Specialized Business Group— Liquor & Tobacco

Physical Address: 1707 Cole Boulevard, Suite 300 Lakewood, CO 80401 Mailing Address: P.O. Box 17087 Denver, CO 80217-0087 Fax: 303-866-2428

October 30, 2023

ROCK BOTTOM GROUP LLC dba ROCK BOTTOM RESTAURANT & BREWERY 19219 KATY FREEWAY SUITE 500 Houston TX 77094

Re: State Master File for ROCK BOTTOM GROUP LLC Account # 03-15259

Dear Sir or Madam:

This is to advise you that the Colorado Liquor Enforcement Division ("Division") has, at your request, revised your "master file" for the above-listed Licensee.

As of the date of this letter, our master file includes the following items which you have submitted:

1. Individual History Records (Form DR 8404-I) for the following persons:

MORGAN MCCLURE JESSICA HAGLER

- Fingerprint cards bearing the names and birth dates of the persons listed in paragraph 1, above. All the fingerprint cards have been submitted to the Colorado Bureau of Investigation. The CBI and FBI have checked the prints and reportedly found no record of any criminal history for those listed above.
- Certificate of Authority or a Certificate of Good Corporate Standing from the Colorado Secretary of State which indicates that ROCK BOTTOM GROUP LLC is a limited liability company authorized to do business in Colorado.

When filing a new application for additional licensed locations, you must check with the local licensing authority to determine what documents they may require to process your application. Please feel free to provide them with this letter, as many local authorities will not require you again to submit fingerprint cards to them if you have already submitted such documents to the Division. This letter will serve to inform the local authorities exactly which documents you have already submitted to the State Enforcement Division.

Finally, once the local authority has approved your new license or transfer of ownership

application, it must be sent to the Division.

The local authority need not send change of corporate structure information previously reported to the Division, as listed in and approved by this letter.

The only documents which are needed for a new or transfer of ownership application by the Division are:

- 1. The approved application signed by the local authority;
- 2. The appropriate fees;

Michelle Stone-Principato

- 3. A copy of this letter;
- 4. Proof of possession of the premises;
- 5. A diagram of the licensed premises;
- 6. Completed form DR 8442, and an Individual History Record (DR-8404-I) if manager's registration is required.

Sincerely,

Michelle Stone-Principato

Division Director