

Marijuana Employee License Application

Marijuana Enforcement Division

	rado Marijuana Enforcement Division	
APPI	LICATION CHECKLIST	
1 License Type		
	Notice: You are required by state law to provide your social security number. If you do not have a social security number, you must complete a sworn statement stating you do not have a social security number.	
2	Application Completed & Signed–APPLICABLE DOCUMENTS MUST BE SIGNED PRIOR TO SUBMISSION TO THE MED	
	Type or clearly print an answer to every question. If a question does not apply, indicate with an N/A. If the available space is insufficient, continue on a separate sheet and precede each answer with the appropriate title. Sign and date every page of the application where applicable, even if the page is blank.	
	All Applications and documentation submitted must be single-sided and on 8.5x11 inch paper.	
3	Proof of Identity	
	Attach a copy of your Real ID compliant state issued or Government ID (i.e. passport) or driver's license (or see website for additional forms of ID accepted).	
	Application Fee	
	Submit the NON-REFUNDABLE application fee for a two-year license. See fee table on website: https://SBG.Colorado.gov/Marijuana-Enforcement. Check, money order and credit cards accepted at all offices.	
	Make check or money order payable to: Colorado Department of Revenue (DOR)	
5	Application Submittal	
	Check the MED website for current submission process: https://SBG.Colorado.gov/med/marijuana-employee-license	
	Submission Questions, contact an office near you: https://SBG.Colorado.gov/med/contact-us	
	NOTE: Incomplete applications will not be processed. Applicants must collect the incomplete application and fees (including those mailed in or delivered via courier), from the office where the application was submitted prior to the end of the next business day.	

Marijuana Employee License Application

Legal Last Name (Please	e Print)	Legal First Name		Full Leg	al Middle I	Name	
Maiden/Married Names I (Attach separate sheet if neo			Nicknames, Aliase (Attach separate she	es, Etc. Used (Full eet if necessary)	Name)		
Gender Ra		Mixed Race Native Hawaiian/Pacific I		Black Iispanic/Latino		ve Ame lisclose	erican d/Unknown
Date of Birth (MMDDYYYY) Sc	ocial Security Number			urity Numbers Usec (If yes attach details			
Place of Birth: City	٤	State Country	Į	Drivers License N	umber and	State	
Physical Appearance	⇒ Height (feet & inches) V	Veight (in pounds) Hair	Color	Eye	Color		
U.S. Citizen	*If "No", include detai	ls here: (Attach separate	sheet if necessary)) Alie	n Registra	tion Nu	mber
Physical Address				· · · · ·			
Address (include unit or a	apartment number)						
City			County		State	ZIP	
Home Phone Number			Cell Phone Numb	er			
Email Address							
Mailing Address (i	f different from Phys	sical Address)					
Address (include unit or a	apartment number)						
City					State	ZIP	
Licensed Marijuana busir	ness where you will be work	king (if known)	Work Phone Num	ber	Job Title		
you an owner or ass	ssess a Colorado Mar sociated person in any ense type and numbe	other type of Color			iness or	are	Yes No
	ied for a Marijuana lice icense was ever issue re:						Yes No
disciplinary action ta of an ownership gro *If "Yes", explain he	n denied a Marijuana li aken against any Marij oup, in this or any othe re:	juana license that yo				-	Yes No
Applicant's Signature	THIS FORM MUST BE SIG	NED IN ACROBAT PRO <i>OR</i>	READER	•	REQUI		Date (MMDDYYYY)

Applicant's Last Name (Please Print)	First Name	Full Middle Name

Notice: This Marijuana Employee Application Form is an official document. If you provide false information on your Marijuana license application, and/or do not disclose all information the application asks, your license is subject to denial, and you may be subject to criminal prosecution. The Marijuana Enforcement Division will conduct a complete background investigation and will check all sources of information. You are advised that it is better to disclose all information than face denial, revocation or criminal prosecution.

