



COLORADO

Department of Revenue

Specialized Business Group-Gaming

COLORADO TABLE GAMES FIELD TRIAL **PROCEDURES**

NOTE: DO not complete this form if the table game is a hybrid game (dealer assisted slot machine) please contact Field Operations Manager Donald "Gus" Swartz at Donald.Swartz@state.co.us for information and Device Field Trial Procedures.

1. In preparing for a field test, the applicant for approval of a proposed table game (the "Applicant") will notify the Table Games Committee (the "Committee") of the Colorado Division of Gaming (the "Division") of the names of the licensed Colorado casinos ("Licensee(s)"), which are willing to field test the proposed game. A written agreement to this affect, signed by both the Applicant and the Licensee(s), must be included with the formal application. If the Applicant cannot identify any licensee willing to participate in the field test, the formal application for approval of the proposed table game will not be processed.
2. The Division will provide a copy of these procedures to both the Applicant and to the Licensee. Representatives of both will sign, acknowledging their receipt and acceptance of these procedures. Only the Licensee's general manager or the person to whom the general manager reports is permitted to sign on behalf of the Licensee.
3. A Licensee may offer the proposed game at an existing table, or at a new table added for the purpose of offering the proposed game. The Licensee(s) shall be responsible for calculating and reporting adjusted gross proceeds (AGP) and the payment of the appropriate taxes for the reported AGP. The Licensee(s) shall report the AGP for the trial game on the regular reporting forms and at the regular reporting intervals. The Licensee(s) shall also be responsible for the appropriate monthly statistical reports.
4. Before the start of the field test, a local representative of the Committee must inspect and approve all equipment to be used in the play of the game, including but not limited to: the table, layout felt, cards, shuffling device, chip tray, discard rack, dealing shoe, drop box, and tip box. Surveillance equipment and coverage will also be inspected and approved (see item 7, below).

5. The Applicant must provide training for all dealers who will deal the game, Surveillance Operators who will observe the game, floorpersons, and supervisors responsible for oversight of the gaming table and pit where the game is to be offered, and all key employees who are likely to serve as the "key on duty" for the Licensee during the period that the game is offered. If conducting all such training will create a hardship for the Applicant, with the express approval of the Division, properly and adequately trained employees of the Licensee may be permitted to train other employees of the Licensee. The Applicant must also provide training on the game to Division investigators and auditors whom the Division has designated to receive such training. All training of both the Licensee's employees and the Division's employees must be completed before the game is offered to the public.
6. All customer complaints about the game will be documented and the resolution of the complaints reported to the Committee. If the nature of the complaint is such that Regulation 30-417 should apply, then the complaint should be reported to the Division in the manner required by that regulation.
7. The Licensee is required to maintain surveillance on the table as required by Section X of the Internal Control Minimum Procedures. This requirement shall be applicable both if the game is offered on an existing table or if the game is offered on a newly added table. Upon cause shown, the Division may allow or require different (including additional) surveillance features during the test period.
8. Each week, the Licensee will submit a report to a local representative of the Committee. The reports must, at a minimum, contain the following information, which may be publicly disclosed at the discretion of the Applicant and/or the Division:
 - a. Total Drop
 - b. Total Win
 - c. Total Fills
 - d. Hold Percentage
 - e. Customer Complaints
 - f. Pit Reports by Shift
 - g. Suggested Changes
9. During the field test period, the Licensee is to receive 100% of all profits generated by the trial game; the Applicant may not receive revenue or payments of any kind from the Licensee as a cost of offering the trial game.
10. Pursuant to Regulation 30-325, the test period may be up to 180 days in length, but the test period will more commonly last only 30 to 60 days. If a Licensee wishes to end its participation during the test period, the Licensee shall notify the Division, which will evaluate the game to that point and either require the Applicant to locate another Licensee to offer the game, or continue the test with the remaining participating Licensee, or end the test.

11. Following initial approval of the game for the field test, any recommendation for game modification and rule changes (made by either the Applicant or the Licensee) must be in writing and must include the reason for the recommended change. The Table Games Committee Chairman will issue approval of rule changes and other game modifications made during the trial. The Applicant must submit in writing to the Primary Point of Contact all changes made to the game during the trial. Once the changes are approved the Applicant is solely responsible for providing to the Licensee(s) and the Division revised copies of the temporary regulations, which are to govern play of the game during field test period.
12. Upon completion of the test period, as indicated by the Committee, the Applicant will prepare a written report summarizing its analysis of the game activity, and recommend that the game be approved, be disapproved, or continued in field testing for a specified period of time. The recommendation shall not be binding on the Division, and the Division may allow the game to remain in field test status even after receiving the report.
13. Upon completion of the test period, as indicated by the Committee, the Licensee will prepare a written report summarizing its analysis of the game activity, and recommend that the game be approved, be disapproved, or continued in field testing for a specified period of time. The recommendation shall not be binding on the Division, and the Division may allow the game to remain in field test status even after receiving the report.
14. The reports from the Applicant and the Licensee(s) must be completed and submitted to the Committee no later than 10 days after completion of the normal test period. The Committee may request additional information not otherwise provided by either the Applicant or the Licensee, and may conduct any other investigation, which it deems necessary.
15. The Committee will make a thorough and impartial analysis of the game and the field test. If the Committee supports permanent (i.e. formal) approval of the game, it will finalize the proposed regulations governing play of the game, and will bring them before the Colorado Limited Gaming Control Commission. If the Committee does not support approval of the game, the Division will issue a letter of denial, giving the reasons therefore. Any appeal of the Division's decision by the Applicant must follow the requirements of 30-208 and 30-601, *et. seq.*, of the Colorado Limited Gaming Regulations. The Licensee must, in every instance, discontinue offering the trial game when instructed by the Committee.
16. The Applicant must start the field trial with at least one licensee 120 days after the approval of the submitted application and table game by the Table Games Committee. If the applicant does not start the field trial with at least one licensee within 120 days, the table games application will be automatically Withdrawn without Prejudice. The table games application fee is non-refundable and if the applicant finds a licensee to hold the field trial after the 120 day period the applicant will be required to submit a new application.

The undersigned certify that they have each received a copy of the field trial procedures, and will comply with all of the requirements.

Applicant for approval of game of _____

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Signature: _____

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Printed Name: _____

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Date: _____

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Licensee

Field Trial Location: _____

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Signature: _____

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Printed Name: _____

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Title: _____

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Date: _____

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