amanda King	Joint Legislative Library
Mr. Adrian Leiter	Deputy Director for Budget, Governor's Office
Colorado State Publications Depository and Distribution Center	State of Colorado Library

DIVISION OF GAMING
STATEMENT OF REVENUES
GAMING TAXES, AND EXPENDITURES
(UNAUDITED)

TABLE OF CONTENTS

Comparative Tax Revenues 1

Comparative Statement of Revenues,
Expenditures, and Changes in Fund Balance..... 2

Budget Status 3

**COLORADO DIVISION OF GAMING
TAX REVENUES COMPARISON
SEPTEMBER 30, 2025 AND 2024**

The Colorado Limited Gaming Control Commission assesses taxes based on adjusted gross proceeds (AGP).

The tax rates for period ending June 30, 2026 are:

3% on AGP from charitable gaming
.25% on amounts up to \$2 million
2% on amounts over \$2 million and up to \$5 million
9% on amounts over \$5 million and up to \$8 million
11% on amounts over \$8 million and up to \$10 million
16% on amounts over \$10 million and up to \$13 million
20% on amounts over \$13 million

The tax rates for year ending June 30, 2026 are the same as they were for year ending June 30, 2025.

For Periods Beginning July 1, 2024 and 2025 through September 30, 2024 and 2025

<u>AGP Comparison</u>				
Range	Prior Year AGP	Current Year AGP	Difference	Percent Change
\$0 - \$2 Million	\$ 8,874,950	\$ 8,981,125	\$ 106,175	1.20%
\$2 - \$5 Million	\$ 43,166,819	\$ 46,561,239	\$ 3,394,420	7.86%
\$5 - \$8 Million	\$ 50,824,954	\$ 45,787,779	\$ (5,037,175)	(9.91)%
\$8 - \$13+ Million	\$ 187,611,896	\$ 193,511,049	\$ 5,899,153	3.14%
Total	<u>\$ 290,478,619</u>	<u>\$ 294,841,192</u>	<u>\$ 4,362,573</u>	<u>1.50%</u>

<u>Tax Comparison</u>				
Range	Prior Year Tax	Current Year Tax	Difference	Percent Change
\$0 - \$2 Million	\$ 152,187	\$ 152,453	\$ 266	0.17%
\$2 - \$5 Million	\$ 1,123,336	\$ 1,091,225	\$ (32,111)	(2.86)%
\$5 - \$8 Million	\$ 3,424,246	\$ 2,320,900	\$ (1,103,346)	(32.22)%
\$8 - \$13+ Million	\$ 27,020,164	\$ 29,277,811	\$ 2,257,647	8.36%
Total	<u>\$ 31,719,933</u>	<u>\$ 32,842,389</u>	<u>\$ 1,122,456</u>	<u>3.54%</u>

<u>Open Casinos Comparison</u>			
Range	Prior Year No. of Tax Returns Filed by Casinos	This Year No. of Tax Returns Filed by Casinos	Difference
\$0 - \$2 Million	7	7	0
\$2 - \$5 Million	13	14	1
\$5 - \$8 Million	8	7	(1)
\$8 - \$13+ Million	5	5	0
Total	<u>33</u>	<u>33</u>	<u>0</u>

**COLORADO DIVISION OF GAMING
COMBINED STATEMENT OF REVENUES,
EXPENDITURES, AND CHANGES IN FUND BALANCE (UNAUDITED)
THREE MONTHS ENDED SEPTEMBER 30, 2025 AND 2024**

	FY 2026				FY 2025			
	EXTENDED GAMING FUND	RESPONSIBLE GAMING GRANT FUND	LIMITED GAMING FUND	TOTAL GAMING FUNDS	EXTENDED GAMING FUND	RESPONSIBLE GAMING GRANT FUND	LIMITED GAMING FUND	TOTAL GAMING FUNDS
REVENUES:								
Gaming Taxes	\$ 0	\$ 0	\$ 33,626,017	\$ 33,626,017	\$ 0	\$ 0	\$ 31,766,365	\$ 31,766,365
License and Application Fees	0	0	231,595	231,595	0	0	232,417	232,417
Background Investigations	0	0	57,642	57,642	0	0	73,145	73,145
Fines	0	0	0	0	0	0	3,612	3,612
Interest Income	131,166	23,815	0	154,981	166,131	19,891	818,745	1,004,767
Other Revenue	0	0	151	151	0	0	0	0
TOTAL REVENUES	131,166	23,815	33,915,405	34,070,386	166,131	19,891	32,894,284	33,080,306
EXPENDITURES:								
Salaries and Benefits	0	32,982	2,825,287	2,858,269	0	29,628	2,513,142	2,542,770
Annual and Sick Leave Payouts	0	0	0	0	0	0	12,475	12,475
Professional Services	0	0	25,206	25,206	0	0	10,914	10,914
Travel	0	3,060	2,118	5,178	0	2,020	4,096	6,116
Automobiles	0	0	74,414	74,414	0	0	73,081	73,081
Printing	0	0	4,616	4,616	0	0	4,498	4,498
Police Supplies	0	0	11,305	11,305	0	0	44,264	44,264
Computer Services & Name Searches	0	0	19,971	19,971	0	0	22,649	22,649
Materials, Supplies, and Services	0	1,540	92,527	94,067	0	1,728	106,260	107,988
Postage	0	0	1,691	1,691	0	0	1,512	1,512
Telephone	0	113	28,009	28,122	0	137	21,607	21,744
Utilities	0	0	6,324	6,324	0	0	5,110	5,110
Other Operating Expenditures	0	0	17,827	17,827	0	0	13,500	13,500
Leased Space	0	0	25,672	25,672	0	0	34,659	34,659
Grants to Cities	0	18,473	0	18,473	0	0	0	0
EXPENDITURES - SUBTOTAL	0	56,168	3,134,967	3,191,135	0	33,513	2,867,767	2,901,280
STATE AGENCY SERVICES								
Division of Fire Prevention and Control	0	0	112,693	112,693	0	0	46,078	46,078
Colorado State Patrol	0	0	1,126,553	1,126,553	0	0	990,750	990,750
Public Safety Communications/Digital Radios	0	0	11,225	11,225	0	0	0	0
State Auditors	0	0	11,450	11,450	0	0	13,525	13,525
Indirect Costs - Department of Revenue	0	0	327,297	327,297	0	0	361,460	361,460
Colorado Department of Law	0	0	46,070	46,070	0	0	45,916	45,916
OIT Purchased Services	0	0	301,051	301,051	0	0	257,589	257,589
TOTAL STATE AGENCY SERVICES	0	0	1,936,339	1,936,339	0	0	1,715,318	1,715,318
Background Expenditures	0	0	40,174	40,174	0	0	28,943	28,943
TOTAL EXPENDITURES	0	56,168	5,111,480	5,167,648	0	33,513	4,612,028	4,645,541
Excess of Revenues Over Expenditures	131,166	(32,353)	28,803,925	28,902,738	166,131	(13,622)	28,282,256	28,434,765
FY25 & FY24 Extended Gaming Distr.	(47,181,290)	0	0	(47,181,290)	(46,434,925)	0	0	(46,434,925)
FUND BALANCE AT JULY 1, 2025 & 2024	47,181,290	4,366,826	3,367,289	54,915,405	46,434,925	3,583,759	2,706,136	52,724,820
TOTAL FUND BAL. SEPTEMBER 30, 2025 & 2024	\$ 131,166	\$ 4,334,473	\$ 32,171,214	\$ 36,636,853	\$ 166,131	\$ 3,570,137	\$ 30,988,392	\$ 34,724,660

COLORADO DIVISION OF GAMING
STATEMENT OF BUDGET TO ACTUAL
THREE MONTHS ENDED SEPTEMBER 30, 2025
(UNAUDITED)

	BEGINNING BUDGET *	SUPPLE- MENTAL CHANGES / ROLLFORWARDS	ANNUAL REVISED ESTIMATED BUDGET **	25% OF OF BUDGETED AMOUNT EXCEPT FOR TAXES ***	YEAR-TO-DATE ACTUAL	OVER / (UNDER) ANNUAL BUDGET	% EARNED % EXPENDED OF ANNUAL BUDGET
REVENUES:							
Gaming Taxes	\$ 178,168,541	\$ 0	\$ 178,168,541	\$ 31,928,124	\$ 33,626,017	\$ (144,542,524)	18.87%
License and Application Fees	777,712	0	777,712	194,428	231,595	(546,117)	29.78%
Background Investigations	871,670	0	871,670	217,918	57,642	(814,028)	6.61%
Other Revenue	0	0	0	0	151	151	100.00%
TOTAL REVENUES	179,817,923	0	179,817,923	44,954,481	33,915,405	(145,902,518)	18.86%
EXPENDITURES:							
Personal Services	13,317,607	0	13,317,607	3,329,402	2,855,189	(10,462,418)	21.44%
Operating Expenditures	1,362,018	0	1,362,018	340,504	125,118	(1,236,900)	9.19%
Workers Compensation	27,014	0	27,014	6,753	6,754	(20,260)	25.00%
Risk Management	50,501	0	50,501	12,625	12,625	(37,876)	25.00%
Licensure Activities	126,078	0	126,078	31,519	26,745	(99,333)	21.21%
Leased Space	438,106	0	438,106	109,527	25,672	(412,434)	5.86%
Vehicle Lease Payments - Fixed	191,244	0	191,244	47,811	46,169	(145,075)	24.14%
Vehicle Lease Payments - Variable	90,075	0	90,075	22,519	28,245	(61,830)	31.36%
Utilities	24,319	0	24,319	6,080	6,324	(17,995)	26.00%
Legal Services	184,279	0	184,279	46,070	46,070	(138,209)	25.00%
CORE Operations	12,227	0	12,227	3,057	3,057	(9,170)	25.00%
Payments to Office of Information Technology	1,068,400	0	1,068,400	267,100	301,051	(767,349)	28.18%
IT Division - MIPC Phones & ISD	46,254	3,746	50,000	12,500	10,519	(39,481)	21.04%
Indirect Costs - Department of Revenue	1,412,135	(50)	1,412,085	353,021	327,297	(1,084,788)	23.18%
Emergency Management Radios	44,900	0	44,900	11,225	11,225	(33,675)	25.00%
State Agency Services	4,994,688	0	4,994,688	1,248,672	1,239,246	(3,755,442)	24.81%
Division Expenditures	23,389,845	3,696	23,393,541	5,848,385	5,071,306	(18,322,235)	21.68%
Background Expenditures	700,000	0	700,000	175,000	40,174	(659,826)	5.74%
TOTAL EXPENDITURES	24,089,845	3,696	24,093,541	6,023,385	5,111,480	(18,982,061)	21.22%
EXCESS OF REVENUES OVER EXPENDITURES	\$ 155,728,078	N/A	\$ 155,724,382	\$ 38,931,096	\$ 28,803,925	\$ (126,920,457)	18.50%

* Represents original information given to the Commission in May 2025.
The percent of the fiscal year elapsed through September 30, 2025 is 25.0%.

** Amount includes Long Bill items and Supplemental Appropriations.

*** The original tax projection assumed an AGP increase of 2.57%, which was then applied to the existing casinos' graduated tax tiers. The \$31,928,124 is this tax projection through September, which is \$1,697,893 less than the actual taxes collected for the same period.

^^ Calculated number is not a sum, rather elapsed percentage of Annual Revised Estimated Budget.



COLORADO

Department of Revenue

Specialized Business Group—Gaming
1707 Cole Blvd., Suite 300
Lakewood, CO 80401

Memo

To: Colorado Limited Gaming Control Commission
From: Tseko Ivanov, Gaming Controller
Cc: Chris Schroder
Date: November 20, 2025
Re: September 2025 Gaming Fund Financial Statement Presentation

Following are highlights from the Gaming Fund financial statements ending September 30, 2025.

Statement of Revenues, Expenditures, and Changes in Fund Balance

Gaming tax revenues have increased by \$1,859,652 or 5.9% over last year. Total Revenues for the Limited Gaming Fund as of September 30 were \$33,915,405, a 3.1% increase compared to September 2024.

Total expenditures for the period ending September 2025 were approximately \$5.1 million. This represents a 10.8% increase over last year. The main reason for the increase is in the Salaries and Benefits, which increased by \$312,145 or 12.4% over last year. There was a 5.7% increase in the average of health, dental and life expenditures this fiscal year and we had 10 more employees in September of this year compared to last year. The PERA Direct Distribution expenditure is \$10,353 more this fiscal year compared to last fiscal year. The Colorado State Patrol expenditure increased \$135,803 or 13.7% over September of last year.

The excess of total revenues over expenditures was \$28,803,925. This is a 10.8% increase over last year and represents the amount we could distribute as of September 30.

Statement of Budget to Actual

Total revenues collected through September 30, 2025, were 18.9% of budgeted. Total expenditures were 21.2% of budgeted, which is below the 25% of the fiscal year that has elapsed. In addition, the excess of revenues over expenditures was 18.5% of budgeted.

Please feel free to contact me if you have any questions on the Gaming Fund financial statements.

