

COLORADO

Department of Revenue Enforcement Division - Liquor & Tobacco

Mailing Address: Colorado Department of Revenue, Attention Liquor Enforcement Division P.O. Box 17087 Golden, CO 80217-0087 Physical Address: 1697 Cole Boulevard, Suite 200 Golden, CO 80401 Office: 303-205-2300

December 14, 2020

Dillon Companies LLC King Soopers/City Market PO Box 5567 Denver, CO 80217

Re: State Master File for Dillon Companies LLC Account # 01-10779-0000

Dear Sir or Madam:

This is to advise you that the Colorado Liquor Enforcement Division ("Division") has, at your request, revised the "master file" for the above-listed Licensee.

As of the date of this letter our master file includes the following items which you have submitted:

1. Individual History Records (Form DR 8404-I) for the following persons:

Steven J. Dreher Carin L. Fike Philip B. Nelson Thomas J. Sullivan Christine S. Wheatley

2. Fingerprint cards bearing the names and birth dates of the persons listed in paragraph 1, above. All the fingerprint cards have been submitted by us to the Colorado Bureau of Investigation. The CBI and FBI have checked the prints and reportedly found no record of any criminal history for those listed above.

3. Certificate of Authority or a Certificate of Good Corporate standing from the Colorado Secretary of State, which indicates that Dillon Companies LLC, d/b/a King Soopers/City Market, is a limited liability company authorized to do business in Colorado.

When filing a new application for additional licensed locations, you must check with the local licensing authority to determine what documents they may require to process your application. Please feel free to provide them with this letter, as local authorities will not require you to submit fingerprint cards to them if you have already submitted such

documents to the Division. This letter will serve to inform the local authorities exactly which documents you have already submitted to the State Liquor Enforcement Division.

Finally, once the local authority has approved your new license or transfer of ownership application, it must be sent to the Division.

The local authority need not send change of corporate structure information previously reported to the Division, as listed in and approved by this letter.

The only documents which are needed for a new or transfer of ownership application by the Division are:

- 1. The approved application signed by the local authority;
- 2. The appropriate fees;
- 3. A copy of this letter;
- 4. Proof of possession of the premises;
- 5. A diagram of the licensed premises;
- 6. Completed form DR 8442, and an Individual History Record (DR-8404-I) if manager's registration is required.

Sincerely,

Michelle Stone-Principato

Michelle Stone-Principato Division Director