DR 8404 (07/07/23)
COLORADO DEPARTMENT OF REVENUE
Liquor Enforcement Division
(303) 205-2300

Colorado Liquor Retail License Application

| * Note that the Division will | not accept cash | ☐ Paid by | check 🗌 Pa | id online Uplo Mo | aded ovelt | on Date | |
|--|--|----------------|--|--------------------------|---------------|------------------|----------|
| ☐ New License ☐ N | ew-Concurrent | Transfer o | of Ownership | State Property | Only | Master fil | e |
| All answers must be printed in black ink or typewritten Applicant must check the appropriate box(es) Applicant should obtain a copy of the Colorado Liquor, Beer and Wine Code: <u>SBG.Colorado.gov/Liquor</u> | | | | | | | |
| Applicant is applying as a/an | Individual L | imited Liabil | ity Company | Association or O | ther | | |
| | Corporation F | Partnership (i | ncludes Limited I | Liability and Husband | d and \ | Wife Partnershi | ps) |
| 2. Applicant If an LLC, name of LLC; if partnership, at least 2 partner's names; if corporation, name of corporation FEIN Number | | | | | | | |
| 2a. Trade Name of Establishment (DBA) State Sales Tax Number Business Telephone | | | | | | | |
| 3. Address of Premises (specify exact | t location of premises, i | nclude suite/u | nit numbers) | | | | |
| City | | | County | | State | ZIP Code | |
| 4. Mailing Address (Number and Stre | eet) | | City or Town | | State | ZIP Code | |
| 5. Email Address | | | | | | | |
| 6. If the premises currently has a liqu | or or beer license, you | must answer t | he following questi | ons | | | |
| Present Trade Name of Establishment | (DBA) | Present State | License Number | Present Class of Licer | ise | Present Expirati | on Date |
| Section A | Nonrefundable Applie | cation Fees* | Section B (Cont.) | | | Liquor Licen | se Fees* |
| ☐ Application Fee for New License | | \$1,100.00 | ☐ Liquor–License | d Drugstore (County) | | | \$312.50 |
| ☐ Application Fee for New License w/ | | | Lodging & Ente | ertainment - L&E (City) | | | \$500.00 |
| Application Fee for Transfer | | \$1,100.00 | Lodging & Ente | ertainment - L&E (County | ') | | \$500.00 |
| Section B | Liquor Li | cense Fees* | ☐ Manager Regis | tration - H & R | | | \$30.00 |
| ☐ Add Optional Premises to H & R | \$100.00 X To | otal | ☐ Manager Regis | tration - Tavern | | | \$30.00 |
| | | | nt | \$30.00 | | | |
| I — | Add Related Facility to Resort Complex \$75.00 XTotal | | | | | | |
| l <u> </u> | | | | ses License (City) | | | |
| l ` '' | ☐ Arts License (City) | | | | | | |
| | Arts License (County) | | | | | | |
| Beer and Wine License (City) | | | | | | | |
| Beer and Wine License (County)\$436.25 | | | | | | | |
| ☐ Brew Pub License (City) | | | | | \$500.00 | | |
| Brew Pub License (County) | | | | | | | |
| ☐ Campus Liquor Complex (City)\$500.00 ☐ Related Facility - Campus Liquor Complex (County)\$160 ☐ Campus Liquor Complex (County)\$160 ☐ Related Facility - Campus Liquor Complex (County)\$160 | | | | | | | |
| | | | Related Facility - Campus Liquor Complex (State) | | | | |
| Campus Liquor Complex (State) | | | | | | | |
| ☐ Club License (City) | | | | | | | |
| · • • • • • • • • • • • • • • • • • • • | ☐ Club License (County) | | | | | | |
| Distillery Pub License (City) | | | l — · | ore LicenseAdditional (| | * | |
| Hotel and Restaurant License (City) | | | l · | ore (City) | | | |
| | | | · · | ore (County) | | | |
| | | | Taverri License (City) | | | | |
| Listel and Destaurant License Wans ant promises (County) #600.00 | | | Tavern License (County)\$500.00 | | | | |
| l — | ☐ Hotel and Restaurant License w/one opt premises (County)\$600.00 ☐ Vintners Restaurant License (City)\$750 ☐ Vintners Restaurant License (City)\$250 | | | | | | |
| | | | | urant License (County) | | | \$/50.00 |
| Questions? Visit: <u>SBG.Colorado.gov/Liquor</u> for more information Do not write in this space - For Department of Revenue use only | | | | | | | |
| D0 I | iot write in this s | | pepartment of of ormation | Revenue use onl | У | | |
| License Account Number | Liability Date | | ed Through (Expira | tion Date) | Total | | |
| | | | | | \$ | | |

