DR 9013 (02/25/20) COLORADO DEPARTMENT OF REVENUE

Division of Racing, Room 350 PO Box 173350 Denver CO 80217-3350 Phone: 303-866-6743 FAX: 303-866-6729

Support Occupational License Application 3-Year

| Please Check: | |
|-----------------|--|
| ☐ Horse | |
| ☐ Greyhound | |
| ☐ OTB Location: | |
| ☐ Minor | |

Providing false information on this application may some license types mare result in denial, revocation, or other disciplinary action. interviewing or testing.

☐ Minor

Some license types may require

| | F | or Officia | I Use Only | y | | | | |
|--|-----------------|----------------|------------------------|--------------|--------------------|------------|----------------|-------|
| Date Received | Walk-in CRC Rep | | Location | | License/Ba | adge # | | |
| Cash \$ | ☐ New | ☐ New ☐ Renewa | | al | | PSR# | | |
| Check, Money Order Number # | Fingerprint o | card(s) receiv | ed | Met Tote Sta | andards: (Di | ivision Au | ıditor's Signa | ture) |
| Required: A photocopy of a valid driver's license with photo, State I.D., passport or certified copy of your birth certificate. Please make checks or money orders payable to the Division of Racing Events. By law, all insufficient funds returned to the Division will be assessed a penalty equal to the amount of the check plus \$41.00 in addition to any other penalty that may be assessed by the Commission. License fees are nonrefundable. New Application: Fingerprints must be submitted. | | | | | | | | |
| Pursuant to Commission rules: A Validation cards for future meets | | | | , | | , . | | |
| Job Category - please write appropriate category in #1 below: Apprentice Jockey Director of Simulcasting Mutuel Employee Private Veterinarian Assistant Mutuel Manager Horse Person's Bookkeeper OTB Manager Security Guard (Armed-Unal Assistant Racing Secretary Hub Supervisor Other Starter Assistant Starter Identifier Owner Owner/Assistant Trainer Authorized Agent Jockey Owner/Trainer Video Operator Chartwriter Lure Operator Paddock Judge Racing Club Officer Clerk of Scales Money Room Manager Placing Judge Please print in ink or type. Answer all questions, if not applicable, write N/A 1. Type of support license (Job Category) 1a. Additional license type (Job category) 2. Legal last name First name MI Maiden name (If applicable) 5. Mailing address for service of all papers and notices ◆ | | | | | med-Unarmed) er | | | |
| City | | | State ZIP | | | | | |
| 6. Business phone () 7. Cell phone () 9. Local address during meet (<i>If different than above</i>) | | | 8. SSN City State ZIP | | | | ZIP | |
| 10. Date of Birth | Birth City | | Birth State | | | Countr | y of Birth | 1 |
| | | | | Height | | | Weight | |
| If "NO" provide documentation of authorization to work in the United States and Alien Registration Number. For Office Use Only | | | | | | | | |
| License # | | | | PSR# | | | | |

| 12. | Lice | nse His | tory | | | | | | | | | |
|------|---|------------|----------------------------|---------------|-------------------------------------|------------|--------------|---------------------|--|-------|--------------|--|
| | Is the business presently licensed, or has it been licensed within the last five (5) years by any racing or gaming Yes No jurisdiction, including Colorado? | | | | | | | | | | | |
| | If you answered "Yes" to this question, provide the following information. Use additional paper if necessary. | | | | | | | | | | | |
| S | tate | Year I | ssued | L | icense Type | | State | Year Issued | License Typ |)e | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| 13. | Back | groun | d Inforn | nation - Be | specific. Om | ission | could e | ffect license | | | | |
| | A. Wi | thin the I | ast ten y | ears, have y | ou had contact w | ith law e | enforceme | nt (been arrested | , cited, charged with a crime) | Yes | □No | |
| | | | | | | | | | contest, forfeited bail, or local ordinance? | Yes | □No | |
| | | | last ten suspend | | you had any oth | er Colo | rado licen | ses (including D | river's License) denied, | Yes | □No | |
| | | | | | ent of any taxes le explanation. | , interes | st, penaltie | es or judgments | owed to the State of | Yes | □No | |
| | E. Wi | thin the | last ten | years, have | you been placed | d under | or on cou | rt supervision, pı | obation or parole? | Yes | □No | |
| | F. Are | e you de | linquent | in payments | s for child suppo | rt? If ye | es, please | provide explana | tion. | Yes | □No | |
| | If "Ye | s" to an | y of the a | above quest | ions, provide the | e followir | ng informa | ation. Use addition | onal paper if necessary. | | | |
| | Date | 9 | C | ounty | State | | Nat | ture of Charge/0 | Crime/Offense | Final | Final Result | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | , | | | | | | | | + | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| 14. | Raci | ng/Gan | ning Hi | story/Infra | ctions | | | | | | | |
| | A. Ha | ve you e | ver beer | convicted o | f any gambling re | elated of | fense? | | | Yes | □No | |
| | B. Ha | s your ra | acing or g | gaming licens | se ever been den | ied or re | evoked? | | | Yes | □No | |
| | | | | | spension for more | | | | under suspension or the | Yes | □No | |
| | D . Ha | ve you e | ver beer | ruled off, su | | | | | rileges of a racetrack or | Yes | □No | |
| | | | | | | ovide th | e followin | g information 11s | se additional paper if necess | arv | | |
| | Date | | | state | oc questions, pr | | | Denial, Revoca | | Rest | ored | |
| | Date | • | 3 | riate | | Jusi | pension, | Demai, Nevoca | iioii, Ltc. | Yes | No | |
| | | | | | | | | | | Yes | □No | |
| | | | | | | | | | | Yes | □No | |
| | | | | | | | | | | Yes | □No | |
| | | | | | | | | | | Yes | □No | |
| | | | | | | | | | | Yes | □No | |
| | | | | | | For Of | fice Use | Only | | | | |
| Lice | nse # | | | | | . 0. 01 | PSR | | | | | |
| | | | | | | | | | | | | |

