



COLORADO
Department of Revenue

Colorado Division Of Gaming

**Fantasy Contest Operator
Registration and Licensing Application**

Colorado Division Of Gaming

Fantasy Contest Operator Registration and License Application Instructions

Fantasy Contest Operator Registration And License Definitions

Registration is for businesses with 7,500 fantasy contest players or less in Colorado.

Licensure is for businesses with more than 7,500 fantasy contest players in Colorado.

Mandatory Practice Act. Colorado has a mandatory practice act, which means that you may not practice as a Fantasy Contest Operator in this state without a Colorado license or registration. Submission of this application does not guarantee licensure. Therefore, do not make life or career decisions based on the probability that you may receive a license. Plan ahead for the time it will take for us to receive all required documents and complete our evaluation. A fantasy contest operator that has more than 7,500 fantasy contest players in Colorado with active accounts, must apply for licensure. An account is considered “active” if the player (i) has an email address on file with the fantasy contest operator and (ii) has paid an entry fee for a fantasy contest, agreed to pay an entry fee for a fantasy contest or has made a monetary deposit to a player account.

1. Application Fully Completed

Type or clearly print an answer to every question. If a question does not apply to you, indicate so with an N/A. If you are unsure if a question applies to you or what information the form is asking you to provide, contact the Division of Gaming to seek clarification. If the available space is insufficient, continue on a separate sheet and precede each answer with the appropriate title.

2. All Requested Information Attached

The following information requested on the application must be attached, if applicable:

Trade Name Registration with the Colorado Secretary of State's Office

Certificate of Authority from the Colorado Secretary of State's Office

Certified Copy of Articles of Incorporation, including amendments

Articles of Organization, including amendments

Partnership Agreement, including amendments

Detailed information regarding nature of contests and utilization of statistics

All information requested in the Screening Questions section on page 5

Individual Ownership and Qualifying Interest Applications required for licensing applicants (over 7500 players)

NOTE: The Division of Gaming reserves the right to request additional information and documentation throughout the course of the background investigation.

3. Fingerprint Card & Verification Of Fingerprints For License (Over 7,500)

All officers, directors, general partners, persons with 10% or more effective ownership, and the responsible individual, must be fingerprinted in order to obtain a Fantasy Contest Operator License. Fingerprints are not necessary for Registration applicants. There are three options for obtaining fingerprints:

1. You can be fingerprinted at any Division of Gaming office for a fee of \$40.
2. Colorado Applicant Background Services (CABS) vendor locations (CABS information can be found on the Division website or at any Gaming office). Fingerprints taken at a CABS location will be transmitted electronically. Please include proof of fingerprinting, such as a receipt, when you submit your application. Fingerprints must be specifically completed for the Colorado Division of Gaming. Fingerprints completed for other agencies will not be received.
3. Fingerprint services outside of Colorado or the United States may be obtained at an Identogo location. Ensure that 2 fingerprint cards are filled out completely and signed, bearing separately captured fingerprints. Also ensure the form "Verification of Fingerprints" is filled out and signed by the person performing the fingerprinting.

** If you submit physical fingerprint cards you must include a \$40 processing fee. Fingerprint cards should only be submitted by applicants outside the state of Colorado or the United States.

4. License & Application Fees Submitted

Submit appropriate license and fingerprinting fees (if applicable).

Registration: \$350

Licensure: \$15,000.00

Fingerprinted by Division of Gaming OR if submitting physical fingerprint cards: \$40 per person

Make check payable to: **Colorado Division Of Gaming**

5. Mail Or Bring In Application

Mail or bring the application to: Colorado Division of Gaming, 1707 Cole Blvd., Suite 300, Lakewood, CO 80401.

The Division is open for licensing by appointment only. You can find the link to make an appointment on our website at SBG.Colorado.gov/Gaming.

REGISTRATION applications may be submitted via email to DOR_gaming_licensing@state.co.us.

LICENSURE applications must be submitted in paper form.

General Instructions

1. Do not try to replicate Division of Gaming forms. You must use forms provided by or obtained from the Division of Gaming. You may photocopy Division of Gaming forms, but do not attempt to replicate them on your computer. Division of Gaming forms are available in electronic format online at SBG.Colorado.gov/Gaming. You must download the form to your computer and use Adobe Acrobat Reader or Adobe Acrobat Exchange to fill in the forms. If you use Acrobat Reader, you cannot save the information, but the application may be printed. If you use Acrobat Exchange, you can save the information.
2. If the application is submitted in paper form, you have the option of submitting all other supporting documents electronically, on computer disk or flash drive, in .pdf, .doc, .xls or .tif format. A legend must be submitted detailing the file name on the disk along with a description of the documents contained in each file.
3. Applications submitted in paper form must be submitted to the Division of Gaming's Lakewood address. Do not address the envelope to any particular individual within the Division, as this may delay the process.
4. REGISTRATION applications may be submitted by email to DOR_gaming_licensing@state.co.us. LICENSURE applications must be submitted in paper form.

Colorado Division Of Gaming
**Fantasy Contest Operator Registration
and License Application**

Fingerprinted by Division of Gaming OR if
submitting physical fingerprint cards, \$40

Registration, \$350

License, \$15,000

(Physical cards should only be submitted by applicants outside of CO or the U.S.)