1.	Have you been convicted of a felony in the 3 years immediately preceding this application? (Unless charge was prior to age 18 and was adjudicated as a juvenile)	Yes No
2.	Are you currently subject to a sentence for a felony conviction, including probation, parole or a deferred judgment or sentence? (Unless charge was prior to age 18 and was adjudicated as a juvenile)	Yes No
3.	Have you failed to remedy an outstanding delinquency for any judgments, taxes, interest or penalties due to the Department of Revenue, relating to a Regulated Marijuana Business?	Yes No
4.	Are you a licensed Physician making marijuana patient recommendations? (Medical Only)	Yes No
5.	Have you had your authority to act as a primary caregiver revoked by the State Health Agency? (Medical Only)	Yes No
6.	Are you under 21 years of age at the time of this application?	Yes No
7.	Are you the spouse or child living in the household of any person employed by the Colorado Marijuana Enforcement Division?	Yes No
8.	Are you a sheriff, deputy sheriff, police officer, or prosecuting officer, or an officer or employee with the marijuana state licensing authority or a local licensing authority?	Yes No

STOP! If you answered YES to any of the above questions, by Colorado law you cannot obtain or hold a Colorado Marijuana Employee license.

I have thoroughly read and understand the questions above, and understand that I cannot hold a Colorado Marijuana license if I answered "Yes" to any of the questions above.

 Applicant's Signature
 Date (MMDDYYYY)

THIS FORM MUST BE SIGNED IN ACROBAT PRO OR READER

REQUIRED

Appl	icant's Last Name (Please Print)	First Name	Full N	liddle Name	
1.	Are you delinquent in the payment agreement to pay.	of any Colorado child	support? If so, you must	provide an	Yes No
2.	Provide a list of any privileged or p within the last three (3) years prior were issued by the Colorado Depa including all marijuana licenses.	to the submission of th	e employee application.	List those that	None
3.	Have you ever been denied a privil professional license application or stipulation or settlement, withdrawn license that you have held, either in	had any disciplinary ac n or other penalties or s	tion (i.e denial, surrend sanctions.) taken agains	der, revocation,	☐Yes ☐No
	If YES, give details on separate sh Include any items currently under f prove your settlement on any of the	ormal dispute or legal			

	minal History D NOT DISCLOSE CRIMINAL HISTORY WHERE NON-CONVICTION RECORD HAS BEEN SEALED O	R EXPUNGED)
1.	In the last 3 years have you been convicted of ANY crime that resulted in a Felony conviction, including but not limited to, probation, parole, deferred judgment or sentence, in this or any other country?	Yes No
2.	Are you currently serving a sentence, serving a deferred sentence, on probation or parole for a felony?	Yes No
	 You must include ALL felony arrests, charges, and convictions in the last 3 years (unless charge was prior to age 18 and was adjudicated as a juvenile), regardless of the outcome, even if the charges were dismissed or you were found not guilty. 	
	 NOTICE: Do not rely upon your understanding that an arrest or charge is "not supposed to be on your record." A criminal record was not cleared, erased, sealed, pardoned or expunged unless you were given, and have in your possession, a written order from a judge directing that action. 	
ne D (C or de	f you answered YES , explain in detail on the next page of this application, using additional sheets as ecessary. For each FELONY offense for which you were arrested or charged, YOU MUST OBTAIN (OCUMENTATION FROM THE COURT WHERE YOU APPEARED, SHOWING THE FINAL DISPOS DUTCOME) OF YOUR CASE (FELONIES ONLY) . This information will include whether you were for a not guilty and the penalty (money fine, time in jail or prison, probation or deferred sentence). If you efferred judgment, a deferred sentence, or probation, your documentation must include the date that scharged or released from probation or other supervision.	DFFICIAL SITION und guilty received a

Applicant's Initials

Applicant's Last Name (Please Print)	First Name	Full Middle Name

Arrest Disclosure Form

In the last 3 years have you been arrested, served a criminal summons, charged with, or convicted of a FELONY (unless charge was prior to age 18 and was adjudicated as a juvenile)? If so, you must disclose this information to the Marijuana Enforcement Division.

Any person applying to be licensed by the Marijuana Enforcement Division must make notification to the Division of any felony criminal conviction and/or felony criminal charge pending against such person.

Failure to disclose may result in disciplinary action, up to and including the denial of your license application.