Application Documents Checklist and Worksheet
Instructions: This checklist should be utilized to assist applicants with filing all required documents for licensure.
All documents must be properly signed and correspond with the name of the applicant exactly. All documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. Questions? Visit: SBG.Colorado.gov/Liquor for more information

| | Items submitted, please check all appropriate boxes completed or documents submitted |
|-------|---|
| I. | Applicant information |
| | A. Applicant/Licensee identified |
| | B. State sales tax license number listed or applied for at time of application |
| | C. License type or other transaction identified |
| | D. Return originals to local authority (additional items may be required by the local licensing authority) |
| | E. All sections of the application need to be completed |
| | F. Master file applicants must include the Application for Master File form DR 8415 and applicable fees to this |
| | Retail License Application |
| II. | Diagram of the premises |
| | ☐ A. No larger than 8½" X 11" |
| | B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences, |
| | walls, entry/exit points, etc.) C.Separate diagram for each floor (if multiple levels) |
| | □ D. Kitchen - identified if Hotel and Restaurant |
| | ☐ E. Bold/Outlined Licensed Premises |
| III. | Proof of property possession (One Year Needed) |
| •••• | ☐ A. Deed in name of the applicant (or) (matching question #2) date stamped / filed with County Clerk |
| | ☐ B. Lease in the name of the applicant (or) (matching question #2) |
| | ☐ C. Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant |
| | ☐ D.Other agreement if not deed or lease. (matching question #2) |
| IV. | Background information (DR 8404-I) and financial documents |
| | ☐ A. Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors, |
| | partners, members) |
| | ☐ B. Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved State Vendor. |
| | Master File applicants submit results to the State using code 25YQHT with IdentoGO. |
| | Do not complete fingerprint cards prior to submitting your application. |
| | The Vendors are as follows: |
| | IdentoGO – https://uenroll.identogo.com/ Phone: 844-539-5539 (toll-free) |
| | Colorado Fingerprinting – http://www.coloradofingerprinting.com |
| | Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/ |
| | Phone: 720-292-2722 Toll Free: 833-224-2227 |
| | Details about the vendors and fingerprinting in Colorado can be found on CBI's website here: |
| | https://cbi.colorado.gov/sections/biometric-identification-and-records-unit/employment-and-background-checks |
| | ☐ C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license |
| | ☐ D.List of all notes and loans (Copies to also be attached) |
| V. | Sole proprietor/husband and wife partnership (if applicable) |
| | ☐ A. Form DR 4679 |
| | ☐ B. Copy of State issued Driver's License or Colorado Identification Card for each applicant |
| VI. | Corporate applicant information (if applicable) |
| | ☐ A. Certificate of Incorporation |
| | B. Certificate of Good Standing |
| | ☐ C.Certificate of Authorization if foreign corporation (out of state applicants only) |
| VII. | Partnership applicant information (if applicable) |
| | A. Partnership Agreement (general or limited). |
| | ☐ B. Certificate of Good Standing |
| VIII. | Limited Liability Company applicant information (if applicable) |
| | ☐ A. Copy of articles of organization |
| | ☐ B. Certificate of Good Standing |
| | C.Copy of Operating Agreement (if applicable) |
| | D. Certificate of Authority if foreign LLC (out of state applicants only) |
| IX. | Manager registration for Hotel and Restaurant, Tavern, Lodging & Entertainment, and Campus Liquor |
| | Complex licenses when included with this application |
| | A. \$30.00 fee |
| | B. If owner is managing, no fee required |