Business Name

Fantasy Contest Operator Account Number

Trade Names (DBA) (Provide Trade Name Registration)

Website Address/URL/App

Street Address of Gaming Business

City

State ZIP Code

Business Phone Number Email Address

Mailing Address, if different from Street Address

City

State ZIP Code

Contact Person For Business

Title

Contact Phone Number Contact Email

Contact Address

City

State ZIP Code

Federal Taxpayer ID Number

Colorado Sales Tax License Number (if applicable)

Type of Business Structure

Sole Proprietorship Partnership Limited Partnership Limited Liability Company

C Corporation S Corporation Publicly Traded Corporation Trust

Other:

State of incorporation or creation of business entity

Date (MM/DD/YY)

List all states where applicant is authorized to conduct business

List all trade names used by the business entity (other than above)

1. Attached Certificate of Authority and Certificate of Good Standing from the Colorado Secretary of State's Office?.....	Yes	No
2. Attached certified copies of all articles of incorporation, bylaws, articles of organization, or any partnership or trust agreement, including any and all amendments?.....	Yes	No
3. For corporations, attached copies of all annual and bi-annual reports and SEC filings for the past 3 years, and all corporate meeting minutes for the past 12 months?.....	Yes	No
4. Attached copies of Uniform Commercial Code Reports for all states where known to be filed?.....	Yes	No

Submit detailed information regarding nature of contest: You must submit detailed information about the nature and type of fantasy contest to be conducted, including the manner in which statistics are utilized. You must include examples of all information and materials to be provided to contestants.

Applicant's Printed Trade Name (DBA)

Ownership Structure

Provide the information below for all officers, directors, and general partners, as well as all persons and/or entities with 10% or greater ownership interest. If an entity (corporation, partnership, LLC, etc.) has a 10% or greater ownership interest, list a responsible person with that entity, and provide the information for all persons and/or entities with 10% or more effective ownership with the applicant. Additional copies of this page may be submitted, if necessary. For all persons and entities with less than 10% ownership interest, provide a list of the persons and/or entities with their percent interest or a shareholder list on a separate sheet. If applying for licensure (more than 7500 players), an Individual Ownership and Qualifying Interest Application form must be submitted for all officers, directors, general partners, and persons with 10% or more effective ownership with the applicant.

Name	Title				
Social Security Number / FEIN	Date of Birth				
Address		Fingerprinted?	Yes	No	
City	State	ZIP Code	Phone Number		
Business Associated with (Parent business or sub-entity)	Ownership % in Business Associated with	Effective Ownership % in Applicant			

Name	Title				
Social Security Number / FEIN	Date of Birth				
Address		Fingerprinted?	Yes	No	
City	State	ZIP Code	Phone Number		
Business Associated with (Parent business or sub-entity)	Ownership % in Business Associated with	Effective Ownership % in Applicant			

Name	Title				
Social Security Number / FEIN	Date of Birth				
Address		Fingerprinted?	Yes	No	
City	State	ZIP Code	Phone Number		
Business Associated with (Parent business or sub-entity)	Ownership % in Business Associated with	Effective Ownership % in Applicant			

Name _____ Title _____

Social Security Number / FEIN _____ Date of Birth _____

Address _____ Fingerprinted? Yes No

City _____ State _____ ZIP Code _____ Phone Number _____

Business Associated with (Parent business or sub-entity) _____ Ownership % in Business Associated with _____ Effective Ownership % in Applicant _____

Are there any outstanding options and warrants?..... Yes No
 If YES, attach list of persons with outstanding options and warrants

Verification of Fingerprints – (not required if printed by a CABS vendor)

This form is to be completed by the law enforcement agency that takes your fingerprints. The enclosed fingerprint cards contain the prints of the following individual whose identification I have verified:

Name of Applicant _____

Identification Type (i.e. Missouri Driver’s License, U.S. Passport, U.S. Military Card, etc.) _____

Identification Document Number _____

Name of Person Taking Fingerprints _____

Title _____

Law Enforcement Agency Name _____

ORI Number _____

Signature _____

Date (MM/DD/YY) _____

Fingerprints will be used to check the criminal history records of the Colorado Bureau of Investigation and the Federal Bureau of Investigation (FBI). Procedures for obtaining a change, correction or update of an FBI identification record are set forth in Title 28, C.F.R Section 16.34

Screening Questions

Have any officers, directors or general partners been convicted of, pled guilty to, pled nolo contendere to, or received a deferred judgment for a felony?..... Yes No
If Yes, you must provide an explanation including date(s), description(s), location(s), court(s) and current status or outcome regarding the event(s). You may also be required to provide documentation relating to the event(s) including official court dispositions, police reports, and legal documents indicating your compliance with any requirements imposed.

The applicant has reviewed and is in compliance with the provisions of the Colorado Limited Gaming Act § 44-30-1607, Colorado Revised Statutes, regarding consumer protections..... Yes No
If No, explain which provision the applicant is not in compliance and their plan to come into compliance.

Attestation

I state under penalty of perjury in the second degree that the information contained in this application is true and correct to the best of my knowledge. False statements made herein are punishable by law and may constitute violation of the practice act.

Signature

Date (MM/DD/YY)