Please List Each Felony Offense Separately

1	Date of Offense (MMDDYYYY)	Place of Offense
Arresti	ng Agency	
Origina	al Charge	
Dispos	ition Narrative (i.e. guilty, not guil	ty, probation, etc.) — Must also provide official documentation (felonies only).
	Date of Offense (MMDDYYYY)	Place of Offense
2		
	ng Agency	
Origina	al Charge	
Dispos	ition Narrative (i.e. guilty, not guil	ty, probation, etc.) — Must also provide official documentation (felonies only).
3	Date of Offense (MMDDYYYY)	Place of Offense
Arresti	ng Agency	
Origina	al Charge	
Dispos		ty, probation, etc.) — Must also provide official documentation (felonies only).
4	Date of Offense (MMDDYYYY)	Place of Offense
Arresti	ng Agency	
Origina	al Charge	
		ty, probation, etc.) — Must also provide official documentation (felonies only).
Signat	ure (Required even if no criminal THIS FORM MUST BE SIG	history) NED IN ACROBAT PRO OR READER REQUIRED

Affirmation & Consent

I, ________, state under Penalty for offering a false instrument for recording pursuant to 18-5-114 C.R.S. that the entire Employee License Application Form, statements, attachments, and supporting schedules are true and correct to the best of my knowledge and belief, and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to issue a Marijuana license by the State Licensing Authority. Further, I am aware that later discovery of an omission or misrepresentation made in the above statements may be grounds for denial of the Marijuana application. I am voluntarily submitting this application to the Colorado Marijuana Licensing Authority under oath with full knowledge that I may be charged with perjury or other crimes for intentional omissions and misrepresentations pursuant to Colorado law or for offering a false instrument for recording pursuant to 18-5-114 C.R.S. I further consent to any background investigation necessary to determine my present and continuing suitability and that this consent continues as long as I hold a Colorado Marijuana license.

Note: If your check is rejected due to insufficient or uncollected funds, the Department of Revenue may collect the payment amount directly from your banking account electronically.

Print Full Legal Name of Applicant clearly below:						
Legal Last Name (Please Print)	Legal First Name	Legal Middle Name				
Signature		Date (MMDDYYYY)				
THIS FORM MUST BE SIGNED	D IN ACROBAT PRO <i>OR</i> READER	REQUIRED				

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Investigation Authorization/Authorization to Release Information

Ι,

, hereby authorize the Colorado Marijuana Licensing Authority, the Marijuana Enforcement Division, (hereafter, the Investigatory Agencies) to conduct a complete investigation into my personal background, using whatever legal means they deem appropriate. I hereby authorize any person or entity contacted by the Investigatory Agencies to provide any and all such information deemed necessary by the Investigatory Agencies. I hereby waive any rights of confidentiality in this regard. I understand that by signing this authorization, a financial record check may be performed. I authorize any financial institution to surrender to the Investigatory Agencies a complete and accurate record of such transactions that may have occurred with that institution, including, but not limited to, internal banking memoranda, past and present loan applications, financial statements and any other documents relating to my personal or business financial records in whatever form and wherever located. I understand that by signing this authorization, a financial record check of my tax filing and tax obligation status may be performed. I authorize the Colorado Department of Revenue to surrender to the Investigatory Agencies a complete and accurate record of any and all tax information or records relating to me. I authorize the Investigatory Agencies to obtain, receive, review, copy, discuss and use any such tax information or documents relating to me. I authorize the release of this type of information, even though such information may be designated as "confidential" or "nonpublic" under the provisions of state or federal laws. I understand that by signing this authorization, a criminal history check will be performed. I authorize the Investigatory Agencies to obtain and use from any source, any information concerning me contained in any type of criminal history record files, wherever located. I understand that the criminal history record files contain records of arrests which may have resulted in a disposition other than a finding of guilt (i.e., dismissed charges, or charges that resulted in a not guilty finding). I understand that the information may contain listings of charges that resulted in suspended imposition of sentence, even though I successfully completed the conditions of said sentence and was discharged pursuant to law. I authorize the release of this type of information, even though this record may be designated as "confidential" or "nonpublic" under the provisions of state or federal laws.