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| Name | | Type of Lice | nse | | Account Number | | | |
|--|---|--|---|--|---|----------------------------|-----------|------|
| or officers, stockholders or directors if a c | or officers, stockholders or directors if a corporation) or managers under the age of twenty-one years? | | | | | Yes | No | |
| 8. Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state): a. Been denied an alcohol beverage license? b. Had an alcohol beverage license suspended or revoked? c. Had interest in another entity that had an alcohol beverage license suspended or revoked? | | | | | | | | |
| | If you answered yes to 8a, b or c, explain in detail on a separate sheet. | | | | | | | _ |
| 9. Has a liquor license application (same license class), that was located within 500 feet of the proposed premises, been denied within the preceding two years? If "yes", explain in detail. | | | | | | | | |
| 10. Are the premises to be licensed within 5 | | | | | | | | |
| education requirements of Colorado law | v, or the principal | campus oi | any college | | by local ordin | • | 01 | |
| 11. Is your Liquor Licensed Drugstore (LLDS liquor license for off-premises sales in a distance shall be determined by a radius premises for which the application is being the same of th | júrisdiction with a s measurement tha ing made and end | population at begins a Is at the pri | of greater that the princip ncipal doorw | han (>) 10 al doorway vay of the | 0,0000? NOT! ay of the LLDS Licensed LLD | E: The S/RLS DS/RLS. | | |
| 12. Is your Liquor Licensed Drugstore (LLD) license for off-premises sales in a jurisdishall be determined by a radius measure for which the application is being made. | liction with a popul rement that begins | lation of les s at the prir | ss than (<) 1 ncipal doorw | 10,0000? vay of the | NOTE: The d | istance | | |
| 13. a. For additional Retail Liquor Store only. W | Vas your Retail Liqu | uor Store Lic | cense issued | on or bef | ore January 1, | 2016? | | |
| b. Are you a Colorado resident? | | | | | | | | |
| 14. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a Limited Liability Company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any <u>current</u> financial interest in said business including any loans to or from a licensee. | | | | | | | | |
| 15. Does the applicant, as listed on line 2 of this application, have legal possession of the premises by ownership, lease or other arrangement? ☐ Ownership ☐ Lease ☐ Other (Explain in Detail) | | | | | | | | |
| a. If leased, list name of landlord and ter | | expiration, e | exactly as t | hey appe | ar on the lease | | | |
| Landlord | Tenant | | | | | Expires | | |
| b. Is a percentage of alcohol sales inclu | | | | | | | | |
| c. Attach a diagram that designates the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8½" X 11". | | | | | | | ws nis | |
| 16. Who, besides the owners listed in this a companies) will loan or give money, invidence from this business? Attach a sep | entory, furniture o | or equipme | | | | | | |
| | First Name | | Date of Birth | FEIN or SS | 3N | Interest/P | ercen | tage |
| Last Name | First Name | | Date of Birth | FEIN or SS | 3N | Interest/P | ercen | tage |
| Attach copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation. | | | | | | | | |
| 17. Optional Premises or Hotel and Restau Has a local ordinance or resolution auth | horizing optional p | premises b | een adopted | | | r | | |
| | additional Option | - | • | | | | | |
| 18. For the addition of a Sidewalk Service Area per Regulation 47-302(A)(4), include a diagram of the service area and documentation received from the local governing body authorizing use of the sidewalk. Documentation may include but is not limited to a statement of use, permit, easement, or other legal permissions. | | | | | | | | |