Item V

Sports Betting Financial Statements for September 2025



**STATEMENT OF SPORTS BETTING REVENUES,
SPORTS BETTING TAXES, AND EXPENDITURES
(UNAUDITED)**

**FOR THE THREE (3) MONTHS ENDED
SEPTEMBER 30, 2025**

**DIVISION OF GAMING
STATEMENT OF REVENUES
SPORTS BETTING TAXES, AND
EXPENDITURES
(UNAUDITED)**

TABLE OF CONTENTS

Comparative Statement of Revenues, Expenditures, and Changes in Fund Balance.....	1
Budget Status	2

COLORADO DIVISION OF GAMING
SPORTS BETTING COMBINED STATEMENT OF REVENUES,
EXPENDITURES, AND CHANGES IN FUND BALANCE
THREE MONTHS ENDED SEPTEMBER 30, 2025 AND 2024
(UNAUDITED)

	FY 2026			FY 2025		
	HOLD-HARMLESS FUND	SPORTS BETTING FUND	TOTAL SPORTS BETTING FUNDS	HOLD-HARMLESS FUND	SPORTS BETTING FUND	TOTAL SPORTS BETTING FUNDS
REVENUES:						
Sports Betting Taxes	\$ 0	\$ 9,656,736	\$ 9,656,736	\$ 0	\$ 8,794,212	\$ 8,794,212
License and Application Fees	0	70,412	70,412	0	74,363	74,363
Sports Betting Operations Fees	0	1,131,000	1,131,000	0	1,622,400	1,622,400
Background Investigations	0	9,668	9,668	0	14,061	14,061
Fines	0	90,000	90,000	0	252	252
Interest Income	30,985	320,339	351,324	22,298	291,399	313,697
TOTAL REVENUES	30,985	11,278,155	11,309,140	22,298	10,796,687	10,818,985
EXPENDITURES:						
Salaries and Benefits	0	820,744	820,744	0	790,976	790,976
Annual and Sick Leave Payouts	0	7,182	7,182	0	4,272	4,272
Professional Services	0	0	0	0	20,000	20,000
Travel	0	2,431	2,431	0	712	712
Automobiles	0	10,104	10,104	0	4,509	4,509
Printing	0	981	981	0	1,091	1,091
Computer Services & Name Searches	0	2,936	2,936	0	3,592	3,592
Materials, Supplies, and Services	0	16,664	16,664	0	15,651	15,651
Postage	0	12	12	0	23	23
Telephone	0	3,846	3,846	0	3,648	3,648
Other Operating Expenditures	0	4,172	4,172	0	3,191	3,191
Leased Space	0	6,418	6,418	0	8,666	8,666
Capital Outlay	0	0	0	0	30,000	30,000
EXPENDITURES - SUBTOTAL	0	875,490	875,490	0	886,331	886,331
STATE AGENCY SERVICES						
State Auditors	0	11,450	11,450	0	13,525	13,525
Public Safety Communications	0	1,477	1,477	0	0	0
Indirect Costs - Department of Revenue	0	84,470	84,470	0	48,497	48,497
Colorado Department of Law	0	27,797	27,797	0	21,508	21,508
OIT Purchased Services	0	77,555	77,555	0	72,447	72,447
TOTAL STATE AGENCY SERVICES	0	202,749	202,749	0	155,977	155,977
Background Expenditures	0	326	326	0	790	790
TOTAL EXPENDITURES	0	1,078,565	1,078,565	0	1,043,098	1,043,098
EXCESS OF REVENUES OVER EXPENDITURES	30,985	10,199,590	10,230,575	22,298	9,753,589	9,775,887
OTHER FINANCING SOURCES (USES):						
Sports Betting Distribution	0	(33,498,236)	(33,498,236)	0	(26,793,679)	(26,793,679)
Transfer to Hold-Harmless Fund	0	(1,740,000)	(1,740,000)	0	(1,740,000)	(1,740,000)
Transfer from Sports Betting Fund	1,740,000	0	1,740,000	1,740,000	0	1,740,000
FUND BALANCE AT JULY 1, 2025 & 2024	3,496,883	35,246,367	38,743,250	2,398,738	29,980,188	32,378,926
TOTAL FUND BAL. SEPTEMBER 30, 2025 & 2024	\$ 5,267,868	\$ 10,207,721	\$ 15,475,589	\$ 4,161,036	\$ 11,200,098	\$ 15,361,134

COLORADO DIVISION OF GAMING
SPORTS BETTING STATEMENT OF BUDGET TO ACTUAL
THREE MONTHS ENDED SEPTEMBER 30, 2025
(UNAUDITED)

	BEGINNING BUDGET *	SUPPLE- MENTAL CHANGES / ROLLFORWARDS	ANNUAL REVISED ESTIMATED BUDGET **	25.0% OF BUDGETED AMOUNT	YEAR-TO-DATE ACTUAL	OVER / (UNDER) ANNUAL BUDGET	% EARNED % EXPENDED OF ANNUAL BUDGET
REVENUES:							
Sports Betting Taxes	\$ 35,146,955	\$ 0	\$ 35,146,955	\$ 8,786,739	\$ 9,656,736	\$ (25,490,219)	27.48%
License and Application Fees	207,600	0	207,600	51,900	70,412	(137,188)	33.92%
Sports Betting Operations Fees	3,070,194	0	3,070,194	767,548	1,131,000	(1,939,194)	36.84%
Background Investigations	56,712	0	56,712	14,178	9,668	(47,044)	17.05%
Fines and Fees	0	0	0	0	90,000	90,000	100.00%
Interest Revenue	811,895	0	811,895	202,974	320,339	(491,556)	39.46%
TOTAL REVENUES	39,293,356	0	39,293,356	9,823,339	11,278,155	(28,015,201)	28.70%
EXPENDITURES:							
Personal Services	4,287,693	0	4,287,693	1,071,923	837,579	(3,450,114)	19.53%
Operating Expenditures	175,038	0	175,038	43,759	23,922	(151,116)	13.67%
Workers Compensation	7,187	0	7,187	1,797	1,797	(5,390)	25.00%
Risk Management	13,436	0	13,436	3,359	3,359	(10,077)	25.00%
Licensure Activities	37,701	0	37,701	9,425	2,948	(34,753)	7.82%
Leased Space	37,835	0	37,835	9,459	6,418	(31,417)	16.96%
Vehicle Lease Payments - Fixed	57,952	0	57,952	14,488	8,361	(49,591)	14.43%
Vehicle Lease Payments - Variable	4,525	0	4,525	1,131	1,743	(2,782)	38.52%
Legal Services	111,190	0	111,190	27,798	27,797	(83,393)	25.00%
CORE Operations	3,253	0	3,253	813	813	(2,440)	24.99%
Payments to Office of Information Technology	267,100	0	267,100	66,775	77,555	(189,545)	29.04%
Tax Admin - Maintenance and Support	0	14,930	14,930	3,733	0	(14,930)	0.00%
Indirect Costs - Department of Revenue	368,799	0	368,799	92,200	84,470	(284,329)	22.90%
Digital Trunk Radios	5,908	0	5,908	1,477	1,477	(4,431)	25.00%
Division Expenditures	5,377,617	14,930	5,392,547	1,348,137	1,078,239	(4,314,308)	19.99%
Non Personal Services Background Expenditures	106,551	0	106,551	26,638	326	(106,225)	0.31%
TOTAL EXPENDITURES	5,484,168	14,930	5,499,098	1,374,775	1,078,565	(4,420,533)	19.61%
EXCESS OF REVENUES OVER EXPENDITURES	\$ 33,809,188	N/A	\$ 33,794,258	\$ 8,448,564	\$ 10,199,590	\$ (23,594,668)	30.18%

* Represents original information given to the Commission in May of 2025.
The percent of the fiscal year elapsed through September 30, 2025 is 25.0%.
** Amount includes Long Bill items and Supplemental Appropriations.



COLORADO

Department of Revenue

Specialized Business Group—Gaming
1707 Cole Blvd., Suite 300
Lakewood, CO 80401

Memo

To: Colorado Limited Gaming Control Commission
From: Ryan Golden, Deputy Gaming Controller
Cc: Christopher Schroder
Date: November 20, 2025
Re: September 2025 Sports Betting Fund Financial Statements

Following are highlights from the Sports Betting Fund financial statements ending September 30, 2025.

Statement of Revenues, Expenditures, and Changes in Fund Balance

Current fiscal year Sports Betting Tax revenue was \$9,656,736 which is an increase of \$862,524 or 10% over the prior fiscal year. Current fiscal year Sports Betting Operations Fees revenue was \$1,131,000, which is a decrease of \$491,400 over the prior fiscal year. Through September of the current fiscal year 23 total operations fees were collected, 10 for internet operations and 13 for on-site operations. Through September of the prior fiscal year 31 total operations fees were collected, 19 for internet operations and 12 for on-site operations. Both internet and on-site operations fees did not change year over year..

Total Sports Betting Fund revenues through September 2025 increased by \$481,468 or 4% over September 2024.

Total Sports Betting Fund expenditures through September 2025 were \$1,078,565 which is an increase of 3% over September 2024. The increase is due in part to the increase of \$29,768 in salaries and benefits.

Statement of Budget to Actual

Total revenues collected through September 2025 were about 29% of budgeted. Total expenditures were about 20% of budgeted, which is below the 25% of the fiscal year that has elapsed. Excess of revenues over expenditures was 30% of budgeted.

Please feel free to contact me if you have any questions on the Sports Betting Fund financial statements.

Item VI

Stipulation & Agreement Regarding BetMGM, LLC d/b/a Roar Digital

BEFORE THE LIMITED GAMING CONTROL COMMISSION
STATE OF COLORADO

Case Report No. DOG25004556

STIPULATION AND AGREEMENT

IN THE MATTER OF:

BetMGM, LLC d/b/a Roar Digital
Temporary Internet Sports Betting Operator License No. 46474397,

Respondent.

TO:
BetMGM, LLC d/b/a Roar Digital

The Colorado Division of Gaming (the “Division”) and BetMGM, LLC d/b/a Roar Digital, Temporary Internet Sports Betting Operator License No. 46474397 (“Respondent”), hereby stipulate and agree as follows:

1. Respondent has been the subject of a Division investigation concerning allegations that Respondent failed to observe and comply with the provisions of Colorado Limited Gaming Act section 44-30-524, C.R.S.; Colorado Sports Betting Rule 1.3, 1 C.C.R. 207-2; and certain other provision of law referenced in this Stipulation and Agreement.

IT IS ALLEGED THAT:

- A. At all times relevant to this Stipulation and Agreement, Respondent was licensed as Colorado Temporary Internet Sports Betting Operator License No. 46474397.

March 2023 Games

- B. On March 28, 2023, two collegiate sports events occurred at the National Invitation Tournament (“March 28 Collegiate Sports Events”).

- C. On March 28, 2023, Respondent offered proposition bets (“prop bets”) on the March 28 Collegiate Sports Events.
- D. On March 28, 2023, Respondent accepted eight (8) wagers totaling \$346.00 from Colorado bettors on prop bets on the March 28 Collegiate Sports Events.
- E. On August 29, 2024, Respondent self-reported to the Division that Respondent had accepted eight (8) wagers from Colorado bettors on prop bets on the March 28 Collegiate Sports Events.
- F. On September 10, 2024, Respondent submitted an incident report to the Division summarizing the self-reported acceptance of eight (8) wagers from Colorado bettors on prop bets on the March 28 Collegiate Sports Events.
- G. On December 11, 2024, the Division issued a warning letter to Respondent that Respondent had accepted eight (8) wagers from Colorado bettors on prop bets on the March 28 Collegiate Sports Events.
- H. On December 19, 2024, Respondent responded to the Division’s warning letter regarding the eight (8) wagers that Respondent had accepted from Colorado bettors on prop bets on the March 28 Collegiate Sports Events.
- I. Pursuant to section 44-30-524, C.R.S., any Colorado sports betting license may be suspended, revoked, or face a monetary penalty for any cause which would have prevented its issuance or for any violation by the licensee of this article or any rule or regulation promulgated by the Limited Gaming Control Commission (the “Commission”).
- J. Under Sports Betting Rule 1.3(3), 1 C.C.R. 207-2, no licensee shall conduct or permit through any online or electronic means any sports betting on proposition bets on collegiate sports events.
- K. By accepting eight (8) wagers from Colorado bettors on prop bets on the March 28 Collegiate Sports Events, Respondent conducted and/or permitted through electronic means sports betting on proposition bets on a collegiate sports event in violation of Sports Betting Rule 1.3, 1 C.C.R. 207-2.

April 2024 Games

- L. On April 1, 2024, a women’s collegiate sports event occurred between Louisiana State University and the University of Iowa (“April 1 Collegiate Sports Event”).

- M. On or about April 1, 2024, Respondent offered prop bets on the April 1 Collegiate Sports Event.
- N. On April 2, 2024, a collegiate sports event occurred between University of Connecticut and University of Southern California ("April 2 Collegiate Sports Event").
- O. On or about April 2, 2024, Respondent offered prop bets on the April 2 Collegiate Sports Event.
- P. On April 1 and April 2, 2024, Respondent accepted a total of thirty-five (35) wagers totaling \$443.07 from Colorado bettors on prop bets on the April 1 Collegiate Sports Event and the April 2 Collegiate Sports Event.
- Q. On August 22, 2024, Respondent self-reported to the Division that Respondent had accepted a total of thirty-five (35) wagers from Colorado bettors on prop bets on the April 1 Collegiate Sports Event and April 2 Collegiate Sports Event.
- R. On August 30, 2024, Respondent submitted an incident report to the Division summarizing the self-reported acceptance of thirty-five (35) wagers from Colorado bettors on prop bets on the April 1 Collegiate Sports Event and April 2 Collegiate Sports Event.
- S. On December 11, 2024, the Division sent a warning letter to Respondent that Respondent had accepted total of thirty-five (35) wagers from Colorado bettors on prop bets on the April 1 Collegiate Sports Event and April 2 Collegiate Sports Event.
- T. On December 19, 2024, Respondent responded to the Division's warning letter regarding the thirty-five (35) wagers that Respondent had accepted from Colorado bettors on prop bets on the April 1 Collegiate Sports Event and April 2 Collegiate Sports Event.
- U. By accepting thirty-five (35) wagers from Colorado bettors on prop bets on the April 1 Collegiate Sports Event and April 2 Collegiate Sports Event, Respondent conducted and/or permitted through electronic means sports betting on proposition bets on a collegiate sports event in violation of Sports Betting Rule 1.3, 1 C.C.R. 207-2.

October 2024 Game

- V. On October 19, 2024, a collegiate sports event occurred between University of Nevada Las Vegas and Oregon State University ("October 19 Collegiate Sports Event").

- W. On October 19, 2024, Respondent offered prop bets on the October 19 Collegiate Sports Event.
 - X. On October 19, 2024, Respondent accepted a total of five (5) wagers from Colorado bettors on prop bets on the October 19 Collegiate Sports Event.
 - Y. On October 29, 2024, Respondent self-reported to the Division that Respondent had accepted a total of five (5) wagers totaling \$90.71 from Colorado bettors on prop bets on the October 19 Collegiate Sports Event.
 - Z. On November 12, 2024, Respondent submitted an incident report to the Division summarizing the self-reported acceptance of five (5) wagers from Colorado bettors on prop bets on the October 19 Collegiate Sports Event.
 - AA. By accepting five (5) wagers from Colorado bettors on prop bets on the October 19 Collegiate Sports Event, Respondent conducted and/or permitted through electronic means sports betting on proposition bets on a collegiate sports event in violation of Sports Betting Rule 1.3, 1 C.C.R. 207-2.
2. Respondent acknowledges receipt of sufficient notice, advisement of rights, and process of the proceedings and wishes to resolve all issues by entering into this Stipulation and Agreement.
 3. The Division and Respondent have discussed the merits of the investigation and allegations, and they have come to a mutual agreement and understanding to jointly propose to the Commission a resolution of the allegations in lieu of proceeding to the issuance by the Commission of an Order to Show Cause and conducting a hearing to determine the merits of such allegations.
 4. Respondent admits the violations as alleged above in paragraph 1. Respondent understands the requirements of the Colorado Constitution, the Limited Gaming Act of 1991 and the rules promulgated pursuant thereto and further assures the Division and the Commission that Respondent will comply with the letter of the law in this regard.
 5. Respondent agrees, in lieu of the issuance of an Order to Show Cause, and subsequent proceedings, to submit to the following sanctions:
 - A. Respondent agrees to pay a total fine of \$50,000. The subsequent actions of Respondent in correcting the issue are considered in the calculation.
 - i. \$25,000 is due and payable within ten (10) days of the Commission's Order accepting this Stipulation. Respondent shall ensure that the Division receives the payment within ten (10)

days of the Commission's Order accepting this Stipulation. Payment should be delivered to the Division of Gaming, 1707 Cole Boulevard, Suite 300, Lakewood, CO 80401, Attention: Michele Routzon.