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| 15. Do you have any relatives who are employees of the Divisi | on of Racing | Events? If so, plea | ase list names: | | Yes | □No |
|--|----------------|-----------------------|-----------------|-------------------|---------------|--------|
| | | | | | | |
| | | | | | | |
| 16. Are you employed at a Colorado racetrack, an off-track with racing? If "YES" provide signature of employer or | | ity, kennel, stable o | or other busin | ess connected | Yes | □No |
| Signature of Employer or Supervisor | | | | Date | | |
| 17. In case of emergency please notify (name) | | | | Phone | | |
| | L | | T | () | T= | |
| Address | City | | State | ZIP | Relationship | |
| 18. If the applicant is under 18 years of age, provide signature | of parent or I | egal guardian | | | | |
| I, the Parent/Guardian of | | | | ,who is under the | e age of 18, | |
| do hereby fully assume full financial responsibility for this | minor. | | | | | |
| Signature of Parent/Guardian | | | | Date | | |
| | Certific | cation | | | | |
| | | ead and Sign | 1 | | | |
| By accepting any license issued pursuant to this a | | • | | d comply with | the provisio | ons of |
| the Colorado Racing Commission's regulations per | taining to ra | icing (which may | y include "fo | or cause" or i | andom drug | g and |
| alcohol testing), and laws of the United States an | | | | | | |
| personnel of the Division of Racing Events or auth personal property, vehicle(s), and other work premise | | | | | | |
| or any adjacent related facilities and premises there | | | | | | |
| any information submitted regarding this application | are subject | to the Open Red | ords Act of C | olorado, and l | hereby waiv | e any |
| right of confidentiality. I authorize all reporting agen the Division, or its agents, any information requeste | | | | | | |
| of this application. I consent to the release of the ir | | | | | | |
| or any other state, or the U.S. government; and I u | nderstand t | that providing fal | se information | on or failing to | provide com | nplete |
| information on this application will justify either the C | | | | | - | • |
| revoke my license, or institute other disciplinary ac history records of the Colorado Bureau of Investiga | | | | | | minai |
| In consideration for any of the investigatory agend | | | | | | |
| history record files to either the Commission or the sentatives, heirs, and assigns, hereby release, wai | | | | | | |
| investigating agencies, their officers and employees | | | | | | |
| I understand and agree that any license I receive fro | m the Divisi | on, issued pursua | ant to this ap | plication, shall | be temporar | y and |
| conditioned upon the Division rendering a final dete | rmination o | n my suitability to | receive per | manent licens | ure. Any limi | tation |
| or condition upon my temporary licensure does no | | | | | | |
| such a final determination from the Division. Until I to the license being summarily denied upon dema | | | | | | |
| right to appeal such action to the Commission. All li | | | | | | |
| upon demand by either the Commission or the Divis | sion. I unde | rstand that all lice | ense and app | olication fees | are nonrefun | dable |
| whether or not I am approved for licensure. | | | | | | |
| I certify under penalty of perjury that the staten and true and that no material facts or information | | | | | ion are com | plete |
| Signature of Applicant | | | | Date | | |
| | or Office | Use Only | | | | |
| License # | | PSR# | | | | |
| | | | | | | |

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Owner/Trainer/Kennel/Stable/Jockey

