



COLORADO

Department of Revenue

Specialized Business Group—Gaming

RE: Notice of Adoption of Changes to Internal Control Minimum Procedures (ICMP)

Pursuant to CLGR. 30-1610 (4), and (5), this letter is to inform licensees that the changes previously distributed on _____, for review and comment, have been amended and finalized. The effective date of the revised ICMP is _____.

In accordance with CLGR. 30-1610 (7), any licensee whose procedures are affected by the minimum procedures or revisions shall amend its written system, submit a copy of the written system as amended to the Division, and comply with the procedures and system as amended. To simplify this process, please complete the attached form and return it to the Division. This form presents two options: you can indicate your intention to comply with the revised ICMP by the effective date, or you can submit a request to extend the date you will comply with the revised ICMP, by submitting a Compliance Extension Request Form. The Compliance Extension Request must be approved by the Division 30 days prior to the effective date of the ICMP. **It is unnecessary to re-submit any previously approved Compliance Extension Requests that are not impacted by the revisions to the ICMP.** They will remain in effect unless you provide written notice to the contrary. In other words, **you only need to submit Compliance Extension Requests that will differ from, or are impacted by, the revised ICMP.** The attached form must be signed by the licensee's chief financial officer (or their designee), and either the licensee's chief executive officer or a licensed owner (or their designee), and emailed back to the Division within 30 days of the date of this letter (i.e., no later than _____).

The revised ICMP are available on the Division's web site at <https://sbg.colorado.gov/laws-and-regulations-gaming>.

Please ensure all copies of the ICMP for your casino are updated with the revisions.

If you have any questions please do not hesitate to call Paul Hogan at (303) 961-7479 or send an email to paul.hogan@state.co.us.

Sincerely,

Paul Hogan

Chief Auditor, Division of Gaming



Notice of Intent Form

Revisions

Attention: Audit Section, Paul Hogan

**NOTICE OF INTENT TO FOLLOW REVISED PROCEDURES
OR
NOTICE OF INTENT TO FOLLOW REVISED PROCEDURES WITH
COMPLIANCE EXTENSION REQUEST**

Please check one of the following:

Licensee agrees to comply with the revised Internal Control Minimum Procedures effective _____.

Licensee agrees to comply with the revised Internal Control Minimum Procedures effective _____, with the exception of the paragraphs listed on the Compliance Extension Request Form, where we are requesting an extended date to comply. (Attach the Compliance Extension Request form and submit this via email as well.)

Please indicate which option applies to you by selecting one of the above choices. If you are requesting an extension to comply with the revised ICMP, you must submit the Compliance Extension Request Form at least 30 days prior to the effective date of the ICMP. You will be required to comply with the revised ICMP until written approval has been received from the Division of Gaming.

This form must be emailed to the Division **prior** to the casino opening for business. Please email this form to dor_coloradocasinos@state.co.us. You may contact Paul Hogan, Chief Auditor, at (303) 961-7479 or paul.hogan@state.co.us if you have any questions regarding this form or process.

The Licensee's chief financial officer (or their designee) and either the Licensee's chief executive officer or licensed owner (or their designee) must sign this Notice of Intent form.

Casino Name: _____

Chief Financial Officer or Designee

Signature

Date

Chief Executive Officer or Owner or
Designee

Signature

Date



COLORADO
Department of Revenue
Specialized Business Group—Gaming

APPROVED BY

DENIED BY

Compliance Extension Request of Intent Form ICMP Revisions Effective

Casino Name: _____

Extension Request

Proposed ICMP Language

Requested Compliance Date

Justification & Plan for Compliance

ICO Designee

Signature

Date