- ii. The remaining \$25,000 will be held in abeyance for a period of 730 days (or two years) from the date of the Commission's Order accepting this Stipulation. If Respondent is found in violation of any Colorado laws under Part 15 of Article 30 of Title 44, C.R.S. and/or any of the Commission's Regulations under 1 C.C.R. 207-2 (Sports Betting Regulations), and such violation was committed within the 730 day period, Respondent shall remit the entire remaining \$25,000, due and payable within ten (10) days of a final decision or fully executed stipulated agreement establishing any such violations.
- B. Respondent agrees to enhance ongoing training for all Live Traders focused on regulatory risk awareness and proper market set up procedures.
- C. Respondent agrees to submit training materials and evidence of conducting this training to the Division within forty (40) days of the Commission's Order accepting this Stipulation.
- D. Respondent assures the Division it will affirmatively and actively, at all times hereafter, comply with the Colorado Constitution, the Colorado Limited Gaming Act, and Colorado Sports Betting Regulations.
- 6. Respondent agrees to strictly adhere to and meet all requirements established in this Stipulation and Agreement.
- 7. Proof of failure to comply with the terms of this Stipulation and Agreement shall be considered prima facie evidence of a violation of Part 15 of Article 30 of Title 44, C.R.S. and the Commission's Regulations under 1 C.C.R. 207-2 (Sports Betting Regulations). If Respondent fails to comply with one or more of the conditions of this Stipulation and Agreement, Respondent will be ordered to appear before the Commission to show cause why further judgment and discipline should not be imposed against Respondent.
- 8. This Stipulation and Agreement shall not be effective unless and until approved by the Commission. The Commission ultimately has the right to accept or reject this Stipulation and Agreement. Should the Commission reject the terms hereof, Respondent's admissions herein shall be withdrawn, and the matter will be scheduled for a hearing on the Order to Show Cause. Should the Commission modify any term hereof, Respondent may accept or reject the change. If Respondent rejects the modified terms, the Commission must

receive a written objection at its Lakewood office within seven days of the Commission's determination, at which time Respondent's admissions herein will be withdrawn, and the matter will be scheduled for a hearing after issuance of an Order to Show Cause. Otherwise, Respondent shall be deemed to have accepted the modified terms imposed by the Commission.

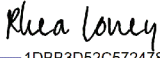
9. By the signatures below, Respondent also acknowledges:
 - A. Respondent understands and has been advised of the maximum penalty under law if this matter proceeds to a hearing on the Order to Show Cause (revocation or suspension of licensing privileges, and \$25,000 for each count charged);
 - B. Respondent waives the right to a hearing on the Order to Show Cause, the right to compel the attendance of witnesses to testify in its behalf, the right to testify or not testify at the hearing in its behalf, and any rights to further due process not herein referenced, including the right to appeal; and,
 - C. Respondent voluntarily admits the violations noted above, and further agrees that this Stipulation and Agreement incorporates all agreements between the parties, and that no other representation by agents of the Division or of the Office of the Attorney General induced participation in this Stipulation and Agreement.

AGREED TO BY:

_____, 2025
Christopher Schroder, Director
Colorado Division of Gaming

Respondent:

BetMGM, LLC d/b/a Roar Digital
Temporary Internet Sports Betting Operator License No. 46474397

Signed by:
By:  _____, 10/17/2025, 2025
1DBB3D52C572478...
Representative for Respondent

APPROVED AS TO FORM:

_____, 2025
Bradford Jones
Senior Assistant Attorney General
Counsel for the Division of Gaming

_____, 2025
Counsel for Respondent

Item VII

Limited Gaming Rule 12

Memo

To: Colorado Limited Gaming Commission
From: Georgia McBride, Chief Technology Officer
CC: Christopher Schroder, Kirsten Gregg, Kenya Collins and Allen Hiserodt
Date: November 20, 2025
Re: Proposed Change to Rule 12, 30-1242 (2) Software requirements for percentage payouts

On November 20, 2025, I will be presenting a proposed Rule change to the Commission on behalf of the Division of Gaming. The proposed changes will affect Gaming Rule 12, 30-1242 (2) Software requirements for percentage payouts, which states the payout odds for multi-link progressives.

The current rule states “The slot machine game program must have a probability of obtaining the maximum advertised single payout better than 1 in 17 million. A multi-link progressive slot machine game program must have a probability of obtaining the maximum advertised payout better than 1 in 50 million”.

The proposed rule change would clarify the odds to include 1 in 17 and 1 in 50 million. “The slot machine game program must have a probability of obtaining the maximum advertised single payout better than OR AT 1 in 17 million. A multi-link progressive slot machine game program must have a probability of obtaining the maximum advertised payout better than OR AT 1 in 50 million”. This would allow for 1 in 17 and 1 in 50 million odds.

BASIS AND PURPOSE FOR RULE 12

The purpose of Rule 12 is to establish a procedure for the testing and approval by the Commission of gaming devices and equipment, to establish requirements for the gaming devices and equipment to be used in limited gaming in Colorado, and to establish procedures for the storage of gaming devices and equipment in compliance with section 44-30-302 (2), C.R.S. The statutory basis for Rule 12 is found in sections 44-30-201, C.R.S., 44-30-203, C.R.S., 44-30-302, C.R.S., and 44-30-806, C.R.S.

RULE 12 GAMING DEVICES AND EQUIPMENT

30-1242 Software requirements for percentage payout.

The slot machine must meet the following maximum and minimum theoretical pay out during the expected lifetime of the slot machine:

- (2) The slot machine game program must have a probability of obtaining the maximum advertised single payout better than **OR AT** 1 in 17 million. A multi-link progressive slot machine game program must have a probability of obtaining the maximum advertised payout better than **OR AT** 1 in 50 million.

Item VIII

Limited Gaming Rules 2 & 29

Memo

To: Colorado Limited Gaming Commission

From: Corrie Martinez, Responsible Gaming Manager

CC: Christopher Schroder, Kirsten Gregg, Allen Hiserodt, and Kenya Collins

Date: October 27, 2025

Re: Proposed Rule Changes - Summary

On November 20, 2025, I will be presenting proposed rule changes to the Commission on behalf of the Division of Gaming. The proposed changes will affect Gaming Rule 29 and Sports Betting Rule 9, Responsible Gaming and Self-Restriction.

The proposed rule changes are measures for player protection, clarification for advertising and promotions, ensuring responsible gaming resources are easily accessible to patrons, ensuring the messaging of Gambling Problem? Call or text 1-800-GAMBLER is prominent with anything related to and will entice a Colorado patron to gamble, identifying opportunities for licensees to intervene and educate those patrons who self-exclude from gambling in Colorado who continue to choose to gamble, cultivating a culture of responsible gaming with the licensee through rules which in turn become policies within the organization, mechanisms to enforce the rules to protect at-risk patrons, and accountability for operators to adhere to their responsible gaming strategic plan and internal policies.

BASIS AND PURPOSE FOR RULE 2

The purpose of Rule 2 is to delegate certain authority to the Director or other Division agent; provide for the review of any action taken pursuant to such authority; provide for the reference by the Director of matters delegated to the Director back to the Commission; and to establish procedures for Commission actions and hearings. Rule 2 also empowers the Commission to contract for legal counsel, and directs the Licensee to obtain moneys owed to a deceased patron and properly distribute such moneys. The statutory basis for Rule 2 is found in sections 44-30-201, C.R.S., 44-30-203, C.R.S., 44-30-301, C.R.S., 44-30-302, C.R.S., 44-30-501, C.R.S., 44-30-502, C.R.S., 44-30-507, C.R.S., 44-30-1103, C.R.S., and ~~24-4-105, 44-30-1702~~ C.R.S. *Amended 1/14/15*

RULE 2 POWERS AND DUTIES OF COMMISSION AND DIRECTOR *Amended 1/14/15*

30-215 Responsible gaming grant program. *Effective 2/14/23*

(1) Responsible gaming grant program creation.

The Commission shall operate a program to administer grants from the responsible gaming grant program cash fund. The Commission in collaboration with the behavioral health administration, shall administer the grant program, and shall award grants. The program shall provide grants for supporting efforts that impact, improve and support responsible gaming and problem gambling programs and the issues that come with increased gaming and gaming options. The responsible gaming grant program is meant to provide meaningful funding and encourage prevention, education on gambling addiction, additional gambling addiction counselors, public awareness, treatment, recovery, data and research. Additionally, the Commission shall ensure that the grantees use the money for which the money was awarded and report to the Commission the results of whether the objectives of the grant were achieved. ~~The~~ terms of gaming and ~~e~~ gambling are synonymous for the purposes of this Rule.

- (d) To be eligible for the ~~initial~~ grant process, the applicant must ~~have~~ **SUBMIT** their application in ~~on or before December 1, 2022 BY THE DIVISION'S STATED DEADLINE, WHICH WILL BE ANNOUNCED BY THE DIVISION THIRTY (30) DAYS IN ADVANCE.~~ Application deadlines for subsequent grant distributions, provided that grant money is available, shall be received by ~~December 1st-THE DEADLINE~~ of that grant year.
- (e) Grant applications shall be reviewed by the Division and presented to the Commission for its consideration no later than March 1st of the upcoming calendar year. If for any reason, after releasing ~~THE~~ initial grant funding, the Commission has funds available in the fund, the Commission may, at ~~their~~ **IT'S** sole discretion, decide to take applications for additional grant funding. If the Commission elects to take additional applications, it shall also set the time frame for applications and distribution.

(2) Responsible gaming grant application.

~~Eligible applicants for responsible gaming grants must file an approved application by the date set by the Commission. All applications must be received by the Division of eGaming on or before the date set by the DIVISION Commission. Applications will be reviewed by the Division for completeness, content and eligibility. Applications must include the following information:~~

- (g) Acknowledge that the grant applicant or if the applicant is a nonprofit, that the applicant and/or a majority of board members are not affiliated with a person licensed under article 44-30; **OF TITLE 44, C.R.S.**

~~(h) Grant applications shall be reviewed by the Division and presented to the Commission no later than March 1st of the upcoming calendar year. If for any reason, after releasing initial grant funding, the Commission has funds available in the fund, the Commission may, at their sole discretion, decide to take applications for additional grant funding. If the Commission elects to take additional applications it shall also set the time frame for applications and distribution.~~

(3) Reviewing responsible gaming grant applications and criteria for awarding grants.

When awarding grants, the Commission shall employ a process for collaboration with the behavioral health administration. In consideration of awarding grants, the Commission shall consider the following criteria:

- (a) The current needs of the state relating to responsible ~~AND~~/or problem gambling;
- (d) Whether the eligible applicant intends to use grant money for any of the following purposes:
 - (viii) Research for problem gambling or gambling addiction; and
 - ~~(viii)~~ Costs associated with research for problem gaming or gambling addiction.

(4) Duties and responsibilities of the grantee.

The following are the duties and responsibilities of the grantee during the calendar year grant money was awarded:

- (b) On or before September 1st ~~EACH YEAR, 2023, and on or before September 1 each year thereafter~~, each grantee shall submit a report ~~FOR EACH APPROVED GRANT~~ to the Commission ~~FOR THE PREVIOUS GRANT PERIOD YEAR~~. At a minimum, the report must include the following information:
 - (iii) A description of the impact of the grantees use of grant money on the community with regards to responsible gaming ~~and~~/or problem gambling;
- (c) Prior to any grant money being dispersed, once the Commission has made it's grant decisions, grantees must cooperate to finalize all ~~needed~~ state purchasing contract paperwork.

(5) Reporting responsibilities of the Commission and Division.

~~The following are the reporting responsibilities of the Commission and Division:~~

- (a) On ~~or before December 1, 2023 and on~~ or before December 1 each ~~GRANT PERIOD~~ year, ~~thereafter~~ the Commission shall create and submit a summarized report in accordance with C.R.S. 44-30-1702(7)(b). ~~AT~~ a minimum ~~THE~~ report must include the following information:

BASIS AND PURPOSE FOR RULE 29

The purpose of Rule 29 is to specify the requirements of licensees and responsible gaming, to designate certain duties of licensees and patrons related to self-restriction, to specify requirements concerning responsible advertising and promotions and to outline the process for involuntary exclusion from retail gaming establishments. The statutory basis for Rule 29 is found in sections 44-30-201, C.R.S., 44-30-202, C.R.S., 44-30-203, C.R.S., 44-30-302, C.R.S., 44-30-502, C.R.S., 44-30-510, C.R.S., 44-30-528, C.R.S., 44-30-531, C.R.S., 44-30-827, C.R.S., 44-30-833, C.R.S. ~~18-20-112 C.R.S.~~, 44-30-1701, C.R.S., 44-30-1702, C.R.S., and 44-30-1703, C.R.S.

RULE 29 RESPONSIBLE GAMING AND SELF-RESTRICTION

30-2901 Display of responsible gaming logo.

- (1) Each retail gaming licensee shall display a responsible gaming logo on their website as well as prominently displayed within the retail casino, in a manner approved by the Director or Director's designee, to direct a patron to the retail gaming licensee's responsible gaming webpage or the Division's responsible gaming webpage. Retail gaming licensees shall operate under the same responsible gaming guidelines as detailed in these Rules, where applicable. Responsible gaming information shall be accessible to patrons within a licensed gaming facility or on their website and shall contain, at a minimum, the following:
 - (b) Information on and a link to the website and other internet resources dedicated to helping people with potential gambling problems as directed by the Commission; **AND**
- (3) Retail gaming licensees with brick-and-mortar sports betting locations within the casino must make information available promoting responsible gaming and where to find assistance, including a toll-free help line number. This information shall be available and visible in sports betting areas affixed to all sports betting kiosks, and at cash access devices.

30-2902 Retail gaming licensee's self-exclusion.