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| Nam | ne | | Type of License | | Account Number | | | |
|--|--|----------------------------|-----------------------|------------|-----------------------|-----|-----|--|
| 19. | D. Liquor Licensed Drugstore (LLDS) applicants, answer the following: a. Is there a pharmacy, licensed by the Colorado Board of Pharmacy, located within the applicant's LLDS premise? If "yes" a copy of license must be attached. | | | | | | | |
| 20. | Club Liquor License applicants an | swer the following: At | tach a copy of app | licable do | cumentation | Yes | No | |
| | a. Is the applicant organization operated solely for a national, social, fraternal, patriotic, political or athletic purpose and not for pecuniary gain? | | | | | | | |
| | b. Is the applicant organization a re is operated solely for the object | | | | | | | |
| | c. How long has the club been inc | corporated? | | | | | | |
| | d. Has applicant occupied an estate the reasons stated above? | | | | s operated solely for | | | |
| 21. | Brew-Pub, Distillery Pub or Vintne a. Has the applicant received or applicant received o | | | | ion must be attached) | | | |
| 22. | Campus Liquor Complex applican | its answer the following | g: | | | | | |
| | a. Is the applicant an institution of b. Is the applicant a person who of If "yes" please provide a copy food services. | contracts with the institu | | | | | | |
| 23. | 23. For all on-premises applicants. a. For all Liquor Licensed Drugstores (LLDS) the Permitted Manager must also submit an Manager Permit Application DR 8000 and fingerprints. | | | | | | | |
| Last | Name of Manager | | First Name of Manager | | | | | |
| 24. | 24. Does this manager act as the manager of, or have a financial interest in, any other liquor licensed establishment in the State of Colorado? If yes, provide name, type of license and account number. | | | | | Yes | No | |
| 25. | 25. Related Facility - Campus Liquor Complex applicants answer the following: | | | | | | | |
| | a. Is the related facility located within the boundaries of the Campus Liquor Complex? | | | | | | | |
| | If yes, please provide a map of the geographical location within the Campus Liquor Complex. If no, this license type is not available for issues outside the geographical location of the Campus Liquor Complex. | | | | | | | |
| b. Designated Manager for Related Facility- Campus Liquor Complex | | | | | | | | |
| Last | Last Name of Manager First Name of Manager | | | | | | | |
| 26. | Tax Information. | | | | | Yes | No | |
| a. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant, been found in final order of a tax agency to be delinquent in the payment of any state or local taxes, penalties, or interest related to a business? | | | | | | | | |
| | b. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant failed to pay any fees or surcharges imposed pursuant to section 44-3-503, C.R.S.? | | | | | | | |
| 27. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers , Directors , General Partners , and Managing Members . In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the applicant . All persons listed below must also attach form DR 8404-I (Individual History Record), and make an appointment with an approved State Vendor through their website. See application checklist, Section IV, for details. | | | | | | | | |
| Nam | ne | Home Address, City & State | 9 | DOB | Position | %Ov | ned | |
| Nam | ne | Home Address, City & State | 9 | DOB | Position | %Ow | ned | |
| Nam | ne | Home Address, City & State |) | DOB | Position | %Ow | ned | |
| Nam | ne | Home Address, City & State |) | DOB | Position | %Ow | ned | |
| Nam | Name Home Address, City & State DOB Position %O | | | %Ow | ned | | | |

| Name | | Type of License | | Account Number | | | |
|--|--|--|--------------------------------|-----------------------|-----------------|--|--|
| ** If applicant is owned 100% by a parent company, please list the designated principal officer on above. ** Corporations - the President, Vice-President, Secretary and Treasurer must be accounted for above (Include ownership percentage if applicable) ** If total ownership percentage disclosed here does not total 100%, applicant must check this box: Applicant affirms that no individual other than these disclosed herein owns 10% or more of the applicant and does not have financial interest in a prohibited liquor license pursuant to Article 3 or 5, C.R.S. | | | | | | | |
| | Oath Of A | Applicant | | | | | |
| Oath Of Applicant I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license. | | | | | | | |
| Authorized Signature | Printed Name and | Title | | Date | | | |
| Report and App | proval of Local Li | censing Authority | (City/Cour | ntv) | | | |
| | | (for new license applicants | | | of application) | | |
| | | | | | | | |
| For Transfer Applications Only - Is the license being | transferred valid? | | | | Yes No | | |
| ☐ Fingerprinted ☐ Subject to background investigation That the local authority has conducted, or in applicant is in compliance with and aware of (Check One) ☐ Date of inspection or anticipated date of the conduct inspection upon approximation. | ntends to conduct of, liquor code pro- te val of state licensi | , an inspection of the visions affecting the end of the | ne proposed eir class of li | premises to ensucense | | | |
| ☐ Is the Liquor Licensed Drugstore (LI liquor license for off-premises sales | | | | eet of another reta | il Yes No | | |
| □ Is the Liquor Licensed Drugstore(LLDS) or Retail Liquor Store (RLS) within 3,000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of < 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of | | | | | | | |
| the Licensed LLDS/RLS. Does the Liquor-Licensed Drugstore (LLDS) have at least twenty percent (20%) of the applicant's gross annual income derived from the sale of food, during the prior twelve (12) month period? | | | | | | | |
| The foregoing application has been examined; and the premises, business to be conducted, and character of the applicant are satisfactory. We do report that such license, if granted, will meet the reasonable requirements of the neighborhood and the desires of the adult inhabitants, and will comply with the provisions of Title 44, Article 4 or 3, C.R.S., and Liquor Rules. Therefore, this application is approved. | | | | | | | |
| Local Licensing Authority for | | Telephone Number | | ☐ Town, City ☐ County | | | |
| Signature | Print | | Title | Oounty | Date | | |
| Signature | Print | | Title | | Date | | |

