

**(Casino Name)**  
**ICO REPORT PART II**  
**(January 1 through June 30, Year or July 1 through December 31, Year)**  
**(ICO Name)**

**Note:** Part II of the ICO Report includes the Slot Machines ICMP section and related ICO checklist. See ICO Instructions for sample wording if no issues were noted during the testing.

*(The following are examples of how to draft noncompliance issues noted during your review.)*

The following non-compliance items were noted during the internal compliance audit of the *Casino* for the period of (January 1, Year through June 31, Year or July 1, Year through December 31, Year). Management responses are included.

### **Slot Machines**

#### **NONCOMPLIANCE ISSUE:**

1. A copy of each slot machine's respective par sheet, indicating the probability of hitting each award and the associated pay for each award available, must be maintained inside of each slot machine.

While checking for PAR sheets it was noted that machine 1006 did not have a copy of the game's PAR sheet inside the game.

#### **ICO RECOMMENDATION:**

The slot department was immediately notified and advised to get a replacement PAR sheet for the game. Each machine on the floor should be checked to ensure that the proper PAR sheet is maintained in the machine.

#### **MANAGEMENT RESPONSE:**

The slot department has been instructed to verify that PAR sheets are in each slot machine and that the PAR sheet corresponds to the current game. The slot department is to provide a written report to the ICO no later than MM/DD/YY with the results of their check and attestation that the correct PAR is being maintained in each machine.

#### **NONCOMPLIANCE ISSUE:**

2. A progressive jackpot log must be maintained for all progressive banks. This log reflects the licensee name, applicable month and year, and identification of the bank. The log must be used to record, on a daily basis, the amount of the progressive liability (the advertised jackpot, and any secondary jackpot progressive liabilities), as well as any primary and secondary handpay jackpots. Statewide progressives do not need to be logged.

Original log for *Month, Year* had been dropped in the accounting box instead of the copy. Accounting personnel did not transfer data from *Month 1<sup>st</sup>* to the 4<sup>th</sup> and get the original back to the floor. Progressive data is lost for the period of *Month 1 – 4, Year*.

#### **ICO RECOMMENDATION:**

Accounting personnel need to have further training on the necessity of reviewing progressive logs and ensuring that corrections are noted on the original logs when needed in addition to the copies reviewed daily.

#### **MANAGEMENT RESPONSE:**

The controller will be required to hold training session with accounting personnel on progressive logs.

**NONCOMPLIANCE ISSUE:**

3. CLGR 30-1230 states "A light must be installed on the top of the slot machine that automatically illuminates when the interior of the slot machine is accessed. Video bar top slot machines do not need a light, but must display a message on the screen indicating that a door is open. This provision, in whole or in part, may be waived by the Director." The top light on slot machine 11619 did not illuminate when the main door was opened.

**ICO RECOMMENDATION:**

The Slot Department Manager needs to ensure staff is checking slot machines for proper operation of required lights.

**MANAGEMENT RESPONSE:**

Slot Department Manager is currently setting up and scheduling floor person preventive maintenance along with the slot technicians doing their preventive maintenance on all games throughout the casino. In addition to this slot management will be walking the floor and addressing these types of maintenance issues. These issues will also be logged on the repair log that the slot technicians will be responsible for checking on a daily basis.