Each retail gaming licensee shall establish and maintain a self-exclusion program for patrons **CONSISTENT WITH REGULATION 30-2905**. ~~Each retail gaming licensee shall participate by sharing self-exclusion data with the Division in accordance with Regulation 30-2905. Each retail gaming licensee shall participate by accessing the Division's database through a secure portal or electronically importing self-excluded patron information. If a retail gaming licensee is unable to access either of these methods for registering a self-excluded patron information another method may be approved by the Director.~~

30-2903 Direct marketing to **INDIVIDUALS ON THE EXCLUSION LIST prohibited gaming participants.**

- ~~(1) For the purpose of this Rule, "prohibited participant" shall mean individuals who have voluntarily requested to be excluded from gaming activities and individuals who are required by the Commission to be excluded or ejected from licensed gaming establishments, and sports betting individuals who are prohibited from placing wagers on certain sporting events to the extent that those individuals reside in the Division's exclusion list database.~~
- (12)** A retail gaming licensee shall make all reasonable efforts to ensure **that individuals on the Exclusion List** ~~prohibited participants~~ do not receive direct marketing from the retail gaming licensee or marketing affiliates.
- (23)** A retail gaming licensee will satisfy this requirement if the retail gaming licensee and/or marketing affiliates remove the ~~prohibited participant's~~ name and resident address **OF INDIVIDUALS ON THE EXCLUSION LIST** ~~and email address~~ from the list of patrons to whom direct marketing materials are sent.
- (34)** A Retail gaming licensee **directly or through and/or** their marketing affiliates shall use the current ~~Exclusion List~~ provided by the Division. **TO PREVENT INDIVIDUALS BEING DIRECTLY ADVERTISED TO.** ~~The Exclusion List or in-person changes~~ shall be used **expeditiously** to update all direct marketing lists to ensure that **INDIVIDUALS ON THE EXCLUSION LIST** ~~excluded and prohibited players~~

are not directly targeted, ~~including but not exclusive of~~ direct mail, ~~PHONE CALLS, TEXT MESSAGES, AND~~ email, ~~S, OR ANY OTHER FORM OF DIRECT COMMUNICATION.~~

- (5) ~~Rule 30-2903(4) shall not apply to persons identified in 44-30-1502 C.R.S. that are only prohibited from betting on a sporting event that is overseen by that person's sports governing body.~~

30-2904 Responsible advertising and promotions.

- (1) All offers and bonuses must:

(f) ~~Players that self-exclude shall not, while on the exclusion list, be able to redeem points, bonuses, comps or freeplay.~~

- (2) ~~NO PERSON MAY, WHILE ON THE EXCLUSION LIST, REDEEM POINTS, BONUSES, COMPS OR FREE PLAY.~~

- (3) Prohibition on advertising that targets underage participants:

- (43) Retail gaming licensees ~~on or before October 1, 2023, and~~ on or before October 1ST each year ~~thereafter~~ shall submit to the Director a report that describes the efforts of the licensee in the preceding state fiscal year (July 1st through June 30th) to promote responsible gaming in the state via advertising and other promotional methods and the licensee's plans concerning such promotional efforts in the current state fiscal year. *(Effective temp. 10/27/22, perm. 2/14/23)*

- (54) Retail gaming licensees ~~DIRECTLY OR THROUGH and/or~~ their marketing affiliates shall include a prominent message, which states, "Gambling problem? Call or TEXT 1-800- GAMBLER" on all forms of media advertisement to Colorado consumers, including but not limited to: electronic mail (email), video advertisements, digital/online advertising, television advertisements, radio advertisement, letters, pamphlets and newspaper/magazine advertisements. The written message of "Gambling problem? Call or TEXT 1-800- GAMBLER" shall appear in conspicuous and legible type in contrast by typography, layout, or color with all other printed material on the advertisement. Verbal messaging of "Gambling problem? Call or TEXT 1-800- GAMBLER" shall be audible and understandable. Advertisements that only reference restaurants and/or the hotel at a retail gaming licensee's casino(s) and do not market gaming and/or sports betting, including gaming and/or sports betting spaces, are exempt from this provision. The words "or text" may be excluded upon the Director's prior approval. ~~ANY GAMING-RELATED COMMUNICATION THAT WILL ENTICE A COLORADO CONSUMER TO GAMBLE AND ANY GAMING-RELATED MATERIALS SHALL HAVE THE PROMINENT MESSAGE OF "GAMBLING PROBLEM? CALL OR TEXT 1-800-GAMBLER."~~

(A) ~~ENTICE MEANS TO ATTRACT, INVITE, AND/OR PROMOTE FOR PURPOSES OF THE PROVISION.~~

30-2905 Exclusion list – Duties and responsibilities.

- (1) ~~Database creation~~ DIVISION EXCLUSION LIST.

The Division shall operate a program to consolidate excluded, ~~AND~~ self-excluded ~~and prohibited~~ individuals in one ~~interactive database repository~~ EXCLUSION LIST ~~in order~~ to keep those individuals from participating in Colorado gaming. The program shall provide an EXCLUSION LIST ~~interactive protected database~~ for retail gaming licensees, ~~Ss~~ports ~~Bb~~etting ~~Oo~~perations, ~~sports leagues and individuals that participate in gaming in Colorado,~~ as well as any licensed third-party vendors pre-approved by the Director or the Director's designee which contract with a licensee ~~or~~

~~Sports Betting Operation~~. The sole purpose of the ~~E~~exclusion ~~L~~ist and database is to ensure timely updates of individuals that may not participate in gaming ~~AND SPORTS BETTING for all gaming operations~~ in Colorado. The ~~E~~exclusion ~~L~~ist shall only be used for the purpose of identifying those individuals who ~~HAVE BEEN~~ ~~are~~ ~~EXCLUDED~~ ~~prohibited~~ from gaming and those that may have excluded themselves ~~because of their gambling problem~~. Licensees that receive data from the Division shall use it solely to update their database whether directly or through a Division-approved third-party vendor. The information contained in the ~~database~~ ~~EXCLUSION LIST~~ and updates provided to the licensees are confidential and shall only be used for ~~THE~~ ~~its~~ intended purpose. Limited information may be shared with affiliates and Division-approved third-party vendors for the purpose of ensuring ~~self-excluded~~ individuals ~~ON THE EXCLUSION LIST~~ do not receive direct marketing. It is a violation for any licensee to use the confidential data in any other way. The Director shall determine how each licensee, league, or ~~individual~~ ~~PERSON~~ interacts with the database.

- (a) Retail gaming licensees shall, ~~as part of their self-exclusion and responsible gaming program~~, make available a self-exclusion ~~form~~APPLICATION to a patron requesting to self-exclude. ~~The retail gaming licensee shall input the self-exclusion information provided through the secure Division portal direct the patron to a dedicated computer on the licensee's property where the patron can access the Division's website directly to request self-exclusion if the portal is not available, direct the patron to the Division's website for self-exclusion at a later time.~~
- (b) Retail gaming licensees that receive updates daily from the Division shall update all new excluded persons within their database whether directly or through a Division-approved third-party vendor. The Director shall provide one or more excluded or prohibited patron/player lists to retail gaming licensees. Data records will be in a format detailed by the Director or the Director's designee. The retail gaming licensee shall use best efforts to determine whether or not new and existing players club members or patrons are on an exclusion list either through the licensee's own database or by checking the secure Division portal prior to issuing a player's card. ~~THE RETAIL GAMING LICENSEE SHALL PROVIDE EITHER A HARD COPY OF THE DIVISION'S SELF-EXCLUSION APPLICATION, PROVIDE A DEDICATED COMPUTER ON THE LICENSEE'S PROPERTY WHERE A PATRON CAN ACCESS THE DIVISION'S SELF-EXCLUSION WEBSITE DIRECTLY TO REQUEST SELF-EXCLUSION, OR DIRECT THE PATRON TO THE DIVISION'S SELF-EXCLUSION WEBSITE.~~
- (c) ~~Retail gaming licensees shall only update the Division database with self-excluded persons that have opted in after January 1, 2023, as determined by the Director. The retail gaming licensees shall make information for players that have self-excluded prior to January 1, 2023 upon request. THE DIVISION SHALL PROVIDE A LIST OF EXCLUDED PERSONS (THE EXCLUSION LIST) TO RETAIL LICENSEES. THE EXCLUSION LIST WILL BE IN A FORMAT DETERMINED BY THE DIRECTOR OR THE DIRECTOR'S DESIGNEE, UPDATED AS NEEDED, AND SENT ELECTRONICALLY. ALL NEW AND EXISTING LICENSEES SHALL UPDATE ALL NEW EXCLUDED PERSONS WITHIN THEIR OWN DATABASE OR THROUGH A DIVISION APPROVED THIRD-PARTY VENDOR WITHIN THREE BUSINESS DAYS, MONDAY THROUGH FRIDAY, EXCLUDING STATE HOLIDAYS, FROM RECEIVING AN UPDATED EXCLUSION LIST FROM THE DIVISION. LICENSEES MUST REVIEW THE EXCLUSION LIST PRIOR TO ISSUING A NEW OR REPLACEMENT PLAYERS' CLUB CARD TO ANY INDIVIDUAL.~~

- (d) A licensed third-party vendor may view and use the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database, so long as the third-party vendor has complied with the following:
- (i) The third-party vendor has entered into a contract or written agreement with a licensee outlining the third-party vendor's access and use of the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database.
 - (ii) The third-party vendor has completed and submitted a form prepared by the Director or the Director's designee. As part of the form, the third-party vendor must disclose all retail gaming licensees, and Sports Betting Operations that it is providing services to related to the Division's ~~E~~exclusion ~~L~~ist and database. As part of the form, the third-party vendor must affirmatively agree not to disclose the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database, and also agree to only use such confidential information for its intended purpose.
 - (iii) The third-party vendor may not access and/or use the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database until approved in writing by the Director or the Director's designee.
 - (iv) The third-party ~~VENDOR~~ must immediately inform the Division of any changes to its address, any changes to its contract or written agreement with a retail gaming licensee and/or Sports Betting Operation, or its cessation of services relating to the provision of this regulation within five (5) calendar days of the changes or cessation of services.
 - (v) The third-party vendor must immediately disclose to the Division within five (5) calendar days any disclosure of the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database or inappropriate use of such confidential information. ~~The license with which the third-party vendor has contracted and/or entered into a written agreement may be subject to discipline in accordance with the provisions of Article 30 of Title 44, C.R.S., and/or the Gaming and Sports Betting rules and regulations under 1 C.C.R. 207-1 and 1 C.C.R. 207-2 respectively.~~

~~(E) IN THE EVENT THE THIRD-PARTY VENDOR VIOLATES ANY OR ALL OF THE REQUIREMENTS IN RULE 2905 (D), THE LICENSEE WITH WHICH THE THIRD-PARTY VENDOR HAS CONTRACTED AND/OR ENTERED INTO A WRITTEN AGREEMENT MAY BE SUBJECT TO DISCIPLINE IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 30 OF TITLE 44, C.R.S., AND/OR THE GAMING AND SPORTS BETTING RULES AND REGULATIONS UNDER 1 C.C.R. 207-1 AND 1 C.C.R. 207-2 RESPECTIVELY.~~

(2) ~~EXCLUSION LIST~~ Database inclusion.

- (a) The following persons shall be included and maintained in the ~~Division database~~, ~~EXCLUSION LIST~~ updated and transferred to retail gaming licensees, Sports Betting Operations, and licensed third-party vendors approved by the Director or the Director's designee which contract with a licensee or a Sports Betting Operation:
 - (ii) ~~Sports betting individuals who are prohibited from placing wagers on certain sporting events to the extent that those individuals reside in the Division's exclusion list database.~~

- (iii) Individuals who are required by the Commission to be excluded or ejected from licensed gaming establishments, to the extent that those individuals **ARE LISTED** **reside** in the Division's **Exclusion List database**.
- (b) **INDIVIDUALS** **Persons** on the **Exclusion and prohibited List** may not participate or collect winnings from **the gaming in Colorado on which they are prohibited**. **FOR PURPOSES OF THIS PROVISION "WINNINGS" ONLY APPLIES TO A PERSON SELF-EXCLUDED AND/OR HAS BEEN ADDED TO THE EXCLUSION LIST**. **INDIVIDUALS Patrons that self-exclude shall not, while** on the **Exclusion List**, **SHALL NOT** be able to redeem points, bonuses, comps or **free play**. The applicable operator or licensee **can will** retain or keep any winnings of a person on the **Exclusion and prohibited List AS AN UNCLAIMED/UNPAID JACKPOT**. **INDIVIDUALS Persons** on the **Exclusion and prohibited List** forfeit any money wagered but may retain any remaining funds that have not been actively wagered in casino games or sports wagering after being placed on the **EXCLUSION LIST excluded or prohibited list**.
- (i) **RETAIL LICENSEE AND/OR OPERATORS MUST CHECK WHETHER A PATRON IS ON THE EXCLUSION LIST DURING THE FOLLOWING TRANSACTIONS: COMP ISSUANCE, REWARD ITEM ISSUANCE, CAGE TRANSACTIONS REQUIRING ID, PIN CHANGE, TAXABLE JACKPOT PAY OUT, ISSUING/REISSUING A PLAYERS CARD, FRONT MONEY, OR OPENING A PLAYERS CARD ACCOUNT.**
- (ii) **RETAIL LICENSEE AND/OR OPERATORS MUST RESPOND TO ANY ALERTS THEIR SYSTEM MIGHT PROVIDE, INCLUDING BUT NOT LIMITED TO, AUDIBLE AND VISUAL ALERTS THAT INDICATE A PATRON IS ON THE EXCLUSION LIST. EXAMPLES OF ALERTS MAY INCLUDE BUT ARE NOT LIMITED TO ALERTS FROM THE PLAYER MANAGEMENT SYSTEMS, SLOT SYSTEMS, CAGE SYSTEMS, OR SURVEILLANCE SYSTEMS. SYSTEMS THAT HAVE THE CAPABILITY TO PROVIDE THE ALERT ARE REQUIRED TO UTILIZE IT, BOTH AUDIBLE AND VISUAL VERSIONS, IF AVAILABLE.**
- (A) **A RESPONSE INCLUDES:**
- (1) **INDIVIDUALS ON THE EXCLUSION LIST WHO CONTINUE TO ENTER A RETAIL GAMING ESTABLISHMENT AND PARTICIPATE IN GAMBLING WILL BE ESCORTED OUT OF THE RETAIL GAMING ESTABLISHMENTS AND MAY BE SUBJECT TO PROSECUTION.**
- (2) **INDIVIDUALS ON THE EXCLUSION LIST ARE PROHIBITED FROM BEING IN THE LICENSED PREMISES WHERE LIMITED GAMING IS CONDUCTED AT A RETAIL GAMING ESTABLISHMENT. RETAIL GAMING LICENSEES ARE REQUIRED TO REPORT EACH INCIDENT TO THE DIVISION.**
- (C) **A RETAIL GAMING LICENSEE THAT ALLOWS INDIVIDUALS ON THE EXCLUSION LIST TO GAMBLE MAY BE SUBJECT TO DISCIPLINARY ACTION IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 30 OF TITLE 44, C.R.S. AND/OR THE GAMING AND SPORTS BETTING RULES AND REGULATIONS UNDER 1 C.C.R. 207-1 AND 1 C.C.R. 207-2.**
- (3) **SELF-Inclusion** on the **Exclusion List**.
- (a) Individual self-exclusion means that an individual has made a conscious voluntary effort to exclude themselves, **from not only that form of gaming but** from all forms of gaming

INCLUDING LIMITED GAMING AND SPORTS BETTING under the regulatory purview of the Commission and the Division. Self-exclusion may only be accomplished by an individual acting in their own interest, in the following ways:

(i) Self-exclusion by an individual through the Division. An individual self-excluding either in person or through a web-based application. All self-exclusions by individuals through the Division, either in person or web-based, will have their identity verified prior to being included on the **E**xclusion **L**ist.

