

Application Documents Checklist and Worksheet

Instructions: This check list should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant exactly. **All** documents must be typed or legibly printed. Upon final State approval the license will be emailed to the applicant. Application fees are nonrefundable.

Questions? Visit: www.colorado.gov/enforcement/liquor for more information

Items submitted, please check all appropriate boxes completed or documents submitted	
I.	Applicant information <input type="checkbox"/> A. Identify applicant/licensee <input type="checkbox"/> B. Identify license type or other transaction <input type="checkbox"/> C. Identify state sales tax license number (transportation applicant only) <input type="checkbox"/> D. Submit original application <input type="checkbox"/> E. Submit Tax Check Authorization form (Included in this packet).
II.	Diagram (wholesalers, transportation and instate manufacturers) <input type="checkbox"/> A. 8 1/2" X 11" diagram of property to be licensed <input type="checkbox"/> B. Address and diagram of premises where liquor is to be stored (transportation and wholesale applicants only)
III.	Proof of property possession (not required for importers and nonresident manufacturers) <input type="checkbox"/> A. Deed in name of the applicant only, or (matching question #1) <input type="checkbox"/> B. Lease in the name of the applicant only and valid for at least one (1) year from the date of license issuance (matching question #1), or <input type="checkbox"/> C. Lease assignment in the name of the applicant only with proper consent from the landlord and acceptance by the applicant <input type="checkbox"/> D. Copy of vehicle registration (transportation applicant only) <input type="checkbox"/> E. Copy of vehicle lease (transportation applicant only) <input type="checkbox"/> F. Other agreement if applicable
IV.	Background Information (DR 8404-I) <input type="checkbox"/> A. Complete for each principal (individuals with more than 10% ownership in applicant officer, director, partner, member) <input type="checkbox"/> B. Complete fingerprint cards for the same persons mentioned in "A" above. Cards must be completed using an approved state vendor. Do not complete fingerprint cards prior to submitting your application to the state. The vendors are as follows: IdentoGO - https://uenroll.identogo.com/workflows/25YQHT Appointment Scheduling Website: https://uenroll.identogo.com/workflows/25YQHT Phone: 844-539-5539 (toll-free) dentoGO FAQs: https://www.colorado.gov/pacific/cbi/identification-faqs Colorado Fingerprinting – http://www.coloradofingerprinting.com/cabs/ Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/ Phone: 720-292-2722 Toll Free: 833-224-2227
V.	Sole proprietor/husband and wife partnership <input type="checkbox"/> A. Form DR 4679 <input type="checkbox"/> B. Copy of State issued Driver's License or Colorado Identification Card for each applicant
VI.	Corporate applicant information (if applicable) <input type="checkbox"/> A. Copy of Articles of Incorporation <input type="checkbox"/> B. Certificate of Good Standing <input type="checkbox"/> C. Certificate of Authorization if foreign corporation (Out of state applicants only)
VII.	Partnership applicant information (if applicable) <input type="checkbox"/> A. Partnership Agreement (general or limited) <input type="checkbox"/> B. Certificate of Good Standing
VIII.	Limited Liability Company applicant information (if applicable) <input type="checkbox"/> A. Copy of Articles of Organization <input type="checkbox"/> B. Certificate of Good Standing <input type="checkbox"/> C. Copy of Operating Agreement (if applicable) <input type="checkbox"/> D. Certificate of Authorization if foreign LLC (Out of state applicants only)
IX.	Other Permits <input type="checkbox"/> A. Copy of applicable Federal permit (See TTB - Alcohol and Tobacco Tax and Trade Bureaus website for more information) <input type="checkbox"/> B. Copy of Colorado PUC Authority (transportation applicants only)
X.	Product registration and sole source designation for importers, nonresident manufacturers, wholesalers, and instate manufacturers can be completed online: www.productregistrationonline.com/GetStarted/CO#selectPermit
XI.	Application Review <input type="checkbox"/> A. Complete in all sections <input type="checkbox"/> B. Sign Application