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Tax Check Authorization, Waiver, and Request to Release Information

| I, am signing the Information (hereinafter "Waiver") on behalf of to permit the Colorado Department of Revenue and any of documentation that may otherwise be confidential, as provid myself, including on behalf of a business entity, I certify that Applicant/Licensee. | her state or loca ded below. If I ar | (taxing authority to n signing this Waive | the "Applicant/Licensee") release information and er for someone other than | | | |
|--|---|--|---|--|--|--|
| The Executive Director of the Colorado Department of Revenue is the State Licensing Authority, and oversees the Colorado Liquor Enforcement Division as his or her agents, clerks, and employees. The information and documentation obtained pursuant to this Waiver may be used in connection with the Applicant/Licensee's liquor license application and ongoing licensure by the state and local licensing authorities. The Colorado Liquor Code, section 44-3-101. et seq. ("Liquor Code"), and the Colorado Liquor Rules, 1 CCR 203-2 ("Liquor Rules"), require compliance with certain tax obligations, and set forth the investigative, disciplinary and licensure actions the state and local licensing authorities may take for violations of the Liquor Code and Liquor Rules, including failure to meet tax reporting and payment obligations. | | | | | | |
| The Waiver is made pursuant to section 39-21-113(4), C.R.S., and any other law, regulation, resolution or ordinance concerning the confidentiality of tax information, or any document, report or return filed in connection with state or local taxes. This Waiver shall be valid until the expiration or revocation of a license, or until both the state and local licensing authorities take final action to approve or deny any application(s) for the renewal of the license, whichever is later. Applicant/Licensee agrees to execute a new waiver for each subsequent licensing period in connection with the renewal of any license, if requested. | | | | | | |
| By signing below, Applicant/Licensee requests that the Colorado Department of Revenue and any other state or local taxing authority or agency in the possession of tax documents or information, release information and documentation to the Colorado Liquor Enforcement Division, and is duly authorized employees, to act as the Applicant's/Licensee's duly authorized representative under section 39-21-113(4), C.R.S., solely to allow the state and local licensing authorities, and their duly authorized employees, to investigate compliance with the Liquor Code and Liquor Rules. Applicant/Licensee authorizes the state and local licensing authorities, their duly authorized employees, and their legal representatives, to use the information and documentation obtained using this Waiver in any administrative or judicial action regarding the application or license. | | | | | | |
| Name (Individual/Business) | | Social Security Number | r/Tax Identification Number | | | |
| Address | | | | | | |
| City | | State | Zip | | | |
| Home Phone Number Business/Work F | | rk Phone Number | | | | |
| Printed name of person signing on behalf of the Applicant/Licensee | | | | | | |
| Applicant/Licensee's Signature (Signature authorizing the disclosure of confidential tax information) Date signed | | | Date signed | | | |
| Privacy Act Statement Providing your Social Security Number is voluntary and no right, benefit or privilege provided by law will be denied as a result of refusal to disclose it. § 7 of Privacy Act, 5 USCS § 552a (note). | | | | | | |