~~(ii) Self-exclusion from an Internet Sports Betting operator. An individual who on an Internet sports betting mobile app chooses an option to electronically self-exclude, and has been directed to the Division's website.~~

~~(iii) Self-exclusion from a sportsBetting operator (retail sports book). An individual requesting self-exclusion from a retail sports book shall fill out a self-exclusion form provided by the sports book. The sports book shall enter the self-exclusion into the secure Division portal, BE direct the player to a dedicated computer on the licensee's property where the player can access the Division's website directly for self-exclusion or if the portal is not available direct the player to the Divisions website for self-exclusion at a later time.~~

~~(Iv) Self-exclusion from a retail gaming licensee (casino). An individual requesting self-exclusion from a casino shall fill out a self-exclusion form provided by the casino. The casino shall enter the self-exclusion into the secure Division portal direct the player to a dedicated computer on the licensee's property where the player can access the Division's website directly for self-exclusion or if the portal is not available direct the player to the Divisions website for self-exclusion at a later time. or use their electronic database procedure.~~

(b) Individuals wishing to self-exclude in person or through the Divisions web-based application shall fill out all required information on the form. Incomplete forms **where an individual cannot be identified will****MAY** not be processed.

(4) **SELF-**Exclusion period.

(a) Individuals **WHO that have** voluntarily self-excluded **from any sports betting operation, retail casino or through the Division** shall select the period of exclusion to include:

(ii) Three (3) years; **OR**

(b) An individual who is on the **EXCLUSION L**ist may submit a request, to the Division, to increase the minimum length of exclusion.

(5) Removal from the **E**xclusion **L**ist **AFTER SELF-EXCLUSION**.

(a) **NO PERSON IS AUTOMATICALLY REMOVED FROM THE EXCLUSION LIST WHEN THE SELECTED OR DIRECTED PERIOD ENDS. Individuals that have self-excluded AND ARE ON THE EXCLUSION LIST MUST or are on the excluded list will need to fill out and file THE REQUEST FOR REMOVAL FROM THE EXCLUSION LIST form with the Division Director prior to being removed from the E****xclusion** **L****ist. No person is automatically removed from the exclusion list when the selected or directed time period ends.**

(b) Sports betting individuals who are prohibited from placing wagers on certain sporting events to the extent that those individuals reside in the Division's exclusion list database may be removed from the list by their governing body/league or by filling out and filing the form with the Director.

(Be) Individuals that are on the ~~E~~exclusion ~~L~~ist and have not completed their self-selected period of self-exclusion may petition the Director for removal from the list. The ~~DIRECTOR~~ ~~OR THE DIRECTOR'S DESIGNEE~~ Division may require self-evaluation or evaluation by a professional to ensure the problem gambling or financial issues that led to the self-exclusion have corrected themselves. Removal from the ~~E~~exclusion ~~L~~ist prior to the self-selected time period is at the complete discretion of the Director. If an individual's petition to be removed from the ~~E~~exclusion ~~L~~ist prior to the self-select~~ED~~ exclusion period is not approved by the Director, the individual may not re-petition the Director again for the period of one year ~~FROM THE DATE OF THE DIRECTOR'S DECISION, REGARDLESS OF ANY SUBSEQUENT PETITION(S) OR PROCEEDING(S) UNDER REGULATION 30-208.~~

30-2906 Establishment of responsible gaming best practices.

(1) ~~PRIOR TO BEGINNING A RETAIL GAMING ACTIVITY, A R~~Retail gaming licensee ~~s shall~~~~MUST~~ submit ~~FOR APPROVAL~~ to the Division ~~their~~~~TS~~ strategy for the implementation of a responsible gaming program. ~~The responsible gaming strategy shall not only include self-directed limits by players, it shall also include the licensee's commitment as a company to a robust responsible gaming platform. The plan for implementation shall be submitted to the Division no later than April 1, 2023. Retail gaming licensees that begin operating after April 1, 2023 shall have a responsible gaming strategy in place prior to live operations. Beginning October 1, 2023 and O~~On or before October 1~~ST~~ each year ~~thereafter~~, retail gaming licensees shall submit to the Division any updates to its strategy~~ie FOR THE~~ implementation ~~OF A RESPONSIBLE GAMING PROGRAM plan.~~

(a) A detailed explanation of the options available for player card customers and with any cashless systems and account wagering the ability to set self-directed limits on all aspects of their play including deposits, withdrawals, limits on betting and time frames those limits take place. Additionally, an explanation of all responsible gaming tools that are at the players disposal.

(b) A detail of the staffing level, including the lead staff position for the responsible gaming program, staffing positions dedicated to responsible gaming, employee education and outreach to players identified as problem gamblers or players that are at risk or show signs of problems gaming.

(c) A detail of the use of player data and technology to aid in identifying potential problem gamblers. Detail should be provided on how the operators or a contracted third party's technology will provide automated triggers on potential problem gamblers. The list should provide detail on what triggers are being identified and how the retail gaming licensee has identified those as critical matrix to follow. Additionally, what plans the retail gaming licensee is engaged in to continually update and learn the best way to identify problem gamblers in their casino.

(d) A detail of the levels of intervention and education provided to identified at risk players. Provide examples of materials and/or media used for intervention and education.

(e) Detail internal controls to identify those persons who engage in gaming and sports betting activity, controlled by the licensee, who are included on the exclusion list. Internal controls should include prohibiting or stopping persons on the exclusion list and controls in place to prevent collection of winnings by an excluded person.

- (f) Additionally, provide any information relevant to the company as a whole on strategic direction for responsible gaming.
- (2) THE STRATEGY FOR THE IMPLEMENTATION OF A RESPONSIBLE GAMING PROGRAM SHALL INCLUDE, BUT IS NOT LIMITED, TO DETAILED EXPLANATIONS OF THE FOLLOWING:
- (A) THE OPTIONS AVAILABLE FOR PATRONS TO SET SELF-DIRECTED LIMITS ON ALL ASPECTS OF THEIR DEPOSITS, WITHDRAWALS, LIMITS ON BETTING AND TIME FRAMES THOSE LIMITS TAKE PLACE.
 - (B) ALL RESPONSIBLE GAMING TOOLS THAT ARE AT THE PLAYERS DISPOSAL.
 - (C) THE STAFFING LEVEL, INCLUDING THE LEAD STAFF POSITION FOR THE RESPONSIBLE GAMING PROGRAM, STAFFING POSITIONS DEDICATED TO RESPONSIBLE GAMING, EMPLOYEE EDUCATION AND OUTREACH TO PLAYERS IDENTIFIED AS PROBLEM GAMBLERS OR PLAYERS THAT ARE AT RISK OR SHOW SIGNS OF PROBLEMS GAMING.
 - (i) THE LEAD STAFF POSITION OR THEIR DESIGNEE SHALL BE REQUIRED TO PROVIDE RESPONSIBLE GAMING TRAINING FOR TEAM MEMBERS WHO DIRECTLY ENGAGE WITH GAMING PATRONS CONTINUALLY AND/OR AS NEEDED WITH THE TURNOVER OF TEAM MEMBERS.
 - (D) A DETAIL OF THE USE OF PLAYER DATA AND TECHNOLOGY TO AID IN IDENTIFYING POTENTIAL PROBLEM GAMBLERS. DETAIL SHOULD BE PROVIDED ON HOW THE OPERATORS OR A CONTRACTED THIRD PARTY'S TECHNOLOGY WILL PROVIDE AUTOMATED TRIGGERS ON POTENTIAL PROBLEM GAMBLERS. THE LIST SHOULD PROVIDE DETAIL ON WHAT TRIGGERS ARE BEING IDENTIFIED AND HOW THE OPERATOR HAS IDENTIFIED THOSE AS CRITICAL MATRICES TO FOLLOW. ADDITIONALLY, WHAT PLANS THE OPERATOR HAS TO CONTINUALLY UPDATE AND LEARN THE BEST WAY TO IDENTIFY PROBLEM GAMBLERS ON THEIR SITE.
 - (E) THE LEVELS OF INTERVENTION AND EDUCATION PROVIDED TO IDENTIFIED AT RISK PLAYERS. PROVIDE EXAMPLES OF MATERIALS AND/OR MEDIA USED FOR INTERVENTION AND EDUCATION.
 - (F) INTERNAL CONTROLS TO IDENTIFY ANY INDIVIDUALS ON THE EXCLUSION LIST WHO ENGAGE IN GAMING AND SPORTS BETTING ACTIVITY, CONTROLLED BY THE LICENSEE. INTERNAL CONTROLS SHOULD INCLUDE PROHIBITING INDIVIDUALS ON THE EXCLUSION LIST FROM ENGAGING IN GAMBLING AND CONTROLS IN PLACE TO PREVENT COLLECTION OF WINNINGS BY INDIVIDUALS ON THE EXCLUSION LIST AND.
 - (G) ANY INFORMATION RELEVANT TO THE COMPANY AS A WHOLE ON A STRATEGIC DIRECTION FOR RESPONSIBLE GAMING.
- (3) THE LICENSEE WILL ENSURE COMPLIANCE WITH ITS OWN RESPONSIBLE GAMING POLICIES AND PROCEDURES AS WELL AS THE PLAN PROVIDED TO THE DIVISION. A LICENSEE'S FAILURE TO ADHERE TO ITS OWN RESPONSIBLE GAMING POLICIES AND PROCEDURES AND ITS PLAN PROVIDED TO THE DIVISION MAY SUBJECT THE LICENSEE TO REGULATORY DISCIPLINE.
- (4) THE LICENSEE SHALL AUDIT THE ENTIRETY OF THE PATRON MANAGEMENT SYSTEM OR ANY SYSTEM OR DATABASE THAT HOUSES EXCLUDED PATRON INFORMATION TWICE EACH YEAR (FIRST BETWEEN JUNE 1ST AND JULY 1ST AND SECOND BETWEEN DECEMBER 1ST AND JANUARY 1ST) FOR DUPLICATE ACCOUNTS TO ENSURE THAT INDIVIDUALS ON THE EXCLUSION LIST ARE FLAGGED APPROPRIATELY. A LICENSEE MAY

SUBMIT A REQUEST TO THE DIVISION APPROVAL OF AN ALTERNATIVE AUDIT SCHEDULE, WHICH IS SUBJECT TO APPROVAL BY THE DIRECTOR OR THE DIRECTOR'S DESIGNEE.

(A) THE LICENSEE WILL AUDIT THE PATRON MANAGEMENT SYSTEM OR ANY SYSTEM OR DATABASE THAT HOUSES EXCLUDED PATRON INFORMATION IS ACCESSED DURING ANY GAMING INTERACTION THAT REQUIRED IDENTIFICATION.

(I) EXAMPLES INCLUDE: CASH ADVANCES, CHECK CASHING, PIN NUMBER CHANGES, TAXABLE ACCUMULATED CREDITS OR FREE PLAY, FRONT MONEY, CASH CLUB TRANSACTIONS, AUDIBLE AND VISUAL ALERTS, AND ANY GAMING TRANSACTION REQUIRING AN ID.

30-2907 Exclusion or ejection of persons from any gaming establishment.

- (1) The Division shall maintain an exclusion & ejection list ("Involuntary Exclusion List") of individuals who are INVOLUNTARILY excluded and may be ejected from licensed gaming establishments ON THE EXCLUSION LIST. The Involuntary Exclusion List shall be integrated into the database and/or list created under Regulation 30-2905(1) or as a separate list. Since involuntary excluded individuals are excluded from gaming activities under section 44-30-1703 (1)(a)(I)(B), C.R.S., The Division WILL may share the Involuntary EXCLUDED INDIVIDUALS Exclusion List ON THE EXCLUSION LIST with retail gaming licensees and sports betting operators and WILL may post the list on the Division's and/or the Commission's website.
- (2) Inclusion OF on the Involuntary Exclusion PERSONS List.
 - (a) The Division may initiate exclusion proceedings against an individual where it determines there is cause to believe the individual should be excluded and ejected from licensed gaming establishments under Article 30 of Title 44, C.R.S. Exclusion proceedings, as used herein, shall mean those procedures undertaken by the Division and the Commission to place individuals FOR INVOLUNTARY EXCLUSION on the Involuntary Exclusion List. The Division shall initiate exclusion proceedings by filing a petition with the Commission. The petition must include the following information:
 - (c) In determining whether to add an individual FOR to the Involuntary Exclusion List, the Commission may consider any of the following:
 - (d) Following receipt of the petition and consideration of above, the Commission shall either dismiss the petition or find the individual should be placed on the Involuntary Exclusion List.
 - (e) Where the Commission finds the individual should be placed on the Involuntary Exclusion List, the Commission shall:
 - (i) Determine the duration of the individual's inclusion on the Involuntary Exclusion List.
 - (ii) Place AN INDIVIDUAL on the Involuntary Exclusion LIST TO INCLUDE the following:
 - (f) Unless a request for a hearing is submitted under subsection (3) below, the individual's exclusion has an effective date of thirty-five (35) calendar days AFTER PERSONAL SERVICE OF THE COMMISSION'S WRITTEN ORDER/NOTICE, THE DATE OF SERVICE LISTED ON A CERTIFIED MAIL SENT TO THE LAST-KNOWN ADDRESS OF THE INDIVIDUAL, OR THE DATE OF PUBLICATION IN ONE OR MORE OFFICIAL NEWSPAPERS IN TELLER AND GILPIN COUNTIES, after the Commission's placement of the individual on the Involuntary Exclusion List. Licensees must exclude or

eject from the licensed premises any ~~INVOLUNTARILY EXCLUDED~~ individual on the ~~Involuntary~~ Exclusion List upon the effective date ~~OF~~ the individual's exclusion.