7. Is the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers under the age of twenty-one years?					Yes	No	
					<input type="checkbox"/>	<input type="checkbox"/>	
8. Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state):							
a. Been denied an alcohol beverage license?					<input type="checkbox"/>	<input type="checkbox"/>	
b. Had an alcohol beverage license suspended or revoked?					<input type="checkbox"/>	<input type="checkbox"/>	
c. Had interest in another entity that had an alcohol beverage license suspended or revoked?					<input type="checkbox"/>	<input type="checkbox"/>	
If you answered yes to 8a, b or c, explain in detail on a separate sheet.							
9. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current financial interest in said business including any loans to or from a licensee on a separate sheet.					<input type="checkbox"/>	<input type="checkbox"/>	
10. Does the applicant, as listed on line 1b of this application, have legal possession of the premises from the date that this license will be issued by virtue of ownership, lease, or other arrangement? (in state applicants)					<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/> Ownership <input type="checkbox"/> Lease <input type="checkbox"/> Other (explain in detail) _____							
a. If leased, list name of landlord, tenant, and date of expiration exactly as they appear on the lease.							
Landlord			Tenant		Expires		
b. Is a percentage of alcohol sales included as compensation to the landlord? If yes complete question #11							
c. Attach a diagram to designate the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" x 11".							
11. Who, beside the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies), will loan or give money, inventory, furniture or equipment to or for use in this business; who will receive money from this business? Attach a separate sheet if necessary.							
Last Name		First Name		Date of Birth	FEIN or SSN	Interest/Percentage	
Last Name		First Name		Date of Birth	FEIN or SSN	Interest/Percentage	
Attach copies of all notes and security instruments and any written agreement, or details of any oral agreement by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation.							
12. Tax Information.							
a. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant, been found in final order of a tax agency to be delinquent in the payment of any state or local taxes, penalties, or interest related to a business?					<input type="checkbox"/>	<input type="checkbox"/>	
b. Has the applicant, including its manager, partners, officer, directors, stockholders, members (LLC), managing members (LLC), or any other person with a 10% or greater financial interest in the applicant failed to pay any fees or surcharges imposed pursuant to section 44-3-503, C.R.S.					<input type="checkbox"/>	<input type="checkbox"/>	
13. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members . In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the Applicant. All persons listed below must also attach form DR 8404-I (Individual History Record), and make an appointment to be fingerprinted by an approved State Vendor through the Vendor's website. See application checklist, Section IV, for details.							
Name		Home Address, City & State			Date of Birth	Position	% Owned
Name		Home Address, City & State			Date of Birth	Position	% Owned
Name		Home Address, City & State			Date of Birth	Position	% Owned
Name		Home Address, City & State			Date of Birth	Position	% Owned
Name		Home Address, City & State			Date of Birth	Position	% Owned

** If applicant is owned 100% by a parent company, please list the designated principal officer of parent company above and provide DR 8404-I.

** Corporations - the President, Vice-President, Secretary and Treasurer must be accounted for above (Include ownership percentage if applicable)

** If total ownership percentage disclosed here does not total 100%, applicant must check this box:

Applicant affirms that no individual other than these disclosed herein owns 10% or more of the applicant and does not have financial interest in a prohibited liquor license pursuant to Article 3 or 5, C.R.S.

14. Colorado Manufacturer, Wholesaler or Limited Winery applicants, answer the following: Yes No

a. Will the applicant store or sell alcohol beverages at more than one location in Colorado? If yes, provide the address of each location and explain the activity to be conducted at each location (e.g., warehouse, salesroom, etc.) on a separate sheet.

b. If applicant is a wholesaler, does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the State of Colorado to sell malt, vinous or spirituous liquor? If yes, explain in detail on a separate sheet.

c. Does the applicant have a valid federal Basic permit or Brewers notice? If yes, attach a copy; if no, explain whether one has been applied for on a separate sheet.