The Investigatory Agencies reserve the right to investigate all relevant information and facts to their satisfaction. I understand that the Investigatory Agencies may conduct a complete and comprehensive investigation to determine the accuracy of all information gathered. However, the State of Colorado, Investigatory Agencies, and other agents or employees of the State of Colorado shall not be held liable for the receipt, use, or dissemination of inaccurate information. I, on behalf of the applicant, its legal representatives, and assigns, hereby release, waive, discharge, and agree to hold harmless, and otherwise waive liability as to the State of Colorado, Investigatory Agencies, and other agents or employees of the State of Colorado for any damages resulting from any use, disclosure, or publication in any manner, other than a willfully unlawful disclosure or publication, of any material or information acquired during inquiries, investigations, or hearings, and hereby authorize the lawful use, disclosure, or publication of this material or information. Any information contained within my application, contained within any financial or personnel record, or otherwise found, obtained, or maintained by the Investigatory Agencies, shall be accessible to law enforcement agents of this or any other state, the government of the United States, or any foreign country.

Print Full Legal Name of Applicant clearly below:

U 11		
Last Name of Applicant (Please Print)	First Name of Applicant	Middle Name of Applicant
Applicant's Signature		Date (MMDDYYYY)
THIS FORM MUST BE SIGNE	D IN ACROBAT PRO <i>OR</i> READER	REQUIRED

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Applicant's Request to Release Information

$\overline{0}$	O: (Leave Blank) FROM: (Applicant's Printed Name)				
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1.	I/We hereby authorize and request all persons to whom this request is presented having information relating to				
	or concerning the above named applicant to furnish such information to a duly appointed agent of the Marijuana Enforcement Division whether or not such information would otherwise be protected from the disclosure by any constitutional, statutory or common law privilege.				
2.	I/We hereby authorize and request all persons to whom this request is presented having documents relating to or concerning the above named applicant to permit a duly appointed agent of the Marijuana Enforcement Division to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.				
3.	Marijuana Enforcement Division to obtain, receive, review, copy, discuss and use any such tax information or documents relating to or concerning the above named applicant, whether or not such information or documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.				
	If the person to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of the same, I/we hereby authorize and request that a duly appointed agent of the Marijuana Enforcement Division be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to me/us, including but not limited to past loan information, notes co-signed by me/us, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.				
5.	I/We do hereby make, constitute, and appoint any duly appointed agent of the Colorado Marijuana Enforcement Division, my/our true and lawful attorney in fact for me/us in my/our name, place, stead, and on my/our behalf and for my/our use and benefit:				
	 (a) To request, review, copy sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person to whom this request is presented as I/we might; (b) To name the person or entity to whom this request is presented and insert that person's name in the appropriate location in this request: 				
6.	(c) To place the name of the agent presenting this request in the appropriate location on this request. I grant to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as I/we might or could do if personally present, with full power of substitution or revocation,				
7	hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.				
7. 8. 9.	This power of attorney ends twenty-four (24) months from the date of execution. The above named applicant has filed with the Colorado Marijuana Licensing Authority an application for a Marijuana license. Said applicant understands that he/she is seeking the granting of a privilege and acknowledges that the burden of proving its qualifications for a favorable determination is at all times on the applicant. Said applicant accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss, which may result from action with respect to this application. I/We do, for myself/ourselves, my/our heirs, executors, administrators, successors, and assigns, hereby release.				

- 9. I/We do, for myself/ourselves, my/our heirs, executors, administrators, successors, and assigns, hereby release, remise, and forever discharge the person to whom this request is presented, and his agents and employees from all and all manner or actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which the applicant ever had, now has, may have, or claims to have against the person to whom this request is being presented or his agents or employees arising out of or by reason of complying with the request.
- 10. I/We agree to indemnify and hold harmless the person to whom this request is presented and his agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
- 11. A reproduction of this request by photocopying or similar process shall be for all intents and purposes as valid as the original.

Signature		
THIS FORM MUST BE SIGNE Confidential Document: This document is the pr	ED IN ACROBAT PRO OR READER	REQUIRED

Colorado Marijuana Enforcement Division, and is provided for Official Use Only. This document may not be further reproduced nor its contents disclosed without the written permission of the Division or State Licensing Authority.



Marijuana Enforcement Division–Statement Of Understanding Employee

I understand the license being issued is still subject to denial pending the final results of the Marijuana Enforcement Division's investigation of my background. Upon receipt of a Notice of Denial, I agree to immediately surrender my identification badge to the Marijuana Enforcement Division. I understand such a denial will be effective immediately. I understand I may appeal the denial of my application, and until a determination is made of that appeal, I cannot possess an Employee license. I understand I would have no right to work in any capacity that requires a marijuana license, unless the denial of my Employee license is reversed by an order of the State Licensing Authority.