- (3) Contesting placement ~~FOR on the Involuntary EE~~ exclusion List.
- (a) Upon the Commission placing ~~ADDING the name and description of~~ an individual, ~~INCLUDING NAME AND DESCRIPTION, FOR on the Involuntary EE~~ exclusion List, the Commission shall serve a written notice of that action upon the individual by personal service, by certified mail sent to the last-known address of the individual, or by publication in one or more official newspapers in Teller and Gilpin counties.
 - (b) An individual placed ~~FOR on the Involuntary EE~~ exclusion List may petition the Commission for a hearing within thirty (30) calendar days of personal service of the ~~COMMISSION'S~~ written ~~ORDER/~~notice, the date of ~~delivery~~~~SERVICE~~ listed on a certified mail sent to the last-known address of the individual, or the date of publication in one or more official newspapers in Teller and Gilpin counties. The petition for hearing must be submitted in writing to 1707 Cole Blvd., Suite 300, Lakewood, CO 80401 and must be received by the Commission within the thirty (30) day period to be considered. The Commission may delegate the evidentiary hearing to one of its members or an administrative law judge or conduct the hearing as a whole at its discretion.
 - (c) If an individual requests a hearing within thirty (30) calendar days, the individual's name will not be added ~~FOR INVOLUNTARY EXCLUSION~~ to the ~~Involuntary~~ Exclusion List pending the outcome of the evidentiary hearing.
 - (d) If the individual fails to appear for the hearing, the petition for hearing is deemed abandoned and the information from subsection (2)(e)(ii) shall be added to the ~~Involuntary~~ Exclusion List.
 - (e) If an individual fails to request a hearing within thirty (30) calendar days, the placement of the individual ~~FOR INVOLUNTARY EXCLUSION~~ on the ~~Involuntary~~ Exclusion List becomes a final agency order.
- (4) Placement ~~FOR on the Involuntary EE~~ exclusion List on an emergency basis.
- (a) The Division may initiate exclusion proceedings against an individual on an emergency basis. The Division shall supplement the petition with a detailed description of why placing the individual ~~FOR on the Involuntary EE~~ exclusion ~~ON THE EXCLUSION~~ List on an emergency basis is necessary to avoid danger to the public safety and that public confidence and trust may only be maintained if the individual is listed ~~on the FOR INVOLUNTARY EXCLUSION ON THE Involuntary~~ Exclusion List.
 - (i) With respect to the finding of danger to public safety, the Commission shall consider whether an individual has been listed on the list of persons to be excluded or ejected under the laws and gaming rules of any other State; the United States or its territories or possessions; or an Indian tribe.
 - (b) Petitions for placing individuals ~~FOR INVOLUNTARY EXCLUSION~~ on the ~~Involuntary~~ Exclusion List on an emergency basis may be considered by the Commission at a regularly scheduled meeting or emergency meeting, set at the discretion of the Commission chair or vice-chair.
 - (c) Upon the Commission placing the name and description of an individual ~~FOR INVOLUNTARY EXCLUSION~~ on the ~~Involuntary~~ Exclusion List on an emergency basis, the Commission shall serve a written notice of that action upon the individual by personal

service, by certified mail sent to the last-known address of the individual, or by publication in one or more official newspapers in Teller and Gilpin counties.

- (i) An individual placed **FOR INVOLUNTARY EXCLUSION** on the **Involuntary** Exclusion List on an emergency basis may petition the Commission in writing and/or email for a stay. The petition shall include an explanation of why a stay is appropriate and why the individual should not be added to the **Involuntary** Exclusion List on an emergency basis. The Commission chair or vice-chair shall determine whether or not to grant the stay pending the Commission's consideration of the issue under paragraph (4)(d).
- (d) Within thirty (30) days after the placement of the name and description of an individual **FOR INVOLUNTARY EXCLUSION ON** the **Involuntary** Exclusion List on an emergency basis, the Commission shall consider whether to make the emergency listing permanent by setting the matter for consideration by the Commission in accordance with the process detailed in paragraphs (2) through (3) above with the exception of paragraph (3)(c).
 - (i) An emergency listing must be vacated if the Commission determines that the individual should not have been placed **for involuntary exclusion** on the **Involuntary** Exclusion List.
 - (ii) If the Commission determines the individual should remain on the **Involuntary** Exclusion List **FOR INVOLUNTARY EXCLUSION**, the individual will remain on the **Involuntary** Exclusion List without being subject to the thirty-five (35) calendar day delay.
- (5) **If an individual IS PLACED FOR INVOLUNTARY EXCLUSION ON THE EXCLUSION LIST ARE PROHIBITED AND EXCLUDED FROM ENTERING A LICENSED GAMING ESTABLISHMENT. IF AN INDIVIDUAL PLACED FOR on the Involuntary EExclusion ON THE EXCLUSION List is found gambling, wagering or sports betting at any licensed gaming establishment, that individual shall be ejected from the licensed premises AND MAY BE SUBJECT TO PROSECUTION. RETAIL GAMING ESTABLISHMENTS ARE REQUIRED TO REPORT EACH INCIDENT TO THE DIVISION.** The individual is not entitled to recover any **y** jackpots or money wagered. Any money not-yet wagered will be returned to the individual.
- (6) Removal from **the Involuntary EExclusion List**.
 - (a) An individual **PLACED FOR INVOLUNTARY EXCLUSION** or through a legal representative on the **Involuntary** Exclusion List may petition the Commission for removal from **Involuntary EExclusion List** after five (5) years of the effective date of the individual's placement on the list.
 - (b) The petition must include the following:
 - (a) The petitioner's name;
 - (b) Date or approximate date of the effective date of the petitioner's placement on the Involuntary Exclusion List;
 - (c) The facts and circumstances which give rise to the request for removal from the Involuntary Exclusion List, including but not limited to an explanation why the reasons for placement on the Involuntary Exclusion List are no longer applicable;
 - (d) Signature of petitioner; and
 - (e) Address of petitioner.

- (7) A RETAIL GAMING ESTABLISHMENT THAT ALLOWS INDIVIDUALS WHO HAVE BEEN INVOLUNTARILY EXCLUDED BY THE COMMISSION TO GAMBLE MAY BE SUBJECT TO DISCIPLINARY ACTION.
- (8) FOR PURPOSES OF THIS PROVISION, "GAMING ACTIVITIES" MEANS LIMITED GAMING AND SPORTS BETTING.

Item IX

Sports Betting Rules 1, 6 & 9

Memo

To: Colorado Limited Gaming Commission

From: Corrie Martinez, Responsible Gaming Manager

CC: Christopher Schroder, Kirsten Gregg, Allen Hiserodt, and Kenya Collins

Date: October 27, 2025

Re: Proposed Rule Changes - Summary

On November 20, 2025, I will be presenting proposed rule changes to the Commission on behalf of the Division of Gaming. The proposed changes will affect Gaming Rule 29 and Sports Betting Rule 9, Responsible Gaming and Self-Restriction.

The proposed rule changes are measures for player protection, clarification for advertising and promotions, ensuring responsible gaming resources are easily accessible to patrons, ensuring the messaging of Gambling Problem? Call or text 1-800-GAMBLER is prominent with anything related to and will entice a Colorado patron to gamble, identifying opportunities for licensees to intervene and educate those patrons who self-exclude from gambling in Colorado who continue to choose to gamble, cultivating a culture of responsible gaming with the licensee through rules which in turn become policies within the organization, mechanisms to enforce the rules to protect at-risk patrons, and accountability for operators to adhere to their responsible gaming strategic plan and internal policies.

SPORTS BETTING REGULATIONS

1 CCR 207-2

BASIS AND PURPOSE FOR RULE 1

The purpose of Rule 1 is to provide definitions of various terms used throughout the sports betting Rules of the Colorado Limited Gaming Control Commission so that the Rules can be uniformly applied and understood. The definitions in 44-30-103, C.R.S. and 44-30-1501 C.R.S. shall also apply throughout this document. The statutory basis for Rule 1 is found in sections 44-30-102, C.R.S., 44-30-104, C.R.S., 44-30-201, C.R.S., 44-30-202, C.R.S., 44-30-203, C.R.S., and 44-30-302, C.R.S.

RULE 1 **GENERAL RULES AND REGULATIONS** *Effective 4/14/20*

Rule 1.4 **Definitions.**

- (28) "Prohibited sports betting participant" means any person who is prohibited pursuant to 44-30-1506~~2~~, C.R.S.; ~~SECTION 44-30-1506 (B)(II)—(IV), C.R.S.; OR IS A PERSON UNDER THE AGE OF 21. any individual whose participation may undermine the integrity of the betting or the sports event, or any person who is prohibited for other good cause, including, but not limited to: any individual placing a wager as an agent or proxy; any person who is an athlete, coach, referee, player, in, or on, any sports event overseen by that person's sports governing body based on publicly available information; a person who holds a position of authority or influence sufficient to exert influence over the participants in a sporting contest, including, but not limited to, coaches, managers, handlers, or athletic trainers; a person with access to certain types of exclusive information on any sports event overseen by that person's sports governing body based on publicly available information, or a person identified by any lists provided by the sports governing body to the Division.~~

BASIS AND PURPOSE FOR RULE 6

The purpose of Rule 6 is to specify the rights, responsibilities, and duties of licensees; specify certain duties of licensees related to permitting access to the Division of information, records, and premises controlled by the licensee, require licensees to maintain sufficient financial reserves, require that certain information be publicly posted, direct the licensee to prohibit certain conduct, and establish procedures for patron disputes, dissolution of corporations, transfers of interests and terminations of licensee employment or licensure. The statutory basis for Rule 6 is found in sections 44-30-201, C.R.S., 44-30-202, C.R.S., 44-30-203, C.R.S., 44-30-204, C.R.S., 44-30-302, C.R.S., 44-30-510, C.R.S., 44-30-528, C.R.S., 44-30-833, C.R.S. and part 15 of article 30 of title 44, C.R.S.

RULE 6 **RIGHTS AND DUTIES OF LICENSEES** *Effective 4/14/20*

6.11 **Prohibited sports betting participant.**

- (1) ~~Prohibited sports betting participants include any person who is prohibited pursuant to 44-30-1506, C.R.S., any individual whose participation may undermine the integrity of the betting or the sports event, or any person who is prohibited for other good cause, including, but not limited to:~~
- (a) ~~Any individual placing a wager as an agent or proxy, other than those individuals involved in contests and/or weekly picks approved by the Division pursuant to Rule 6.20;~~

- (b) Any person who is an athlete, coach, referee, player, in, or on, any sports event overseen by that person's sports governing body based on a list provided to the applicable Sports Betting Operation by that person's sports governing body through the division;
 - (c) A person who holds a position of authority or influence sufficient to exert influence over the participants in a sporting contest, including, but not limited to, coaches, managers, handlers, or athletic trainers based on a list provided to the applicable Sports Betting Operation by that person's sports governing body through the division;
 - (d) A person under the age of 21;
 - (e) A person with access to certain types of exclusive information on any sports event overseen by that person's sports governing body based on publicly available information, or based on a list provided to the applicable Sports Betting Operation by that person's sports governing body through the Division
 - (f) A list provided to the Division by a sports governing body shall be in a format and contain data fields designated by the Director or the Director's designee. When a list or updated list is provided, the Division will direct that list to all Sports Betting Operations. This list shall be deemed confidential and only be used for compliance with this rule.
- (12) A prohibited sports betting participant must refrain from engaging in sports betting in the state of Colorado ON THE and must disclose his or her status as a PROHIBITED SPORTS EVENTS AND/OR ON THE PROHIBITED SPORTS BETTING OPERATION PLATFORM(S), betting participant to all relevant persons and/or governing bodies. Failure to comply with the provisions of this rule may result in disciplinary action up to and including criminal prosecution.
- (23) A PROHIBITED SPORTS BETTING PARTICIPANT MUST DISCLOSE HIS OR HER STATUS AS A PROHIBITED SPORTS BETTING PARTICIPANT TO ALL RELEVANT PERSONS AND/OR GOVERNING BODIES. FAILURE TO COMPLY WITH THE PROVISIONS OF THIS RULE MAY RESULT IN DISCIPLINARY ACTION UP TO AND INCLUDING CRIMINAL PROSECUTION.
- (3) A Sports Betting Operation shall make commercially reasonable efforts to confirm that the patron is not a prohibited sports betting participant.
- (4) A sports wagering ticket may be received as a tip wager by an employee of a sports betting operator or master license so long as the receiver of tip wager did not solicit the sports wagering ticket, the receiver did not participate in the selection of the wager and the sports betting ticket is placed into a tip pool.

BASIS AND PURPOSE FOR RULE 9

The purpose of Rule 9 is to specify the requirements of Sports Betting Operations and responsible gaming, patron responsible gaming, and to specify certain duties of licensees and patrons related to self-restriction. The statutory basis for Rule 9 is found in sections 44-30-201, C.R.S., 44-30-202, C.R.S., 44-30-203, C.R.S., 44-30-302, C.R.S., 44-30-502, C.R.S., 44-30-510, C.R.S., 44-30-528, C.R.S., 44-30-531, C.R.S., 44-30-827, C.R.S., 44-30-833, C.R.S., 18-20-112, C.R.S., 44-30-1701, C.R.S., and 44-30-1702, C.R.S., 44-30-1703, C.R.S., and part 15 of article 30 of title 44, C.R.S. (Amended temp. 10/27/22, Amended perm. 2/14/23)

RULE 9 RESPONSIBLE GAMING AND SELF-RESTRICTION *Effective 4/14/20*

9.1 Display of responsible gaming logo.

- (1) Each sports betting website, mobile application, and self-service gaming device (kiosk) shall display a responsible gaming logo in a manner approved by the Director or Director's designee to direct a patron to the Sports Betting Operator's responsible gaming webpage OR THE DIVISIONS RESPONSIBLE GAMING WEBPAGE. Master, Sports Betting Operator, and Internet Sports Betting Operator licensees shall operate under the same responsible gaming guidelines as detailed in these Rules, where applicable. The responsible gaming webpage shall be accessible to a patron during a sports betting patron session and shall contain, at a minimum, the following:
 - (a) A prominent message that states, "Gambling problem? Call or TEXT_1-800-GAMBLER";
 - (b) A direct link to the website and other internet resources dedicated to helping people with potential gambling problems as directed by the Commission; AND,
 - (c) A clear statement of the Sports Betting Operation's policy and commitment to responsible gaming along with a link to the Sports Betting Operator's specific self-exclusion program along with information on self-exclusion through the Division or Division's website.
- (2) Sports Betting Operations with brick and mortar locations must have a policy in effect for all of its properties in addition to self-exclusion, providing opportunities for patrons to request in writing the revocation of their privileges for specific services such as:
 - (a) Direct sports betting promotions;
 - (b) Player club/card privileges related to sports betting;
 - (c) On-site check-cashing; and,
 - (d) Complimentaries.
- (3) Sports Betting Operations with brick and mortar locations must make information available promoting responsible gaming and where to find assistance, including a toll-free help line number. This information shall be available and visible in sports betting areas, AFFIXED TO ALL SPORTS BETTING KIOSKS, and at cash access devices.

9.2 Sports Betting Operations self-exclusion.

Each Sports Betting Operation shall establish and maintain a self-exclusion program for patrons CONSISTENT WITH REGULATION 9.5. Each Sports Betting Operation shall participate by sharing self-exclusion data with the Division in accordance with rule 9.5. Each Sports Betting Operation shall participate by electronically importing self-excluded patron information. If a Sports Betting Operation is unable to electronically import and export self-excluded patron information, another method may be approved by the Director. This self-exclusion is not part of any self-imposed limits or short-term timeout taken by a patron. It only pertains to the limits specifically set forth in 9.5(4)(a).