Colorado law requires every employer to carry worker's compensation insurance All registration and lease papers must be on file in the racing office

| Owners (Only) Horse Greyhound Must answer questions 1-8. | | | | | |
|---|--|--|--|--|--|
| 1. Name of your Trainer | 2. Email address | | | | |
| 3. Will you be racing under a stable/kennel name? Yes No If yes, complete #3. | | | | | |
| 4. Stable/Kennel Name (to be listed in program) | | | | | |
| 5. Track(s) running at: | | | | | |
| Instructions All persons involved in a partnership must obtain an Owner's licens owner's license and the authorized agent must be licensed. For LL be licensed. Written notice must be given to the Colorado Racing C | C's, at least one managing partner and an authorized agent must | | | | |
| 6. Are you part of a racing corporation, partnership, or limited liability compa | | | | | |
| If yes, you must provide a Corporation/Partnership/Kennel/Stable Name I Name(s) of Corporation, Limited Liability Company, Partnership, Kennel, or | | | | | |
| (2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2, 2 | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 7. Do you have an Authorized Agent? Yes No | | | | | |
| 8. Agent's Name | | | | | |
| Owners who appoint an Authorized Agent must provide him/her v | with an Affidavit of Appointment stating the authority of the agent. | | | | |
| 9. I attest that I own racing animals which will run in Colorado. | | | | | |
| Signature | Date | | | | |
| Trainer/Assistant Trainer (Only) | L | | | | |
| Have you taken the Colorado Test? | No Date of Test | | | | |
| Have you previously had a Trainer/Assistant Trainer's License? Yes | No Where? What year? | | | | |
| Instructions Trainers must fill out a trainer's stable roster before a license will be validated. Trainers employing an Assistant Trainer must complete a Trainer's/Assistant Trainer's agreement form. | | | | | |
| Jockey/Apprentice Jockey (Only) | | | | | |
| Do you have an Agent? Yes No If you answer yes you must fill out a JOCKEY AGENT'S form. Note: If you dismiss an agent you must immediately notify the Racing Division in writing. | | | | | |
| Agent Name | Email Address | | | | |
| Apprentices Only | | | | | |
| I have riddenWinners. I rode my first winner on (Date)at (Track) | | | | | |
| For Office Use Only | | | | | |
| License # | PSR# | | | | |
| | | | | | |

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Statement Of Understanding

The Division of Racing Events has approved you for a 45-day temporary Racing License. This 45-day temporary license may still be extended or denied based on the results of your background investigation. In case of denial, you will receive a "Notice of Denial." Upon receipt of such a Notice of Denial, or at the request of a Division official, you must immediately surrender your ID badge (the temporary badge) and you may not continue to work as a licensee. Should you receive a denial of your racing license, the denial is effective immediately. You may appeal the denial of your license application. Until a determination of that appeal, you cannot possess a Racing Division license. This means that you have no right to work in any capacity that requires a Racing License unless the Colorado Racing Commission reverses the denial of your license. You need to understand that you are responsible for knowing about, and complying with, state law and regulations governing racing. You also need to be aware that you may obtain or view these documents at any Division office. Failure to comply with any law or regulation may be grounds for disciplinary action, including the suspension or revocation of your Racing license and a monetary penalty after an administrative hearing. A license status update will be sent to you within 45 days of application. If you have any questions, you may contact the Division at (303) 866-6743.

I understand that the Temporary license being issued today is still subject to denial or suspension pending the results of the Division of Racing Events investigation of my background. I have read the information contained in the above letter and understand my responsibilities as a licensee. I further understand that failure to comply with any law or regulation may be grounds for disciplinary action, including the suspension or revocation of my Racing license and a monetary penalty after an administrative hearing.

| Print Name: | | |
|--------------|------|------|
| Signature: _ | | |
| Date: | | |

Affidavit - Restrictions On Public Benefits

| I,under the laws of the Sta | ite of Colorado that (check one) : | , swear or affirm un | der penalty of perjury |
|--|---|--|---|
| | am a United States citizen. am not a United States citizen but I am a Permanent F am not a United States citizen but I am lawfully present o Federal law. | nt in the United Sta | |
| | am a foreign national not physically present in the Uni | | |
| state law requires me to p I further acknowledge the punishable under the crim | rorn statement is required by law because I have appli provide proof that I am lawfully present in the United St at making a false, fictitious, or fraudulent statement on initial laws of Colorado as perjury in the second degree useparate criminal offense each time a public benefit is form | ates prior to receipt r representation in ınder Colorado Rev | of this public benefit. this sworn affidavit is ised Statute 18-8-503 |
| Signature | | | Date (MM/DD/YY) |