Please use a separate sheet of paper for any explanations

15. Nonresident Manufacturer (Fermented Malt Beverage or malt liquor) or Importer (Fermented Malt Beverage, malt, vinous or spirituous liquor) applicants, answer the following:

a. To what Colorado licensed wholesaler do you intend to ship your merchandise? _____

b. Does or did any owner, partner, shareholder, director, officer, member or manager have any direct or indirect financial interest in a wholesaler, retailer, manufacturer or importer already licensed by the state of Colorado to sell malt, vinous or spirituous liquor? If yes, attach explanation in detail.

c. Does the applicant have a valid federal Basic permit or Brewers notice? If yes, attach a copy; if no, explain in detail.

d. Are you the primary source of supply in the U.S.? If no, explain.

e. Are all your products registered in Colorado? If no, register online at www.productregistrationonline.com/GetStarted/CO#selectPermit

Please use a separate sheet of paper for any explanations

16. Public Transportation System license applicants, answer the following:

a. How many vehicles; cars, buses, planes or other conveyances (units) will serve alcohol beverages in Colorado?

b. Does the applicant have possession by title or lease of all of the units described above?

c. Does applicant have PUC authority? (attach copy of authority)

d. Where will liquor be stored? (list address below)

Street Address	City	State	Zip
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Oath Of Applicant

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.

Authorized Signature	Printed Name and Title	Date
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Report and Approval By State Licensing Authority

Each person required to file DR 8404-I been:

Fingerprinted

Background and NCIC and CCIC check for outstanding warrants conducted

For Wholesalers and Manufacturers only

The liquor licensed premises has been inspected by an agent of the state licensing authority.

If "no", the building will be completed and ready for inspection by _____ (date)

By	Title	Date
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Tax Check Authorization, Waiver, and Request to Release Information

I, _____ am signing this Tax Check Authorization, Waiver and Request to Release Information (hereinafter "Waiver") on behalf of _____ (the "Applicant/Licensee") to permit the Colorado Department of Revenue and any other state or local taxing authority to release information and documentation that may otherwise be confidential, as provided below. If I am signing this Waiver for someone other than myself, including on behalf of a business entity, I certify that I have the authority to execute this Waiver on behalf of the Applicant/Licensee.

The Executive Director of the Colorado Department of Revenue is the State Licensing Authority, and oversees the Colorado Liquor Enforcement Division as his or her agents, clerks, and employees. The information and documentation obtained pursuant to this Waiver may be used in connection with the Applicant/Licensee's liquor license application and ongoing licensure by the state and local licensing authorities. The Colorado Liquor Code, section 44-3-101. et seq. ("Liquor Code"), and the Colorado Liquor Rules, 1 CCR 203-2 ("Liquor Rules"), require compliance with certain tax obligations, and set forth the investigative, disciplinary and licensure actions the state and local licensing authorities may take for violations of the Liquor Code and Liquor Rules, including failure to meet tax reporting and payment obligations.

The Waiver is made pursuant to section 39-21-113(4), C.R.S., and any other law, regulation, resolution or ordinance concerning the confidentiality of tax information, or any document, report or return filed in connection with state or local taxes. This Waiver shall be valid until the expiration or revocation of a license, or until both the state and local licensing authorities take final action to approve or deny any application(s) for the renewal of the license, whichever is later. Applicant/Licensee agrees to execute a new waiver for each subsequent licensing period in connection with the renewal of any license, if requested.

By signing below, Applicant/Licensee requests that the Colorado Department of Revenue and any other state or local taxing authority or agency in the possession of tax documents or information, release information and documentation to the Colorado Liquor Enforcement Division, and its duly authorized employees, to act as the Applicant's/Licensee's duly authorized representative under section 39-21-113(4), C.R.S., solely to allow the state and local licensing authorities, and their duly authorized employees, to investigate compliance with the Liquor Code and Liquor Rules. Applicant/Licensee authorizes the state and local licensing authorities, their duly authorized employees, and their legal representatives, to use the information and documentation obtained using this Waiver in any administrative or judicial action regarding the application or license.

Name (Individual/Business)		Social Security Number/Tax Identification Number	
Address			
City		State	Zip
Home Phone Number		Business/Work Phone Number	
Printed name of person signing on behalf of the Applicant/Licensee			
Applicant/Licensee's Signature (Signature authorizing the disclosure of confidential tax information)			Date signed

Privacy Act Statement

Providing your Social Security Number is voluntary and no right, benefit or privilege provided by law will be denied as a result of refusal to disclose it. § 7 of Privacy Act, 5 USCS § 552a (note).