9.3 Direct marketing to INDIVIDUALS ON THE EXCLUSION LIST prohibited sports betting participants

- (1) ~~For the purpose of this rule, "prohibited participant" shall mean individuals who have voluntarily requested to be excluded from gaming activities and individuals who are required by the Commission to be excluded or ejected from licensed gaming establishments, and sports betting individuals who are prohibited from placing wagers on certain sporting events to the extent that those individuals reside in the Division's exclusion list database.~~

- (12) A Sports Betting Operation shall make all reasonable efforts to ensure that INDIVIDUALS ON THE EXCLUSION LIST ~~prohibited participants~~ do not receive direct marketing from the Sports Betting Operation or marketing affiliates.
- (23) A Sports Betting Operation will satisfy this requirement if the Sports Betting Operation and/or marketing affiliates remove the ~~prohibited participant's~~ name and resident address from the list of INDIVIDUALS ON THE EXCLUSION LIST patrons to whom direct marketing materials are sent.
- (34) ~~A~~ A Sports Betting Operation DIRECTLY OR THROUGH ~~and/or~~ their marketing affiliates shall use the current ~~E~~exclusion List provided by the Division, TO PREVENT INDIVIDUALS BEING DIRECTLY ADVERTISED TO. ~~The E~~exclusion List ~~or in person changes~~ shall be used expeditiously to update all direct marketing lists to ensure that INDIVIDUALS ON THE EXCLUSION LIST ~~excluded and prohibited players~~ are not directly targeted, ~~including but not exclusive of~~ direct mail, PHONE CALLS, TEXT MESSAGES, AND emailS, OR ANY OTHER FORM OF DIRECT COMMUNICATION.
- (5) ~~Regulation 9.3(4) shall not apply to persons identified in 44-30-1502 C.R.S. that are only prohibited from betting on a sporting event that is overseen by that person's sport's governing body.~~

9.4 Responsible advertising and promotions

- (1) All offers and bonuses must:
- (e) Not restrict the customer from withdrawing their own funds or withdrawing winnings from bets placed using their own funds; ~~and~~
 - (f) ~~Players that self-exclude shall not, while on the exclusion list, be able to redeem points, bonuses, comps or freeplay.~~
- (2) NO PERSON MAY, WHILE ON THE EXCLUSION LIST, REDEEM POINTS, BONUSES, COMPS OR FREE PLAY.
- (23) Prohibition on advertising that targets underage participants:
- (b) A Sports Betting Operation and/or their marketing affiliates shall not advertise on media where the majority of the demographic audience or players/performers are known to be under the legal age to ENGAGE IN SPORTS BETTING ~~gamble~~. This does not apply to public venues where the demographics of a normal crowd in attendance cannot be determined.
- (34) Sports Betting Operation ONSORS and Internet Sports Betting Operators on or before October 1, 2023, and ~~on or before October 1st~~ each year ~~thereafter~~ shall submit to the Director a report that describes the efforts of the licensee in the preceding state fiscal year (July 1st through June 30th) to promote responsible gaming in the state via advertising and other promotional methods and the licensee's plans concerning such promotional efforts in the current state fiscal year. *(Effective temp. 10/27/22, perm. 2/14/23)*
- (45) A Sports Betting Operation DIRECTLY OR THROUGH ~~and/or~~ their marketing affiliates shall include a prominent message, which states, "Gambling problem? Call or TEXT 1-800- GAMBLER" on all forms of media advertisement to Colorado consumers, including but not limited to: electronic mail (email), video advertisements, digital/online advertising, television advertisements, radio advertisement, letters, pamphlets and newspaper/magazine advertisements. The written message of "Gambling problem? Call or TEXT 1-800- GAMBLER" shall appear in conspicuous and legible type in contrast by typography, layout, or color with all other printed material on the advertisement. Verbal messaging of "Gambling problem? Call or TEXT 1-800- GAMBLER" shall be audible and understandable. Advertisements that only reference restaurants and/or the hotel at a SPORTS BETTING retail gaming licensee's retail LOCATION(S) casino(s) and do not market gaming and/or sports betting, including gaming and/or sports betting spaces, are exempt from

this provision. The words "or text" may be excluded upon the Director's prior approval. ANY GAMING-RELATED COMMUNICATION THAT WILL ENTICE A COLORADO CONSUMER TO GAMBLE AND ANY GAMING-RELATED MATERIALS SHALL HAVE THE PROMINENT MESSAGE OF "GAMBLING PROBLEM? CALL OR TEXT 1-800-GAMBLER." *Amended 3/16/24*

(A) ENTICE MEANS TO ATTRACT, INVITE, AND/OR PROMOTE FOR PURPOSES OF THE PROVISION.

9.5 Exclusion list – Duties and responsibilities.

(1) Database creation-DIVISION EXCLUSION LIST.

The Division shall operate a program to consolidate excluded AND, self-excluded and prohibited individuals in one interactive database repository EXCLUSION LIST in order to keep those individuals from participating in Colorado gaming. The program shall provide an interactive protected database EXCLUSION LIST for retail gaming licensees, Sports Betting Operations, sports leagues and individuals that participate in gaming in Colorado, as well as any licensed third-party vendors pre-approved by the Director or the Director's designee which contract with a licensee or Sports Betting Operation. The sole purpose of the Exclusion List and database is to ensure timely updates of individuals that may not participate in gaming AND SPORTS BETTING for all gaming operations in Colorado. The Exclusion List shall only be used for the purpose of identifying those individuals who have been excluded are prohibited from gaming and those that may have excluded themselves because of a gambling problem. Licensees INCLUDING and Sports Betting Operations that receive data from the Division shall use it solely to update their database whether directly or through a Division-approved third-party vendor. The information contained in the database and EXCLUSION LIST updates provided to the licensees are confidential and shall only be used for its intended purpose. Limited information may be shared with affiliates and Division-approved third-party vendors for the purpose of ensuring self-excluded individuals ON THE EXCLUSION LIST do not receive direct marketing. It is a violation for any licensee to use the confidential data in any other way. The Director shall determine how each licensee, league or individual PERSON interacts with the database.

- (a) INTERNET Sports Betting Operations shall, as part of their self-exclusion and responsible gaming program, make available A SELF-EXCLUSION APPLICATION TO A PATRON REQUESTING TO SELF-EXCLUDE. to the player easily identifiable within the operators application, the link to the Division's website for self-exclusion. THIS SELF-EXCLUSION APPLICATION MUST INCLUDE AN EASILY IDENTIFIABLE LINK TO THE DIVISION'S SELF EXCLUSION WEBSITE AND T~~The link should~~MUST take the player directly to ~~that page~~THE WEBPAGE from the application.
- (b) The Division shall provide database records, sent electronically to the Sports Betting Operations. The Director shall provide one or more excluded or prohibited player lists to Sports Betting Operations. Data records will be in a format detailed by the Director or the Director's designee. THE SPORTS BETTING OPERATORS SHALL PROVIDE EITHER A HARD COPY OF THE DIVISION'S SELF-EXCLUSION APPLICATION, PROVIDE A DEDICATED COMPUTER IN THE SPORTSBOOK WHERE A PATRON CAN ACCESS THE DIVISION'S SELF-EXCLUSION WEBSITE, OR DIRECT THE PATRON TO THE DIVISION'S SELF-EXCLUSION WEBSITE.
- (c) Sports Betting Operations shall receive updates daily from the Division. Sports Betting Operations shall update all new excluded persons within their database. The Division shall provided database records, sent electronically A LIST OF EXCLUDED PLAYERS (THE EXCLUSION LIST) to the Sports Betting Operations. The Director shall provide one or more excluded or prohibited player lists to Sports Betting Operations. Data records THE EXCLUSION LIST will be in a format detailed DETERMINED by the Director or the Director's designee, UPDATED AS NEEDED, AND SENT ELECTRONICALLY. ALL NEW AND EXISTING LICENSEES SHALL UPDATE ALL NEW EXCLUDED PERSONS WITHIN THEIR OWN DATABASE OR THROUGH A DIVISION APPROVED THIRD-PARTY VENDOR WITHIN THREE BUSINESS DAYS, MONDAY

THROUGH FRIDAY, EXCLUDING HOLIDAYS, FROM RECEIVING AN UPDATED EXCLUSION LIST FROM THE DIVISION. LICENSEES MUST REVIEW THE EXCLUSION LIST PRIOR TO ISSUING A NEW ACCOUNT.

(d) Sports Betting Operations shall receive the Division database with self-excluded persons that have opted in on or after January 1, 2023, as determined by the Director. The Sports Betting Operation shall make information for players that have self-excluded prior to January 1, 2023 upon request.

(e) A licensed third-party vendor may view and use the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database, so long as the third-party vendor has complied with the following:

- (i) The third-party vendor has entered into a contract or written agreement with a licensee outlining the third-party vendor's access and use of the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database.
- (ii) The third-party vendor has completed and submitted a form prepared by the Director or the Director's designee. As part of the form, the third-party vendor must disclose all retail gaming licensees and Sports Betting Operations that it is providing services to related to the Division's ~~E~~exclusion ~~L~~ist and database. As part of the form, the third-party vendor must affirmatively agree not to disclose the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database, and also agree to only use such confidential information for its intended purpose.
- (iii) The third-party vendor may not access and/or use the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database until approved in writing by the Director or the Director's designee.
- (iv) The third-party ~~VENDOR~~ must immediately inform the Division of any changes to its address, any changes to its contract or written agreement with a ~~retail gaming licensee and/or~~ Sports Betting Operation, or its cessation of services relating to the provision of this regulation within five (5) calendar days of the changes or cessation of services.
- (v) The third-party vendor must immediately disclose to the Division within five (5) calendar days any disclosure of the confidential information contained in the Division's ~~E~~exclusion ~~L~~ist and database or inappropriate use of such confidential information. ~~The license with which the third-party vendor has contracted and/or entered into a written agreement may be subject to discipline in accordance with the provisions of Article 30 of Title 44, C.R.S., and/or the Gaming and Sports Betting rules and regulations under 1 C.C.R. 207-1 and 1 C.C.R. 207-2 respectively.~~

(e) IN THE EVENT THE THIRD PARTY VENDOR VIOLATES ANY OR ALL OF THE REQUIREMENTS IN RULE 9.5(D), THE LICENSEE WITH WHICH THE THIRD-PARTY VENDOR HAS CONTRACTED AND/OR ENTERED INTO A WRITTEN AGREEMENT MAY BE SUBJECT TO DISCIPLINE IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 30 OF TITLE 44, C.R.S., AND/OR THE GAMING AND SPORTS BETTING RULES AND REGULATIONS UNDER 1 C.C.R. 207-1 AND 1 C.C.R. 207-2 RESPECTIVELY.

(2) EXCLUSION LIST ~~Database inclusion.~~

(a) The following persons shall be included and maintained in the ~~Division database~~ EXCLUSION LIST, updated and transferred to Sports Betting Operations, retail gaming

licensees, and licensed third-party vendors approved by the Director or the Director's designee which contract with a licensee or a Sports Betting Operation:

- (ii) Individuals that have voluntarily self-excluded from any operator, retail casino or through the Division.
 - (ii) Sports betting individuals who are prohibited from placing wagers on certain sporting events to the extent that those individuals reside in the Division's exclusion list database.
 - (iii) Individuals who are required by the Commission to be excluded or ejected from licensed gaming establishments, to the extent that those individuals ARE LISTED reside in the Divisions Eexclusion Llist database.
- (b) INDIVIDUALS Persons on the Eexclusion LIST and prohibited list may not participate or collect winnings from the gaming in Colorado on which they are prohibited. FOR PURPOSES OF THIS PROVISION, "WINNINGS" ONLY APPLIES TO BETS MADE AFTER THE INDIVIDUAL WAS ADDED TO THE EXCLUSION LIST. Players INDIVIDUALS that self-exclude shall not, while on the Eexclusion List, SHALL NOT be able to redeem points, bonuses, comps or free play. The SPORTS BETTING OPERATION applicable operator or licensee can WILL retain or keep any winnings of a person on the Eexclusion LIST and prohibited list. INDIVIDUALS Persons on the Eexclusion LIST and prohibited list forfeit any money wagered, but may retain any remaining funds that have not been actively wagered in casino games or sports wagering after being placed on the EXCLUSION LIST excluded or prohibited list.
- (i) RETAIL LICENSEES, INTERNET SPORTS BETTING OPERATOR, AND/OR SPORTS BETTING OPERATORS MUST CHECK WHETHER A PATRON IS ON THE EXCLUSION LIST DURING THE FOLLOWING TRANSACTIONS: COMP ISSUANCE, REWARD ITEM ISSUANCE, SPORTS BETTING TRANSACTIONS REQUIRING ID, PIN CHANGE, JACKPOT PAY OUT, ISSUING/REISSUING A PLAYERS CARD, FRONT MONEY, OR OPENING A PLAYERS ACCOUNT.
 - (ii) RETAIL LICENSEES, INTERNET SPORTS BETTING OPERATOR, AND/OR SPORTS BETTING OPERATORS MUST RESPOND TO ANY ALERTS THEIR SYSTEM MIGHT PROVIDE, INCLUDING BUT NOT LIMITED TO AUDIBLE AND VISUAL ALERTS THAT INDICATE A PATRON IS ON THE SELF-EXCLUSION LIST. EXAMPLE OF ALERTS MAY INCLUDE BUT ARE NOT LIMITED TO ALERTS FROM THE PLAYER MANAGEMENT SYSTEMS, TECHNOLOGY, OR ANY OTHER METHODS ASSIGNED BY THE DIRECTOR. SYSTEMS THAT HAVE THE CAPABILITY TO PROVIDE THE ALERT ARE REQUIRED TO UTILIZE IT, BOTH AUDIBLE AND VISUAL VERSIONS, IF AVAILABLE.
- (A) A RESPONSE INCLUDES:
- (1) INDIVIDUALS ON THE EXCLUSION LIST WHO CONTINUE TO ENTER RETAIL SPORTS BETTING ESTABLISHMENTS AND PARTICIPATE IN SPORTS BETTING WILL BE ESCORTED OUT OF THE RETAIL SPORTS BETTING ESTABLISHMENT AND MAY BE SUBJECT TO PROSECUTION. SPORTS BETTING OPERATORS ARE REQUIRED TO REPORT EACH INCIDENT TO THE DIVISION.
 - (2) INTERNET SPORTS BETTING OPERATORS MUST REVIEW ALL PATRON ACCOUNTS TO DETERMINE WHETHER ANY PATRONS ARE ON THE EXCLUSION LIST UPON RECEIVING THE LISTS FROM THE DIVISION. IN THE EVENT AN INDIVIDUAL ON THE EXCLUSION LIST HAS AN ACCOUNT WITH THE INTERNET SPORTS BETTING OPERATOR, THE INTERNET SPORTS BETTING OPERATOR MAY NOT ACCEPT ANY SPORTS WAGERS

FROM THE PATRON DURING THE DURATION OF THE PATRON'S INCLUSION ON THE EXCLUSION LIST.

