

COLORADO LIMITED GAMING CONTROL COMMISSION POLICIES

91-01

It is the policy of the Colorado Limited Gaming Control Commission that the chairperson of the Commission shall determine who shall be the spokesperson for the Commission regarding media inquiries and other requests for information from the industry, the public and other interested parties.

91-02

It is the policy of the Colorado Limited Gaming Control Commission that no Commissioner shall individually meet with any interested parties regarding any matters pending or currently being considered before the Commission, including applications for licenses, tax issues, and rules and regulations.

93-01

It is the policy of the Colorado Limited Gaming Control Commission not to interfere with the claim between a debtor or creditor who are also licensees under the Limited Gaming Act 1991, unless such claims have been reduced to judgment in the Court of Law in the State of Colorado.

93-02

It is the policy of the Colorado Limited Gaming Control Commission that after the Commission has set the gaming taxes for the year, the Commission will not review or hear further evidence or testimony concerning the gaming taxes until the hearings in April, May and June. (Modified 12/16/99)

95-01

The Director of the Division of Gaming may approve associated persons in operator, retailer and/or manufacturer/distributor licenses who do not have ownership interest in a respective license, without necessity for Commission approval, provided the qualified applicants have submitted to the Director the proper applications, costs and fees.

95-02

The cost incurred to deliver the service associated with processing an application shall not be less than the total amount of the direct cost and shall not exceed the total amount of the direct costs plus indirect costs.

95-03

The cost incurred to deliver the service associated with conducting background investigations shall not be less than the total amount of the direct cost and shall not exceed the total amount of the direct costs plus indirect cost.

96-01

Budget submissions of other State agencies requesting funding from the Limited Gaming Fund shall submit budget documentation based on the following:

- A. Documentation shall provide the same level of detail as required by the Governor's office of Planning and Budgeting annual procedures manual.
- B. Calculation of the funding request shall be based upon the guidelines contained in the above referenced manual.
- C. The budget submission should reflect the planned spending patterns.
- D. The request for funding shall include a description of the agency's efforts to secure other sources of funding. *Effective 8/25/2019*
- E. The Division of Gaming Director or designee, is the designated Contract Manager for all interagency agreements, and shall monitor compliance and approve payment as required by those agreements. If changes to any of the agreements are required, the Director will inform the Commission. *Effective 7/26/2019*

96-02

The Colorado Limited Gaming Control Commission shall take one of these actions where a stipulation agreement comes before the Commission:

Approve the stipulation as presented;
Reject the stipulation without comment; or
Reject the stipulation with comment.

99-01

1. A change of ownership in an existing license, which does not change the business entity, does not affect the tax assessment. The licensee will continue at the AGP level heretofore accrued during that gaming tax year.
2. A change in business entity (sole proprietorship to partnership, limited liability company to corporation, etc.) requires a licensee to apply for a new license.
3. If the change of business entity involves all persons with an ownership interest in the previous entity, independent of any transfers of interest among the persons involved, the new retail license shall be conditioned in the following manner: The retail license shall be conditioned upon the surrender of the current license at that location. In addition, the licensee shall continue at the AGP level heretofore accrued during the current fiscal year by the current licensee at that location.
4. If the change of business entity is accompanied by the addition of new owners or the deletion of persons with an ownership interest in the previous entity, the new retail licensee shall be considered a completely separate entity from the previous entity and shall not automatically assume the tax liability of the previous entity.

00-01

It is the policy of the Colorado Limited Gaming Control Commission that, domestic and international background investigations may need to be conducted in person. However, the Commission determines that in many instances the Division of Gaming investigators can and should conduct those investigations and interviews using technology including video conferencing or its equivalents. In those cases where there is an identified need to conduct an investigation in person, that would require travel outside of the State of Colorado, such need shall be detailed by investigative staff and presented to the Director. The Director of the Division of Gaming shall determine the feasibility of employing out of state travel in the conduct of such investigations. Pursuant to regulation, the applicant shall pay all costs of such background investigations. *Effective 8/25/2019*

15-01

It is the policy of the Colorado Limited Gaming Control Commission that written decisions and orders of the Commission be issued without unreasonable delay after the conclusion of an adjudicatory hearing. *Effective 8/25/2019*