

(Casino Name)
ICO REPORT PART III
(January 1 through June 30, Year or July 1 through December 31, Year)
(ICO Name)

Note: Part III of the ICO Report includes the, TITO and EPCS ICMP sections and related ICO checklists. Each of these sections must be included in this report. See ICO Instructions for sample wording if the section does not apply or no issues were noted during the testing.

(The following are examples of how to draft noncompliance issues noted during your review.)

The following non-compliance items were noted during the internal compliance audit of the *Casino* for the period of (January 1, Year through June 31, Year or July 1, Year through December 31, Year). Management responses are included.

Ticket-In/Ticket-Out & Slot Coupons

NONCOMPLIANCE ISSUE:

1. Adjustments are defined as changes to system information made subsequent to the time of the event. Any corrections and/or adjustments made to ticket information must be supported by adequate documentation. In the case where reports are reprinted to reflect any corrections and/or adjustments made by accounting personnel (e.g., “final run” reports), corrected and/or adjusted information reflected on these final run reports is reviewed by someone other than the individual who made the correction/adjustment. This review is evidenced by the reviewer’s initials and date of the review.

On the audit for ticket in for drop period ending MM/DD/YY, the auditor made adjustments to the actual tickets redeemed for two machines.

ICO RECOMMENDATION:

This is a training issue for the relatively new auditor. Recommend an intensive training session with the auditor.

MANAGEMENT RESPONSE:

The supervisor will provide training with necessary personnel.

Electronic Promotional Credit Systems (EPCS)

NONCOMPLIANCE ISSUE:

This section is not applicable. No further action necessary.

ICO RECOMMENDATION:

N/A

MANAGEMENT RESPONSE:

N/A