(C) A SPORTS BETTING OPERATION THAT ALLOWS INDIVIDUALS ON THE EXCLUSION LIST TO GAMBLE MAY BE SUBJECT TO DISCIPLINARY ACTION IN ACCORDANCE WITH THE PROVISIONS OF ARTICLE 30 OF TITLE 44, C.R.S. AND/OR THE GAMING AND SPORTS BETTING RULES AND REGULATIONS UNDER 1 C.C.R. 207-1 AND 1 C.C.R. 207-2.

(3) SELF-Inclusion on the Eexclusion Llist.

(a) Individual self-exclusion means that an individual has made a conscious voluntary effort to exclude themselves from not only that form of gaming but from all forms of gaming, INCLUDING LIMITED GAMING AND SPORTS BETTING under the regulatory purview of the Commission and the Division. Self-exclusion may only be accomplished by an individual acting in their own interest, in the following ways:

(i) Self-exclusion by an individual through the Division. An individual self-excluding either in person or through a web-based application. All self-exclusions by individuals through the Division, either in person or web-based, will have their identity verified prior to being included on the Eexclusion Llist.

(ii) Self-exclusion THROUGH from an Internet Sports Betting Operator. AN INTERNET SPORT BETTING OPERATOR SHALL DIRECT ANY An individual who CHOOSES AN OPTION TO ELECTRONICALLY SELF-EXCLUDE on THE an Internet Sports Betting mobile app chooses an option to electronically self exclude, and has been directed to the Division's SELF-EXCLUSION website.

(iii) Self-exclusion THROUGH from a Ssports Bbetting Ooperator (retail sports book). AN SPORTS BETTING OPERATOR SHALL DIRECT ANY individual WHO requesting self-exclusion THROUGH from THE SPORTS BETTING OPERATOR a retail sports book shall fill out a self exclusion form provided by the sports book. The sports book shall enter the self exclusion into the secure Division portal, direct the player to a dedicated computer on the licensee's property where the player can access TO the Division's website directly for self-exclusion, or if the portal is not available direct the player to the Divisions website for self exclusion at a later time or use their electronic database procedure. IN THE ALTERNATIVE, A SPORTS BETTING OPERATOR MAY DIRECT ANY INDIVIDUAL REQUESTING SELF-EXCLUSION THROUGH THE SPORTS BETTING OPERATOR TO COMPLETE A SELF-EXCLUSION FORM PROVIDED BY THE SPORTS BETTING OPERATOR.

(iv) Self exclusion from a retail gaming licensee (casino). An individual requesting self exclusion from a casino shall fill out a self exclusion form provided by the casino. The casino shall enter the self exclusion into the secure Division portal direct the player to a dedicated computer on the licensee's property where the player can access the Division's website directly for self exclusion or if the portal is not available direct the player to the Divisions website for self exclusion at a later time or use their electronic database procedure.

(b) Individuals wishing to self-exclude in person or through the Division's web-based application shall fill out all required information on the form. Incomplete forms MAY where an individual cannot be identified will not be processed.

(c) Individuals that self-exclude from SPORTS BETTING OPERATIONS gaming corporations that have gaming operations in other states may be included on their corporate exclusion list

and may be included in other state exclusion programs. An individual self-excluding in Colorado shall be informed prior to being placed on a corporate exclusion list.

(4) **SELF-EX** exclusion period.

(a) Individuals **who** that have voluntarily self-excluded **from any Sports Betting Operation, retail casino or through the Division** shall select the period of exclusion to include:

(ii) Three (3) years; **OR**

(b) An individual who is on the **EXCLUSION L**ist may submit a request, to the Division, to increase the minimum length of exclusion.

(5) Removal from the **E**xclusion **L**ist **AFTER SELF-EXCLUSION**.

(a) **NO PERSON IS AUTOMATICALLY REMOVED FROM THE EXCLUSION LIST WHEN THE SELECTED OR DIRECTED PERIOD ENDS.** Individuals that have self-excluded **AND-OR** are on the **EXCLUSION** excluded **L**ist **will need to fill out and MUST** file the **REQUEST FOR REMOVAL FROM THE EXCLUSION LIST** form with the **DIVISION** Director prior to being removed from **THE** **E**xclusion **L**ist. **No person is automatically removed from the exclusion list when the selected or directed time period ends.**

(b) **Sports betting individuals who are prohibited from placing wagers on certain sporting events to the extent that those individuals reside in the Division's exclusion list database may be removed from the list by their governing body/league or by filling out and filing the form with the Director.**

(**Be**) Individuals that are on the **E**xclusion **L**ist and have not completed their self-selected period of self-exclusion may petition the Director for removal from the list. The **DIRECTOR OR THE DIRECTOR'S DESIGNEE** **Division** may require self-evaluation or evaluation by a professional to ensure the problem gambling or financial issues that led to the self-exclusion have corrected themselves. Removal from the **E**xclusion **L**ist prior to the self-selected time period is at the complete discretion of the Director. If an individual's petition to be removed from the **E**xclusion **L**ist prior to the self-select**ED** exclusion period is not approved by the Director, the individual may not re-petition the Director again for the period of one year **FROM THE DATE OF THE DIRECTOR'S DECISION, REGARDLESS OF ANY SUBSEQUENT PETITION(S) OR PROCEEDING(S) UNDER RULE 2.8.**

9.6 Establishment of responsible gaming best practices.

(1) **PRIOR TO BEGINNING SPORTS BETTING ACTIVITY, A** Sports Betting Operations **shall MUST** submit **FOR APPROVAL** to the Division **IT'S** their strategy for the implementation of a responsible gaming program. **The responsible gaming strategy shall not only include self-directed limits by players, it shall also include the licensees commitment as a company to a robust responsible gaming platform. The plan for implementation shall be submitted to the Division no later than April 1, 2023. Sports Betting Operations that begin operating after April 1, 2023 shall have a responsible gaming strategy in place prior to commencing live operations. Beginning October 1, 2023 and On or before October 1ST each year thereafter, EACH Sports Betting Operations shall submit to the Division any updates to its strategy** **ie FOR THE implementation plan OF A RESPONSIBLE GAMING PROGRAM.** **The strategic implementation plan shall include but is not limited to the following:**

(a) **A detailed explanation of the options available for customers to set self-directed limits on all aspects of their deposits, withdrawals, limits on betting and time frames those limits take place. Additionally, an explanation of all responsible gaming tools that are at the players disposal.**

- (b) A detail of the staffing level, including the lead staff position for the responsible gaming program, staffing positions dedicated to responsible gaming, employee education and outreach to players identified as problem gamblers or players that are at risk or show signs of problems gaming.
- (c) A detail of the use of player data and technology to aid in identifying potential problem gamblers. Detail should be provided on how the operators or a contracted third party's technology will provide automated triggers on potential problem gamblers. List should be provided detail on what triggers are being identified and how the operator has identified those as critical matrix to follow. Additionally, what plans the operator has to continually update and learn the best way to identify problem gamblers on their site.
- (d) A detail of the levels of intervention and education provided to identified at risk players. Provide examples of materials and/or media used for intervention and education.
- (e) Detail internal controls to identify those persons who engage in gaming and sports betting activity, controlled by the licensee, who are included on the exclusion list. Internal controls should include prohibiting or stopping persons on the exclusion list and controls in place to prevent collection of winnings by an excluded person.
- (f) Additionally, provide any information relevant to the company as a whole on strategic direction for responsible gaming.

(2) THE STRATEGY FOR THE IMPLEMENTATION OF A RESPONSIBLE GAMING PROGRAM SHALL INCLUDE BUT IS NOT LIMITED TO THE FOLLOWING:

- (A) THE OPTIONS AVAILABLE FOR PATRONS TO SET SELF-DIRECTED LIMITS ON ALL ASPECTS OF THEIR DEPOSITS, WITHDRAWALS, LIMITS ON BETTING AND TIME FRAMES THOSE LIMITS TAKE PLACE.
- (B) ALL RESPONSIBLE GAMING TOOLS THAT ARE AT THE PLAYER'S DISPOSAL.
- (C) THE STAFFING LEVEL, INCLUDING THE LEAD STAFF POSITION FOR THE RESPONSIBLE GAMING PROGRAM, STAFFING POSITIONS DEDICATED TO RESPONSIBLE GAMING, EMPLOYEE EDUCATION AND OUTREACH TO PLAYERS IDENTIFIED AS PROBLEM GAMBLERS OR PLAYERS THAT ARE AT RISK OR SHOW SIGNS OF PROBLEMS GAMING.
 - (i) THE LEAD STAFF POSITION OR THEIR DESIGNEE SHALL BE REQUIRED TO PROVIDE RESPONSIBLE GAMING TRAINING FOR TEAM MEMBERS WHO DIRECTLY ENGAGE WITH SPORTS BETTING PATRONS CONTINUALLY AND/OR AS NEEDED WITH THE TURNOVER OF TEAM MEMBERS.
- (D) THE USE OF PLAYER DATA AND TECHNOLOGY TO AID IN IDENTIFYING POTENTIAL PROBLEM GAMBLERS. DETAIL SHOULD BE PROVIDED ON HOW THE SPORTS BETTING OPERATION'S OR A CONTRACTED THIRD PARTY'S TECHNOLOGY WILL PROVIDE AUTOMATED TRIGGERS ON POTENTIAL PROBLEM GAMBLERS, INCLUDING WHAT TRIGGERS ARE BEING IDENTIFIED AND HOW THE SPORTS BETTING OPERATION HAS IDENTIFIED THOSE AS CRITICAL MATRICES TO FOLLOW. DETAILS SHOULD BE PROVIDED ON AN ANNUAL REVIEW OF THE EFFECTIVENESS OF THE DATA AND TECHNOLOGY USED BY REPORTING THE NUMBER OF PATRONS IDENTIFIED AND WHAT ACTIONS WERE TAKEN, AND ON THE SPORTS BETTING OPERATION'S PLANS TO CONTINUALLY UPDATE AND LEARN THE BEST WAY TO IDENTIFY PROBLEM GAMBLERS ON THEIR SITE. A DETAIL OF THE USE OF PLAYER DATA AND TECHNOLOGY TO AID IN IDENTIFYING POTENTIAL PROBLEM GAMBLERS. DETAIL SHOULD BE PROVIDED ON HOW THE OPERATORS OR A CONTRACTED THIRD PARTY'S TECHNOLOGY WILL PROVIDE AUTOMATED TRIGGERS ON POTENTIAL PROBLEM GAMBLERS. THE LIST SHOULD PROVIDE DETAIL ON WHAT TRIGGERS ARE BEING IDENTIFIED AND HOW THE OPERATOR HAS IDENTIFIED THOSE AS CRITICAL MATRICES TO FOLLOW. ADDITIONALLY,

WHAT PLANS THE OPERATOR IS ENGAGED IN TO CONTINUALLY UPDATE AND LEARN THE BEST WAY TO IDENTIFY PROBLEM GAMBLERS ON THEIR SITE.

(E) THE LEVELS OF INTERVENTION AND EDUCATION PROVIDED TO IDENTIFIED AT RISK PLAYERS. PROVIDE EXAMPLES OF MATERIALS AND/OR MEDIA USED FOR INTERVENTION AND EDUCATION.

(F) INTERNAL CONTROLS TO IDENTIFY ANY INDIVIDUALS ON THE EXCLUSION LIST WHO ENGAGE IN SPORTS BETTING ACTIVITY THROUGH THE SPORTS BETTING OPERATION. INTERNAL CONTROLS SHOULD INCLUDE PROHIBITING INDIVIDUALS ON THE EXCLUSION LIST FROM ENGAGING IN SPORTS BETTING THROUGH THE SPORTS BETTING OPERATION AND CONTROLS IN PLACE TO PREVENT COLLECTION OF WINNINGS BY INDIVIDUALS ON THE EXCLUSION LIST AND,

(G) ANY INFORMATION RELEVANT TO THE COMPANY AS A WHOLE ON STRATEGIC DIRECTION FOR RESPONSIBLE GAMING.

(3) THE SPORTS BETTING OPERATION SHALL ENSURE COMPLIANCE WITH ITS INTERNAL RESPONSIBLE GAMING POLICIES AND PROCEDURES, AND THE STRATEGY FOR IMPLEMENTATION OF A RESPONSIBLE GAMING PROGRAM SUBMITTED TO THE DIVISION. AN OPERATIONS FAILURE TO ADHERE TO ITS OWN RESPONSIBLE GAMING POLICIES AND PROCEDURES AND ITS PLAN PROVIDED TO THE DIVISION MAY SUBJECT THE OPERATION TO REGULATORY DISCIPLINE.

(4) THE SPORTS BETTING OPERATION SHALL AUDIT THE ENTIRETY OF THE PLAYER ACCOUNT MANAGEMENT SYSTEM OR ANY SYSTEM OR DATABASE THAT HOUSES EXCLUDED PATRON INFORMATION TWICE EACH YEAR (FIRST BETWEEN JUNE 1ST AND JULY 1ST AND SECOND BETWEEN DECEMBER 1ST AND JANUARY 1ST EACH CALENDAR YEAR) FOR DUPLICATE ACCOUNTS TO ENSURE THAT INDIVIDUALS ON THE EXCLUSION LIST ARE FLAGGED APPROPRIATELY. A SPORTS BETTING OPERATION MAY SUBMIT A REQUEST TO THE DIVISION FOR APPROVAL OF AN ALTERNATIVE AUDIT SCHEDULE, WHICH IS SUBJECT TO APPROVAL BY THE DIRECTOR OR DIRECTOR'S DESIGNEE.

(A) THE SPORTS BETTING OPERATOR OR INTERNET SPORTS BETTING OPERATOR SHALL ENSURE THE PLAYER ACCOUNT MANAGEMENT SYSTEM OR ANY SYSTEM OR DATABASE THAT HOUSES EXCLUDED PATRON INFORMATION IS ACCESSED DURING ANY PATRON GAMING INTERACTION THAT REQUIRES IDENTIFICATION.

(I) EXAMPLES INCLUDE: CASH ADVANCES, CHECK CASHING, PIN NUMBER CHANGES, TAXABLE ACCUMULATED CREDITS OR FREE PLAY, FRONT MONEY, CASH CLUB TRANSACTIONS, AUDIBLE AND VISUAL ALERTS, AND ANY GAMING TRANSACTION REQUIRING AN ID.