I understand I am responsible for knowing and complying with state laws and regulations governing Marijuana. I understand I may obtain or view these documents at any Marijuana Enforcement Division office or on their website (*https://SBG.Colorado.gov/Marijuana-Enforcement*). I understand I am being made aware of the following regulations and agree to comply with them:

I am required to notify the Lakewood office of the Marijuana Enforcement Division in writing, of any felony criminal charge and felony conviction against such person within ten days of my arrest or felony summons, and within ten days of the disposition of any arrest or summons. (Rule 2-210)

I must pay a fee to obtain a duplicate license. (Rule 2-205(F)(5)(b))

I am required to renew my license prior to the expiration date of the license I am being issued. (Rule 2-225(A)(2))

The Marijuana Enforcement Division does not mail out a renewal application, therefore, I am responsible for obtaining and submitting a renewal application prior to the expiration date of the license I am being issued. (Rule 2-225(A)(2))

If I allow my license to expire for even one day, I must submit a new license application along with the new license application fee. (Rule 2-225(D))

If the Marijuana Enforcement Division contacts me regarding any issues associated with this license, I will provide any information the Marijuana Enforcement Division requests within 7 calendar days (unless otherwise instructed). (Rule 2-210)

I must cooperate with employees and investigators of the Marijuana Enforcement Division who are conducting inspections or investigations relevant to the enforcement of laws and regulations related to the Medical and Retail Codes. (Rule 2-210)

I understand I am responsible to notify the Marijuana Enforcement Division office in writing when I have a change in name, residence address, mailing address, email address or phone number, within 28 days, since all correspondence is sent to my last known address. Failure to notify the Marijuana Enforcement Division could result in my not receiving my physical license, legal notices, and other correspondence. (Rule 2-210)

I shall not by any means interfere with, obstruct or impede, the State Licensing Authority or employee or investigator of the Marijuana Enforcement Division, from exercising their duties pursuant to the provisions of the Regulated Marijuana Business Codes and all rules promulgated pursuant to it. (Rule 8-110)

I understand that a license issued by the Marijuana Enforcement Division to Owners and Employees constitutes a revocable privilege. The burden of proving an Applicant's qualifications for licensure rests at all times with the Applicant. (Rule 2-270)

I understand in order to access or input data into the State's Inventory Tracking System, I must possess a valid Employee license and agree to follow all the rules and guidelines set forth for the use of this system. (Rule 2-265)

I have read all of the above information and understand my responsibilities as a marijuana licensee. I further understand that failure to comply with any law, regulation, or the provisions of this Statement, may result in criminal charges and/or may be grounds for disciplinary action including, but not limited to, the suspension or revocation of my license and a monetary penalty after an administrative hearing.



NONCRIMINAL JUSTICE APPLICANT'S PRIVACY RIGHTS

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below. All notices must be provided to you in writing. 1 These obligations are pursuant to the Privacy Act of 1974, Title 5, United States Code (U.S.C.) Section 552a, and Title 28 Code of Federal Regulations (CFR), 50.12, among other authorities.

- You must be provided an adequate written FBI Privacy Act Statement (dated 2013 or later) when you submit your fingerprints and associated personal information. This Privacy Act Statement must explain the authority for collecting your fingerprints and associated information and whether your fingerprints and associated information will be searched, shared, or retained. 2
- You must be advised in writing of the procedures for obtaining a change, correction, or update of your FBI criminal history record as set forth at 28 CFR 16.34.
- You must be provided the opportunity to complete or challenge the accuracy of the information in your FBI criminal history record (if you have such a record).
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the FBI criminal history record.
- If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at https://www.fbi.gov/services/cjis/identity-history-summary-checks and https://www.edo.cjis.gov.
- If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI by submitting a request via https://www.edo.cjis.gov. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.)
- You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council.3

¹ Written notification includes electronic notification, but excludes oral notification.

² https://www.fbi.gov/services/cjis/compact-council/privacy-act-statement

³ See 5 U.S.C. 552a(b); 28 U.S.C. 534(b); 34 U.S.C. § 40316 (formerly cited as 42 U.S.C. § 14616), Article IV(c); 28 CFR 20.21(c), 20.33(d) and 906.2(d).